

**PATENT ASSIGNMENT**

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
Name	Execution Date
Sheldon Schultz	06/23/1998
David A. Schultz	06/23/1998
David R. Smith	06/23/1998
Jack J. Mock	06/23/1998
Thomas J. Silva	06/23/1998
<b>RECEIVING PARTY DATA</b>	
Name:	The Regents of the University of California
Street Address:	1111 Franklin Street, Fifth Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
Property Type	Number
Application Number:	13157151
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ATTORNEY DOCKET NUMBER:	111845-7110C2
NAME OF SUBMITTER:	Eleanor M. Musick
Total Attachments: 2 source=7110C2 Assignment#page1.tif source=7110C2 Assignment#page2.tif	

CH \$40.00 13157151

## ASSIGNMENT

U.C. Case No.: SD27-110

Attorney Docket No.: 2001-0001-30

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                           |                            |
|---------------------------|----------------------------|
| 1. <u>Sheldon Schultz</u> | 2. <u>David A. Schultz</u> |
| 3. <u>David R. Smith</u>  | 4. <u>Jack J. Mock</u>     |
| 5. <u>Thomas J. Silva</u> |                            |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

### **Plasmon Resonant Particles, Methods and Apparatus**

and which is found in

- U.S. Patent Application executed on \_\_\_\_, entitled as above and listing the above named persons as inventors.
- U.S. Patent Application No. 09/027,043, filed 02/20/98.
- U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of

**PATENT**  
**REEL: 024661 FRAME: 0357**

**PATENT**  
**REEL: 026554 FRAME: 0210**

record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

23rd day of June, 1998

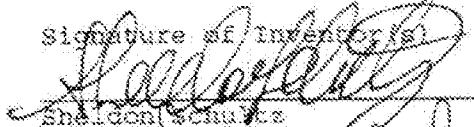
23rd day of June, 1998

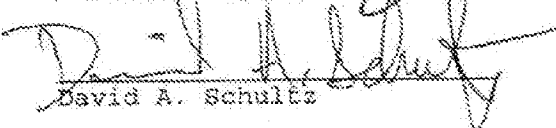
23rd day of June, 1998

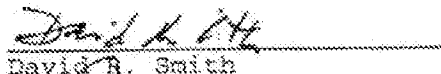
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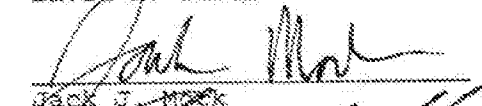
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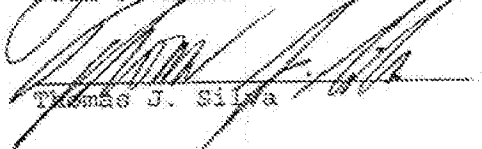
Signature of Inventor(s)

  
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David A. Schultz

  
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RECORDED: 07/02/2010

PATENT  
REEL: 024661 FRAME: 0358

RECORDED: 07/07/2011

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REEL: 026554 FRAME: 0211