

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

PAUL KENNETH PICKARD, MARK D. EDMOND, DONG LU, GERALD
NEGLEY, NICK NGUYEN, PATRICK JOHN O'FLAHERTY, GARY DAVID TROTT

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) SEE ATTACHMENT "A"

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: CREE, INC.

Internal Address: _____

Street Address: 4600 SILICON DRIVE

City: DURHAM

State: NC

Country: U.S.A. Zip: 27703

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

29/387,170

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: BRIAN J. PHILPOTT

Internal Address: KOPPEL PATRICK HEYBL & PHILPOTT

Street Address: 2815 TOWNSGATE ROAD, SUITE 215

City: WESTLAKE VILLAGE

State: CA Zip: 91361

Phone Number: (805) 373-0060

Fax Number: (805) 373-0051

Email Address: generalmail@koppelpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 11-1580

Authorized User Name STEVEN C. PATRICK

9. Signature:

B. J. Philpott

Signature

6/17/11

Date

BRIAN J. PHILPOTT

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

8

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 111580 29387170

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PATENT
REEL: 026451 FRAME: 0933

ATTACHMENT "A"
Application No. 29/387,170
Docket No. P1423US1-3

3. Nature of conveyance/Execution Date(s):

Execution Dates(s) 04/12/11; 04/12/2011; 04/12/2011; 04/12/11; 04/12/11;
05/02/11; 06/14/11, respectively.

Docket No. PL423US1-3

A S S I G N M E N T

We, PAUL KENNETH PICKARD, residing in Morrisville, North Carolina, MARK D. EDMOND, residing in Raleigh, North Carolina, ~~JOHN~~ ^{JOHN} LU, D.L., residing in Cary, North Carolina, GERALD NESLEY, residing in Durham, North Carolina, NICK NGUYEN, residing in Durham, North Carolina, PATRICK JOHN O'FLAHERTY, residing in Morrisville, North Carolina and GARY DAVID TROTT, residing in Morrisville, North Carolina, represent that we have invented improvements in a new and useful "TROFFER-STYLE LIGHTING FIXTURE" for which we have executed an application for Letters Patent of the United States of America.

CREE, INC. (CREE) is a corporation organized and existing under the laws of the State of North Carolina, has a place of business at, 4600 Silicon Drive, Durham, North Carolina 27703 and desires to acquire the entire right, title and interest in and to the invention, application and letters patent to be granted and issued thereon.

For valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we have sold and do hereby sell, assign, transfer and set over unto CREE, its successors and assigns, the entire right, title and interest in and to the invention and all improvements thereon, in and to the application for letters patents thereon, in and to applications pertaining to or based upon the invention and application, including divisional and continuing applications and continuations-in-part, and in and to any and all letters patent which may be granted and issued on the invention and applications, or any of them, in the United States of America, its territories and possessions, and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

We also agree that we will, at the request of CREE, execute any and all applications for letters patent for the invention, execute any and all other papers and documents and do all other and further lawful acts that CREE may deem necessary or desirable to obtain letters patent on the invention, to secure the grant of such letters patent and to protect and vest in CREE, the undivided interests in the right, title and interest in the invention, applications and letters patent.

We also authorize and empower CREE, its successors and assigns to apply for and obtain, in its or their own names, letters patent for the invention before competent International Authorities and in any and all countries foreign to the United States in which






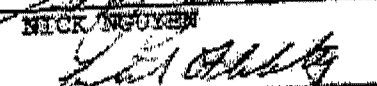

PATENT

REEL: 026451 FRAME: 0935

Docket No. P1423US1-3

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applications for letters patent can be so made or letters patent so obtained.

Date:	<u>4/12</u>	, 2011	<u></u> PAUL KENNETH PICKARD
Date:	<u>4/12/11</u>	, 2011	<u></u> MARK D. EDMOND
Date:	<u>April 12, 2011</u>	, 2011	<u></u> JAMES D. DOHERTY
Date:	<u>4/12/2011</u>	, 2011	<u></u> GERALD TREPLEY
Date:	<u>4/12/2011</u>	, 2011	<u></u> NICK NGUYEN
Date:	<u>5/2/2011</u>	, 2011	<u></u> PATRICK JOHN O'FLAHERTY
Date:	<u>6/14/11</u>	, 2011	<u></u> GARY DAVID TROTT

Docket No. P1423US1-3

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STATE OF NORTH CAROLINA
COUNTY OF DURHAM

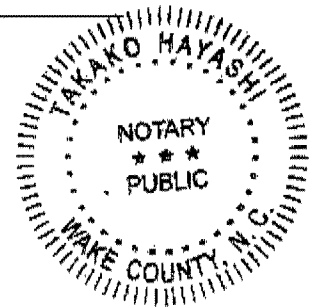
I, Takako Hayashi a Notary Public for Wake County, North Carolina, do hereby certify that **PAUL KENNETH PICKARD** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12th day of April, 2011.

Takako Hayashi
Notary Public

SEAL

My Commission Expires: May 11, 2013

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

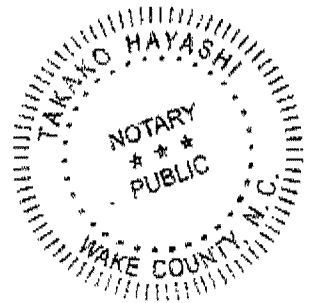
I, Takako Hayashi a Notary Public for Wake County, North Carolina, do hereby certify that **MARK D. EDMOND** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12th day of April, 2011.

Takako Hayashi
Notary Public

SEAL

My Commission Expires: May 11, 2013



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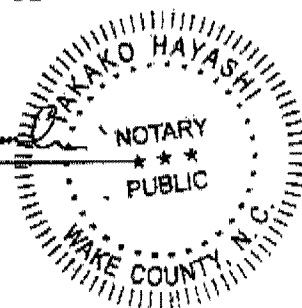
STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Takako Hayashi a Notary Public for Wake County, North Carolina, do hereby certify that GERALD NEGLEY personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12th day of April, 2011.

Takako Hayashi
Notary Public

SEAL

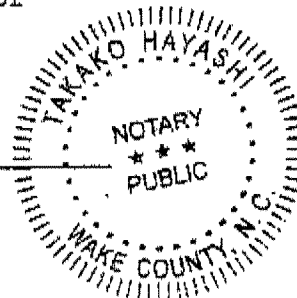
My Commission Expires: May 11, 2013STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Takako Hayashi a Notary Public for Wake County, North Carolina, do hereby certify that GERALD NEGLEY personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12th day of April, 2011.

Takako Hayashi
Notary Public

SEAL

My Commission Expires: May 11, 2013

Docket No. P1423US1-3

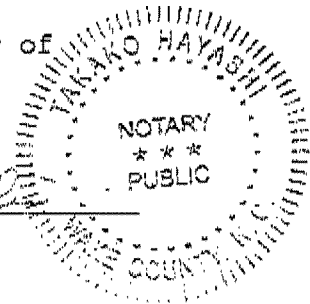
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STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Takako Hayashi a Notary Public for Wake County, North Carolina, do hereby certify that NICK NGUYEN personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12th day of April, 2011.

Takako Hayashi
Notary Public



SEAL

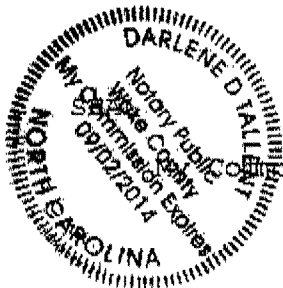
My Commission Expires: May 11, 2013

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent a Notary Public for Durham County, North Carolina, do hereby certify that PATRICK JOHN O'FLAHERTY personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 20th day of April, 2011.

Darlene D. Tallent
Notary Public



Commission Expires: 9-2-2014

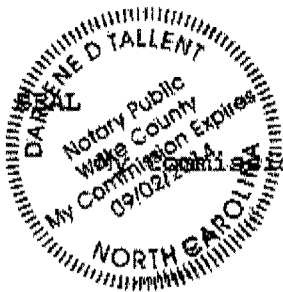
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STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent, a Notary Public for Durham County, North Carolina, do hereby certify that GRAY DAVID TROTT personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 14th day of June, 2011.



Darlene D. Tallent
Notary Public

Commission Expires: 9-2-2014