# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# CONVEYING PARTY DATA

Name	Execution Date
Marilyn De La Cruz	04/15/2010
Piotr H. Karpinski	02/18/2010
Yugang Liu	05/15/2010

### **RECEIVING PARTY DATA**

Name:	Novartis AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	4056

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13140490

### CORRESPONDENCE DATA

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Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

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Address Line 4: East Hanover, NEW JERSEY 07936

ATTORNEY DOCKET NUMBER:	PAT053192-US-PCT
NAME OF SUBMITTER:	Denise Cooper

#### Total Attachments: 4

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#### **ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we (1) Marilyn De La Cruz. (2) Piotr H. Karpinski, (3) Yugang Liu, (respectively residing at (1) c/o Novartis. One Health Plaza, East Hanover, New Jersey 07936 USA (2) c/o Novartis, One Health Plaza, East Hanover, New Jersey 07936 USA (3) c/o Novartis, One Health Plaza, East Hanover, New Jersey 07936 USA do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "ASSIGNEE") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled **NEW SALTS** and filed in the United States Patent and Trademark Office on \_\_\_\_\_\_, 20\_ and accorded Application \* and/or filed in the RO/US on 17 December 2009 and accorded International Patent Application Number PCT/US09/68352\*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on 18 December 2008 and accorded Application Number 61/203,051, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

\*We hereby authorize **ASSIGNEE** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of ASSIGNEE or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in ASSIGNEE or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for

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recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEE** or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.

Executed this /5 d	ay of APAI	, 20/_
11 lawly a le Marilyn De La Cruz	Cry	L.S.

**ACKNOWLEDGEMENT** 

State of New Yersey	)
County of Marris	) s.s. )

On this 15 day of 40 (1), 20 (2) personally appeared before me

Hos in the Control of the Control of the same person described

to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

(Seal)

KELLY ANN STRITENBERGER A Notary Public of New Jersey My Commission Expires January 26, 2011

Executed this 18 day of Felor Lan 20/0
Piotr H. Karpiński
ACKNOWLEDGEMENT
State of New Serger )  County of Macris )
On this day of
(Seal)  Collection Steeday  Notary Public  KELLY ANN STRITENBERGER  A Notary Public of New Jersey  My Commission Expires January 26, 2012
Executed this 15th day of April, 2010.  L.S.
Yugang Liu ACKNOWLEDGEMENT
State of <u>Vellersey</u> )  County of <u>Maris</u> )  State of <u>Vellersey</u> )
On this 15 day of 400, 20/c personally appeared before me to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.
(Seal)  Notary Public  KEULY ANN STRITENBERGER A Notary Public of New Jersey  My Commission Expires January 26, 2012

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## FOR CERTIFIED COPY

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehlin, hereby certify that the document attached heretofore is a true and authentic photocopy of the original.

asel, Switzerland, this 25<sup>th</sup> (twenty-fifth) day of May 2011 (two thousand and eleven)

M. Sracher, Morer

Dr. M. Staethelm Reter

Leg.Prot.Nr. 시25구 /2011

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