

# PATENT ASSIGNMENT

Electronic Version v1.1  
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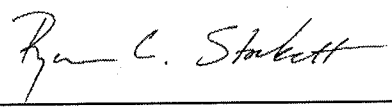
SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
<b>CONVEYING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Aaron R. SHATTERS</td> <td>05/31/2011</td> </tr> <tr> <td>Steven C. BUDDE</td> <td>06/13/2011</td> </tr> <tr> <td>John T. REEDY</td> <td>06/03/2011</td> </tr> <tr> <td>Robert E. STONE</td> <td>06/06/2011</td> </tr> </tbody> </table>		Name	Execution Date	Aaron R. SHATTERS	05/31/2011	Steven C. BUDDE	06/13/2011	John T. REEDY	06/03/2011	Robert E. STONE	06/06/2011		
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<b>RECEIVING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Caterpillar Inc.</td> </tr> <tr> <td>Street Address:</td> <td>100 N.E. Adams Street</td> </tr> <tr> <td>Internal Address:</td> <td>Intellectual Property Department</td> </tr> <tr> <td>City:</td> <td>Peoria</td> </tr> <tr> <td>State/Country:</td> <td>ILLINOIS</td> </tr> <tr> <td>Postal Code:</td> <td>61629-9510</td> </tr> </table>		Name:	Caterpillar Inc.	Street Address:	100 N.E. Adams Street	Internal Address:	Intellectual Property Department	City:	Peoria	State/Country:	ILLINOIS	Postal Code:	61629-9510
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<b>PROPERTY NUMBERS Total: 1</b>													
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Application Number:	13162280												
<b>CORRESPONDENCE DATA</b>													
<p>Fax Number: (202)408-4400  <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 202-408-4000        Email: ryan.stockett@finnegan.com        Correspondent Name: CATERPILLAR/FINNEGAN, HENDERSON, L.L.P.        Address Line 1: 901 New York Avenue, NW        Address Line 4: WASHINGTON, DISTRICT OF COLUMBIA 20001-4413</p>													
ATTORNEY DOCKET NUMBER:	08350.0291-00000												
NAME OF SUBMITTER:	Ryan C. Stockett												
Total Attachments: 10													

OP \$40.00 13162280

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**PATENT**  
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<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b> <b>Attorney Docket No. 08350.0291-00000</b> <b>Attorney Customer Number: 58,982</b>	
<b>FORM PTO-1595</b> <b>1-31-92</b>		<b>To the Director of the U.S. Patent and Trademark Office:</b> <b>Please record the attached original documents or copy thereof.</b>	
<b>1. Name of conveying party:</b> a) Aaron R. SHATTERS b) Steven C. BUDDE c) John T. REEDY d) Robert E. STONE		<b>2. Name and address of receiving party(ies):</b> Name: Caterpillar Inc.	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Internal Address: Intellectual Property Department	
<b>3. Nature of conveyance:</b>		Street Address: 100 N.E. Adams Street	
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	City: Peoria	
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	State: IL	Zip Code: 61629-9510
<input type="checkbox"/> Other:		Additional name(s) & Address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Execution Date: a) May 31, 2011 b) June 13, 2011 c) June 3, 2011 d) June 6, 2011			
Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: a) May 31, 2011; b) June 13, 2011; c) June 14, 2011; d) June 13, 2011			
<b>A. Patent Application Number(s):</b> Additional numbers attached?		<b>B. Patent Number(s):</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>4. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: Christopher P. Isaac		Total number of applications and registrations involved: 1	
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.		<b>5. Total fee (37 CFR 3.41): \$40</b> <input checked="" type="checkbox"/> Enclosed (Please charge deficiency to deposit account) <input type="checkbox"/> Authorized to be charged to deposit account	
Street Address: 901 New York Avenue, N.W.			
City: Washington, D.C.			
State:	Zip: 20001	<b>6. Deposit Account No. 06-0916</b>	
<b>7. Statement and signature.</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
<u>Ryan C. Stockett, Reg. No. 53,642</u>		<div style="text-align: center;">   _____  Signature </div>	
		<div style="text-align: center;"> _____  Date </div>	
Total number of pages including cover sheet, attachments and documents: 10			

## ASSIGNMENT

WHEREAS I/We, the below named inventors, hereinafter referred to as Assignors, have made an invention entitled:

### LIFT SYSTEM IMPLEMENTING VELOCITY-BASED FEEDFORWARD CONTROL

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on (\_\_\_\_\_) (Serial No. \_\_\_\_\_); and

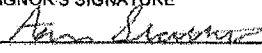

WHEREAS, **Caterpillar Inc.**, a corporation of **Delaware** whose post office address is **Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-9510** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR <b>Aaron R. SHATTERS</b>	ASSIGNOR'S SIGNATURE 	DATE <b>31 May 2011</b>
ADDRESS <b>96 Winter Hill Circle, Montgomery, IL 60538</b>		CITIZENSHIP <b>USA</b>
1. WITNESS NAME <b>Chad Brickner</b>	WITNESS SIGNATURE 	DATE <b>31 May 2011</b>
ADDRESS <b>2534 Hillside Blvd, Aurora IL 60503</b>		CITIZENSHIP <b>USA</b>

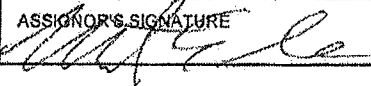

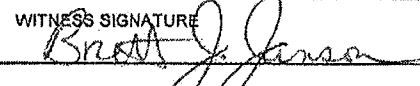
SOLE/JOINT INVENTION  
(Worldwide Rights)  
Attorney Docket No. 08350.0291-00000  
Client Ref. No. 11-0445

2. WITNESS NAME <i>Darren Schambach</i>	WITNESS SIGNATURE <i>Darren Schambach</i>	DATE <i>5-31-11</i>
ADDRESS <i>734 Charismatic Dr. Oswego, IL 60543</i>		CITIZENSHIP <i>USA</i>

2. FULL NAME OF SECOND ASSIGNOR <b>Steven C. BUDDE</b>		ASSIGNOR'S SIGNATURE	DATE
ADDRESS <b>724 S. Prairieview Drive, Dunlap, IL 61525</b>		CITIZENSHIP <b>USA</b>	
1. WITNESS NAME		WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP	
2. WITNESS NAME		WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP	

3. FULL NAME OF THIRD ASSIGNOR <b>John T. REEDY</b>		ASSIGNOR'S SIGNATURE	DATE
ADDRESS <b>1613 W. Moss Avenue, Peoria, IL 61615</b>		CITIZENSHIP <b>USA</b>	
1. WITNESS NAME		WITNESS SIGNATURE	DATE
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Attorney Docket No. 08350.0291-00000  
Client Ref. No. 11-0445

4. FULL NAME OF FOURTH ASSIGNOR <b>Robert E. STONE</b>	ASSIGNOR'S SIGNATURE 	DATE <b>6 JUN 2011</b>
ADDRESS <b>1286 N Hickory Hills Road, Metamora, IL 61548</b>		CITIZENSHIP <b>USA</b>
1. WITNESS NAME <b>Brent M. Duppont</b>	WITNESS SIGNATURE 	DATE <b>6/6/2011</b>
ADDRESS <b>4306 W CAROUSEL LN, PAORIA, IL 61615</b>		CITIZENSHIP <b>USA</b>
2. WITNESS NAME <b>Brett J. Janson</b>	WITNESS SIGNATURE 	DATE <b>6/6/2011</b>
ADDRESS <b>9808 W. LAMPLIGHTER LN, HANNA CITY, IL 61536</b>		CITIZENSHIP <b>USA</b>

## ASSIGNMENT

WHEREAS I/We, the below named inventors, hereinafter referred to as Assignors, have made an invention entitled:

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WHEREAS, **Caterpillar Inc.**, a corporation of **Delaware** whose post office address is **Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-9510** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

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1. FULL NAME OF SOLE OR FIRST ASSIGNOR <b>Aaron R. SHATTERS</b>	ASSIGNOR'S SIGNATURE	DATE
ADDRESS <b>96 Winter Hill Circle, Montgomery, IL 60538</b>		CITIZENSHIP <b>USA</b>

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS	CITIZENSHIP	

2. FULL NAME OF SECOND ASSIGNOR <b>Steven C. BUDDE</b>	ASSIGNOR'S SIGNATURE <i>Steven C. Budde</i>	DATE 6-13-11
ADDRESS <b>724 S. Prairieview Drive, Dunlap, IL 61525</b>	CITIZENSHIP <b>USA</b>	
1. WITNESS NAME <i>FURAN SIVCEI</i>	WITNESS SIGNATURE <i>Furan Sivcei</i>	DATE 6/13/2011
ADDRESS <i>1701 CHERRY BUD DR. APT 6311, PEORIA IL 61615</i>	CITIZENSHIP <i>INDIA</i>	
2. WITNESS NAME <i>Thuong Le</i>	WITNESS SIGNATURE <i>Thuong Le</i>	DATE 6/13/2011
ADDRESS <i>6307 W. Red Cedar Ln., Edwards, IL 61528</i>	CITIZENSHIP <i>USA</i>	

3. FULL NAME OF THIRD ASSIGNOR <b>John T. REEDY</b>	ASSIGNOR'S SIGNATURE	DATE
ADDRESS <b>1613 W. Moss Avenue, Peoria, IL 61615</b>	CITIZENSHIP <b>USA</b>	
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4. FULL NAME OF FOURTH ASSIGNOR <b>Robert E. STONE</b>	ASSIGNOR'S SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

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ADDRESS <b>1613 W. Moss Avenue, Peoria, IL 61615</b>		CITIZENSHIP <b>USA</b>
1. WITNESS NAME <i>Anthony A. Sanders</i>	WITNESS SIGNATURE <i>Anthony A. Sanders</i>	DATE <b>6/3/2011</b>
ADDRESS <i>1710 N. Benedict St, Chillicothe, IL 61523</i>		CITIZENSHIP <b>USA</b>
2. WITNESS NAME <i>John Salverson</i>	WITNESS SIGNATURE <i>John Salverson</i>	DATE <b>6-3-11</b>
ADDRESS <i>7325 N. Switzer Rd, Brimfield, IL 61517</i>		CITIZENSHIP <b>USA</b>

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