

06/23/2011

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United States Patent and Trademark Office

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06/21/11

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Brent Carl Erickson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) June 7, 2011

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: B. Erickson Manufacturing Ltd.

Internal Address: \_\_\_\_\_

Street Address: 11297 Merritt Line

City: Thamesville

State: Ontario

Country: Canada Zip: N0P 2K0

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.  
A. Patent Application No.(s)  
B. Patent No.(s)

29/373351

Additional numbers attached? ☐ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Riches, McKenzie & Herbert LLP

Internal Address: \_\_\_\_\_

Street Address: 2 Bloor Street East

City: Toronto

State: Ontario Zip: M4W 3J5

Phone Number: 416-961-5000

Fax Number: 416-961-5081

Email Address: riches@patents-toronto.com

**6. Total number of applications and patents involved:** \_\_\_\_\_

**7. Total fee (37 CFR 1.21(h) & 3.41) \$**40.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

June 20, 2011

Date

Michael Adams

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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**PATENT**  
**REEL: 026567 FRAME: 0725**

**PATENT ASSIGNMENT**

In consideration of Five Dollars (\$5.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, **BRENT CARL ERICKSON** whose full post office address is 11297 Merritt Line, Thamesville, Ontario, N0P 2K0, Canada;

Hereby sells, assigns and transfers to **B. ERICKSON MANUFACTURING LTD.**, a corporation of Ontario, Canada, having a place of business at 11297 Merritt Line, Thamesville, Ontario, N0P 2K0, Canada, its successors, assigns and legal representatives, the entire right, title and interest for the United States, Canada and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith (enclosed), and entitled "**SHELF DIVIDER WITH SEPARATOR**" and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Design Letters Patent which have been or shall be filed in the United States, Canada and all foreign countries on any of said improvements; and in and to all original and reissued design patents which have been or shall be issued in the United States, Canada and all foreign countries on said improvements;

Agrees that said Assignee may apply for and receive Design Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other design patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns or representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and applications for design patents and all design patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

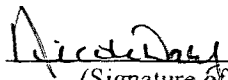
Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

EXECUTED at ~~Thamesville~~ Ontario, Canada, this 7 day of JUNE, 2011.

  
**BRENT CARL ERICKSON**

**DECLARATION OF WITNESS**

I, Nicole Daly, hereby declare that I was personally present and did see Brent Carl Erickson duly sign and execute the foregoing assignment.

  
(Signature of Witness)

Name: Nicole Daly

Address: P.O. Box 10

Kent Bridge, ON N0P1V0

**PATENT**

**REEL: 026567 FRAME: 0726**

Applicant or Patentee: Brent Carl Erickson

Serial or Patent No.: 29/373,351

Atty. Dkt. No.: D4411

Filed or Issued: March 31, 2011

For: Shelf Divider with Separator

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for the purposes of paying reduced fees under section 41(a) and(b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "SHELF DIVIDER WITH SEPARATOR described in

☐ the specification filed herewith

☒ application serial no. 29/373,351 filed March 31, 2011

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concerned or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern or organization

☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME B. Erickson Manufacturing Ltd

ADDRESS 11297 Merritt Lane, Thamesville, Ontario N0P 2K0

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR

Brent Carl Erickson

NAME OF INVENTOR

Signature of Inventor

Date

NAME OF INVENTOR

Signature of Inventor

Date

Date

June 7 / 2011

6/2/11

Applicant or Patentee: Brent Carl Erickson

Serial or Patent No.: 29/373,351 Atty. Dkt. No.: D4411

Filed or Issued: March 31, 2011

For: Shelf Divider with Separator

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☒ (X) the owner of the small business concern identified below:  
☐ ( ) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN B. Erickson Manufacturing Ltd.  
ADDRESS OF CONCERN 11297 Merritt Lane, Thamesville, Ontario N0P 2K0

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "SHELF DIVIDER WITH SEPARATOR" by inventor "BRENT CARL ERICKSON" described in

- ☐ ( ) the specification filed herewith  
☒ (X) application serial no. 29/373,351, filed March 31, 2011  
☐ ( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(d). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ ( ) INDIVIDUAL ☒ (X) SMALL BUSINESS CONCERN ☐ ( ) NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Brent Carl Erickson

TITLE OF PERSON OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING \_\_\_\_\_

11297 Merritt Lane, Thamesville, Ontario N0P 2K0

SIGNATURE [Signature] DATE June 1, 2011

**PATENT**

**RECORDED: 06/21/2011**

**REEL: 026567 FRAME: 0728**