

06/21/2011



U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

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ET

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

John Kennedy  
Douglas Sjostrom  
Dennis Arsenault

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 04/08/2011

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Viking Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 134 Flanders Road

City: Westborough

State: MA

Country: USA Zip: 01581

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)  
29/376,073

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No



**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mark J. Pandiscio

Internal Address: Pandiscio & Pandiscio, P.C.

Street Address: 470 Totten Pond Road

City: Waltham

State: MA Zip: 02451

Phone Number: (781) 290-0060

Fax Number: (781) 290-4840

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account (any deficiencies)  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 06/21/2011 AMULING 00000014 29376073  
01 FC:8021  
Authorized User Name \_\_\_\_\_ 40.00 DP

**9. Signature:**

*Margaret M. Carley*  
Signature

06/16/2011

Date

Margaret M. Carley

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

We, John Kennedy of 150 Belmont Avenue, Lowell, MA 01852,  
Douglas Sjostrom of 403 Trull Road, Tewksbury, MA 01876 and  
Dennis Arsenault of 9 Lands End Way, Ashland, MA 01721, having  
invented improvements in ADAPTER and having executed an  
application for United States patent describing the same and  
based thereon (and we hereby authorize our attorney(s),  
authorized to prosecute said application, to here insert the  
filing date and serial number of said application, when known,  
Serial No. 29/376,073, filed 10/01/2010), for good and valuable  
consideration, receipt of which is hereby acknowledged from  
Viking Systems, Inc., a Delaware corporation having a place of  
business at 134 Flanders Road, Westborough, MA 01581,  
hereinafter called the Assignee (which term shall include its  
successors and assigns), do hereby sell, assign and transfer  
unto the Assignee our entire right, title, and interest, in and  
throughout the United States of America (including its  
territories and dependencies) and all countries foreign thereto,  
in and to and under said application (which term shall include  
hereinafter where the context so admits all divisional,  
continuing, reissue and other patent applications based thereon)  
and the inventions (which term shall include each and every such  
invention, or any part thereof) therein described, and any and  
all patents and like rights of exclusion (including extensions  
thereof) of any country which may be granted on or for said  
inventions or on said application.

And for the same consideration we do also hereby sell,  
assign and transfer unto the Assignee all our rights under the  
International Convention for the Protection of Industrial  
Property and all other treaties of like purpose in respect of  
said inventions and said application and we do hereby authorize  
the Assignee to apply in our names or its own name for patents  
and like rights of exclusion on or for said inventions in all  
countries, claiming (if the Assignee so desires) the priority of  
the filing date of said application under the provisions of said  
Convention or any such other treaty;

And for the same consideration we do hereby jointly and  
severally agree for ourselves and for our respective heirs,  
executors and administrators, promptly upon request of the  
Assignee, to execute and deliver without further compensation  
any power of attorney, assignment, original, divisional,  
continuing, reissue or other application or applications for

*Pandiscio & Pandiscio, P.C.*  
470 Totten Pond Road  
Waltham, MA 02451  
Tel. (781) 290 0060

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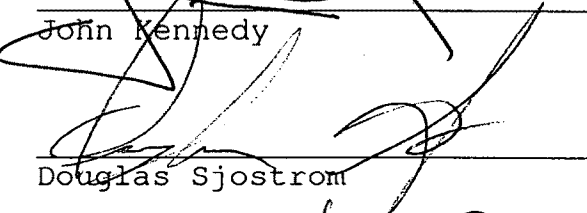
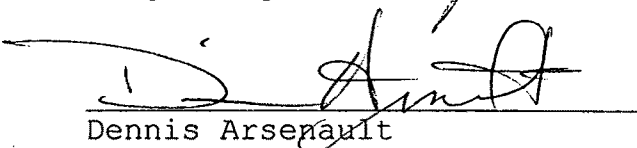
PATENT  
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patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee fully to secure to it said right, title, and interest as aforesaid in and to said inventions, application, and said several patents and like rights of exclusion, or any of them, all, however, at the expense of the Assignee;

And we do hereby authorize and request the Commissioner of Patents of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee any and all patents and like rights of exclusion which may be granted in any country upon said application or on or for said inventions;

And we do hereby jointly and severally covenant for ourselves and for our respective legal representatives and agree with the Assignee that we have granted no right or license to make, use or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title and interest in and to said inventions has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

  
\_\_\_\_\_  
John Kennedy  
\_\_\_\_\_  
Douglas Sjoström  
\_\_\_\_\_  
Dennis Arsenault

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State of Massachusetts )  
County of Worcester ) ss.

Before me this 8<sup>th</sup> day of April, 2011,  
personally appeared John Kennedy, who is to me personally known,  
and acknowledged the foregoing instrument to be his/her free act  
and deed.

SEAL

Dianne A. Hunt  
NOTARY PUBLIC

My commission expires: May 26, 2011

State of Massachusetts )  
County of Worcester ) ss.

Before me this APRIL 8<sup>th</sup> day of April, 2011,  
personally appeared Douglas Sjostrom, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

Dianne A. Hunt  
NOTARY PUBLIC

My commission expires: May 26, 2011

State of Massachusetts )  
County of Worcester ) ss.

Before me this 8<sup>th</sup> day of April, 2011,  
personally appeared Dennis Arsenault, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

Dianne A. Hunt  
NOTARY PUBLIC

My commission expires: May 26, 2011

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