

TO: CORNING INCORPORATED COMPANY: SP-TI-03

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

**05/19/2011
 501536906**

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Docket Number should be SP11-079 previously recorded on Reel 026202 Frame 0211. Assignor(s) hereby confirms the Docket Number should be SP11-079.

CONVEYING PARTY DATA

Name	Execution Date
Amit Halder	04/29/2011
Dayue David Jiang	04/29/2011
Weiguo Miao	04/29/2011
Steven Bolaji Ogunwumi	04/28/2011
Zhen Song	04/29/2011

RECEIVING PARTY DATA

Name:	Corning Incorporated
Street Address:	SP-TI-03
City:	Corning
State/Country:	NEW YORK
Postal Code:	14831

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13097495

CORRESPONDENCE DATA

Fax Number: (607)974-3848
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
 Phone: 607-974-3805
 Email: davisbl@corning.com
 Correspondent Name: Corning Incorporated
 Address Line 1: SP-TI-03
 Address Line 4: Corning, NEW YORK 14831

ATTORNEY DOCKET NUMBER:	SP11-079
NAME OF SUBMITTER:	/Lisa M. Noni/

CH \$40.00 13097495

USPTO

5/23/2011 6:22:07 AM PAGE 4/008 Fax Server

TO: CORNING INCORPORATED COMPANY: SP-TI-03

Total Attachments: 4

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TO: CORNING INCORPORATED COMPANY: SP-TI-03

USPTO 5/2/2011 7:35:48 PM PAGE 4/004 Fax Server
 TO: CORNING INCORPORATED COMPANY: SP-TI-3-1

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

04/29/2011
 501516254

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Amit Halder</td> <td>04/29/2011</td> </tr> <tr> <td>Dayue David Jiang</td> <td>04/29/2011</td> </tr> <tr> <td>Weiguo Mao</td> <td>04/29/2011</td> </tr> <tr> <td>Steven Balaji Ogunwumi</td> <td>04/28/2011</td> </tr> <tr> <td>Zhen Song</td> <td>04/28/2011</td> </tr> </tbody> </table>		Name	Execution Date	Amit Halder	04/29/2011	Dayue David Jiang	04/29/2011	Weiguo Mao	04/29/2011	Steven Balaji Ogunwumi	04/28/2011	Zhen Song	04/28/2011
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Phone:	607 974-3805												
Email:	cavisbi@corning.com												
Correspondent Name:	CORNING INCORPORATED												
Address Line 1:	SP-TI-3-1												
Address Line 4:	CORNING, NEW YORK 14831												
ATTORNEY DOCKET NUMBER:	SP11-079												
NAME OF SUBMITTER:	/Svetlana C. Shorfi												
Total Attachments: 3 source=20110429_SP11-079_US_PRI_Assignment#page1.tif source=20110429_SP11-079_US_PRI_Assignment#page2.tif source=20110429_SP11-079_US_PRI_Assignment#page3.tif													

CH \$40.00 -13087495

U.S. Attorney Docket No.: SP11-079

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **ARTICLE FOR CO2 CAPTURE HAVING HEAT EXCHANGE CAPABILITY**, such inventions being generally described in an application for Letters Patent of the United States executed on

<i>DATE</i>	<u>April 29, 2011</u>
<i>DATE</i>	<u>April 29, 2011</u>
<i>DATE</i>	<u>April 29, 2011</u>
<i>DATE</i>	<u>April 28, 2011</u>
<i>DATE</i>	<u>April 29, 2011</u>

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

U.S. Attorney Docket No.: SP11-079

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

<u>4/29/2011</u>	<u>Amit Halder</u>
Date	Amit Halder
<u>4/29/11</u>	<u>[Signature]</u>
Date	Dayue David Jiang
<u>4/29/11</u>	<u>[Signature]</u>
Date	Weiguo Miao
<u>4/28/11</u>	<u>[Signature]</u>
Date	Steven Bolaji Ogunwumi
<u>4/29/11</u>	<u>[Signature]</u>
Date	Zhen Song

State of New York)

: ss.

County of Steuben)

On the 29th day of April 2011, before me personally came Amit Halder known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

[Signature]
Notary Public

Betty L. Davis
Notary Public, State of New York
Qualified in Steuben County No. 01DA6146735
My Commission Expires May 22, 2014

State of New York)

: ss.

County of Steuben)

On the 29th day of April 2011, before me personally came Dayue David Jiang known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

[Signature]
Notary Public

Betty L. Davis
Notary Public, State of New York
Qualified in Steuben County No. 01DA6146735
My Commission Expires May 22, 2014

State of New York)

: ss.

County of Steuben)

On the 29th day of April 2011, before me personally came Weiguo Miao known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

[Signature]
Notary Public

Betty L. Davis
Notary Public, State of New York
Qualified in Steuben County No. 01DA6146735
My Commission Expires May 22, 2014

U.S. Attorney Docket No.: SP11-079

State of New York)

: ss.

County of Steuben)

On the 28th day of April 2011, before me personally came **Steven Bolaji Ogunwumi** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Betty L. Davis
Notary Public

Betty L. Davis
Notary Public, State of New York
Qualified in Steuben County No. 01DA6146735
My Commission Expires May 22, 2014

State of New York)

: ss.

County of Steuben)

On the 29th day of April 2011, before me personally came **Zhen Song** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Betty L. Davis
Notary Public

Betty L. Davis
Notary Public, State of New York
Qualified in Steuben County No. 01DA6146735
My Commission Expires May 22, 2014

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TO: CORNING INCORPORATED COMPANY: SP-TI-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Amit Halder, et al	Attorney Docket No.:	SP11-079
Serial No.:	13/097,495	Group/Art Unit:	1772
Filed:	April 29, 2011	Examiner:	TBA
For:	ARTICLE FOR CO2 CAPTURE HAVING HEAT EXCHANGE CAPABILITY		

REQUEST FOR CORRECTED ASSIGNMENT RECORDATION

Commissioner for Patents
Mail Stop: Assignment Services Division
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Notice of Recordation received from the U.S. Patent and Trademark Office, previously recorded on Reel 026202 Frame 0211.

The Notice has been reviewed, and an error has been found and should be corrected as follows:

Docket No. should be SP11-079

It is respectfully requested that a Corrected Notice of Recordation be issued as soon as possible.

Since the error is on the part of the Applicant, a fee might be necessary. A copy of the marked up Recordation Notice is attached.

If there are any other fees due in connection with the filing of this Request, please charge the fees to Deposit Account No. 03-3325.

Date: 5/19/11

Respectfully Submitted,

Lisa M Noni

Lisa M Noni
Attorney/Agent for Applicant
Registration No. 65,583
Corning Incorporated
Intellectual Property Department
Mail Stop: SP-TI-03-1
Corning, NY 14831
607-974-3805

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper and/or fee or charge authorization is being transmitted to Mail Stop Assignment Services Division, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

the Electronic Filing System of the U.S. Patent and Trademark Office under 37 CFR §1.6(a)(2)

on 5/19/11

Signature: Lisa M Noni
Lisa M Noni

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TO: CORNING INCORPORATED COMPANY: SP-TI-03

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TO: CORNING INCORPORATED

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COMPANY: SP-TI-3-1

DEPARTMENT

MAY 1 2011

DOCKETED *CM*



UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office

APRIL 30, 2011

PTAS

CORNING INCORPORATED
SP-TI-3-1
CORNING, NY 14831



501516254

LMR

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/29/2011

REEL/FRAME: 026467/0337
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: SP10-079 *SP11-079*

ASSIGNOR:

KALDER, SMIT

DOC DATE: 04/29/2011

ASSIGNOR:

JIANG, DAYUR DAVID

DOC DATE: 04/29/2011

ASSIGNOR:

KIAO, WEISUO

DOC DATE: 04/29/2011

ASSIGNOR:

OGUNWUMI, STEVEN BOLAJI

DOC DATE: 04/29/2011

ASSIGNOR:

SONG, ZHEN

DOC DATE: 04/29/2011

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TO: CORNING INCORPORATED COMPANY: SP-TI-03

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TO: CORNING INCORPORATED COMPANY: SP-TI-3-1

026202/0211 PAGE 2

ASSIGNEE:

CORNING INCORPORATED
SP-TI-03
CORNING, NEW YORK 14831

APPLICATION NUMBER: 13097495

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: ARTICLE FOR CO2 CAPTURE HAVING HEAT EXCHANGE CAPABILITY

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

USPTO

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TO: CORNING INCORPORATED COMPANY: SP-TI-03

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 23, 2011

PTAS

CORNING INCORPORATED
SP-TI-03
CORNING, NY 14831

501536906

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 501536906

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.
IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE,
YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT
571-272-3350.

1. THE PATENT ASSIGNMENT DOCUMENTS ARE MISSING FOR THIS CORRECTIVE. RETURN ALL DOCUMENTS BACK TO FAX 571-273-0140

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Intellectual Property Dept.
SP-TI-03

607-974-0159



Fax

To: Assignment Services Branch From: Cherilyn Mohr
 Fax: 571-273-0140 Pages: 11
 Phone: _____ Date: 6-20-2011
 Re: SP11-079 cc: _____

Urgent For Review Please Comment Please Reply Please Recycle

•Comments:

Patent Assignment documents for Corrective
Assignment.

