## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name               | Execution Date |
|--------------------|----------------|
| Henrik Herold      | 06/22/2011     |
| Ulrich Holst       | 06/22/2011     |
| Frank Witt         | 06/22/2011     |
| Eike Joachim Sixel | 06/22/2011     |

#### RECEIVING PARTY DATA

| Name:           | Caterpillar Motoren GmbH & Co. KG |
|-----------------|-----------------------------------|
| Street Address: | Falckensteiner Strasse 2          |
| City:           | Kiel                              |
| State/Country:  | GERMANY                           |
| Postal Code:    | 24159                             |

### PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 13166112 |

## CORRESPONDENCE DATA

Fax Number: (309)675-1236

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

309-636-1569 Phone:

Email: US\_Docket\_Clerk@Cat.com Correspondent Name: Caterpillar Inc. Intellectual Property

100 NE Adams Address Line 1: Address Line 2: AH9510

Address Line 4: Peoria, ILLINOIS 61629-9510

| ATTORNEY DOCKET NUMBER: | 11-0451        |
|-------------------------|----------------|
| NAME OF SUBMITTER:      | Susan M Hudson |

### Total Attachments: 4

501575235

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# **ASSIGNMENT**

WHEREAS, HENDRIK HEROLD, residing at Kiel, GERMANY, hereinafter referred to as ASSIGNOR has invented together with Ulrich Holst, Eike Joachim Sixel and Frank Witt a new and useful

## PRE-COMBUSTION CHAMBER TIP

| for which ASSIGNOR is about to file an internal<br>Letters Patent in the United States of America, s<br>said application having an attached International<br>Declaration and Power of Attorney executed by | uch invention being described and identified by Application Request and/or an attached   |
|--|--|
| WHEREAS, Caterpillar Motoren GmbI of business at Kiel, Germany, hereinafter referre  | I & Co. KG, a German company, having a place   |
| good and valuable consideration received by AS   | ry; of the sum of One Dollar (\$1.00) and for other SIGNOR from ASSIGNEE, the receipt of which   |
| right, title and interest in and to said invention, a<br>granted thereon by the United States of America<br>continuing application, reissue or extension ther  | and any foreign country, including any eof, together with the right to file applications and   |
|  | GNEE, ASSIGNOR agrees to communicate to rention, to execute all papers necessary to file any   |
| application on said invention necessary or desiral proper protection for said invention in the Unite 3. ASSIGNOR hereby authorizes and r   | able to aid ASSIGNEE in obtaining and enforcing d States of America and any foreign country. equests the COMMISSIONER OF PATENTS                 |
| to Caterpillar Motoren GmbH & Co. KG. 4. This assignment shall inure to the be   | s Letters Patent on said invention, when granted,<br>nefit of ASSIGNEE, its successors, assigns and<br>upon ASSIGNOR, ASSIGNOR'S heirs and legal |
| IN TESTIMONY WHEREOF, ASSIGN   | NOR has executed this instrument.  22.06.11  Date  |
| 000  | DRIK HEROLD we have hereunto set our hands.  |
| Witness (1) Date Address: Eike Joachim Sixel   | Witness (2) Date Address: Ulrich Holst   |
| Niebuhrstrasse 10  | Sanddornweg 33   |
| 24118 Kiel, Germany  | 24161 Altenholz, Germany   |

# <u>ASSIGNMENT</u>

WHEREAS, ULRICH HOLST, residing at Altenholz, GERMANY, hereinafter referred to as ASSIGNOR has invented together with Hendrik Herold, Eike Joachim Sixel and Frank Witt a new and useful

### PRE-COMBUSTION CHAMBER TIP

| Patent in the United States of America, such inv  | ational application and/or make application for Letters<br>ention being described and identified by said application<br>quest and/or an attached Declaration and Power of<br>; and           |
|---|--|
| WHEREAS, Caterpillar Motoren GmbF<br>business at Kiel, Germany, hereinafter referred t<br>title and interest in and to said invention and any   | H & Co. KG, a German company, having a place of to as ASSIGNEE, is desirous of acquiring the entire right, by Letters Patent granted thereon by the United States of                         |
|   | of the sum of One Dollar (\$1.00) and for other good and from ASSIGNEE, the receipt of which is hereby   |
| 1. ASSIGNOR hereby sells, assigns, tra and interest in and to said invention, any applica the United States of America and any foreign co extension thereof, together with the right to file foreign country, and covenant that ASSIGNOR  2. At the request and expense of ASSIG any facts known respecting said invention, to ex invention necessary or desirable to aid ASSIGN invention in the United States of America and ar | GNEE, ASSIGNOR agrees to communicate to ASSIGNEE secute all papers necessary to file any application on said EE in obtaining and enforcing proper protection for said my foreign country.    |
| TRADEMARKS to issue the United States Letter Motoren GmbH & Co. KG.   | equests the COMMISSIONER OF PATENTS AND ers Patent on said invention, when granted, to Caterpillar nefit of ASSIGNEE, its successors, assigns and other ASSIGNOR, ASSIGNOR'S heirs and legal |
| IN TESTIMONY WHEREOF, ASSIGN  | NOR has executed this instrument.  |
| Merid Holt  | ZZ. Teme ZOM Date  |
| IN WITNESS OF the execution hereof by ULR Witness (I) Date  Address: Eike Joachim Sixel   | Witness (2)  Address: Hendrik Herold   |
| Niebuhrstrasse 10   | Gravensteiner Strasse 45   |

24118 Kiel, Germany \_\_\_\_\_ 24159 Kiel, Germany \_\_\_\_\_

# <u>ASSIGNMENT</u>

WHEREAS, FRANK WITT, residing at Bordesholm, GERMANY, hereinafter referred to as ASSIGNOR has invented together with Ulrich Holst, Hendrik Herold and Eike Joachim Sixel a new and useful

# PRE-COMBUSTION CHAMBER TIP

| Patent in the United States of America, such inv having an attached International Application Re Attorney executed by ASSIGNOR on | GNEE, ASSIGNOR agrees to communicate to ASSIGNEE ecute all papers necessary to file any application on said EE in obtaining and enforcing proper protection for said my foreign country.  equests the COMMISSIONER OF PATENTS AND ers Patent on said invention, when granted, to Caterpillar nefit of ASSIGNEE, its successors, assigns and other |
|---|---|
| representatives.  |   |
| IN TESTIMONY WHEREOF, ASSIGN  | NOR has executed this instrument.   |
| Frank Wit   | 22.06.11  |
| FRANK WITT  | Date  |
| IN/WITNESS OF the execution hereof by FRAI  | NK WITT we have hereunto set our hands.   |
| HENDRIK HEROLD Date   | EIKE JOACHIM SIXEL Date   |
| Address:  | Address:  |
| Gravensteiner Strasse 45<br>24159 Kiel<br>GERMANY   | Niebuhrstraße 10<br>24118 Kiel<br>GERMANY   |

#### **ASSIGNMENT**

WHEREAS, EIKE JOACHIM SIXEL, residing at Kiel, GERMANY, hereinafter referred to as ASSIGNOR has invented together with Ulrich Holst, Hendrik Herold and Frank Witt a new and useful

#### PRE-COMBUSTION CHAMBER TIP

| for which ASSIGNOR is about to file an international application and/or make application for  | or    |
|---|-------|
| Letters Patent in the United States of America, such invention being described and identified | l by  |
| said application having an attached International Application Request and/or an attached      | •     |
| Declaration and Power of Attorney executed by ASSIGNOR on                                     | ; and |
| WHEREAS, Caterpillar Motoren GmbH & Co. KG, a German company, having a pl                     | lace  |
| WHEREAS, Caterpillar Motoren GmbH & Co. KG, a German company, having a pl                     | lace  |

WHEREAS, Caterpillar Motoren GmbH & Co. KG, a German company, having a place of business at Kiel, Germany, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Motoren GmbH & Co. KG.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

22.06.2011

EIKE JOACHIM SIXEL

Date

IN WITNESS OF the execution hereof by EIKE JOACHIM SIXEL we have hereunto set our hands.

U. Holst

22.06.2011

H. Herold

22.06.2011

Witness (1)

Date

Address: Gravensteiner Strasse 45

D24161 Altenholz

GERMANY

GERMANY

GERMANY

PATENT REEL: 026494 FRAME: 0914

RECORDED: 06/24/2011