

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignee previously recorded on Reel 013657 Frame 0183. Assignor(s) hereby confirms the the Assignee is IntercontinentalExchange, Inc.

CONVEYING PARTY DATA

Name	Execution Date
Bruce Tupper	09/12/2002
Charles Vice	09/12/2002
DongChun Xia	09/12/2002
Henry D. Tullis III	09/12/2002
Sanjay Rane	09/12/2002
Cynthia L. Worley	09/12/2002
Tracy Hughes	09/12/2002

RECEIVING PARTY DATA

Name:	IntercontinentalExchange, Inc.
Street Address:	2100 RiverEdge Parkway
Internal Address:	Suite 500
City:	Atlanta
State/Country:	GEORGIA
Postal Code:	30328

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10245848

CORRESPONDENCE DATA

Fax Number: (215)656-2498
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
 Phone: 215-656-3385
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 Address Line 2: 1650 Market Street, Suite 4900

CH \$40.00 10245848

Address Line 4: Philadelphia, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:

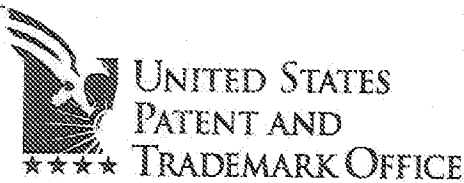
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NAME OF SUBMITTER:

Carol Coney

Total Attachments: 12

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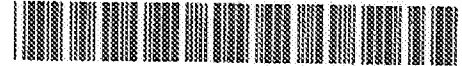
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MAY 16, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

MAYER, BROWN, ROWE & MAW
WAYNE L. TANG
P.O. BOX 2828
CHICAGO, ILLINOIS 60690-2828



102341367A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/17/2002

REEL/FRAME: 013657/0183
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TUPPER, BRUCE

DOC DATE: 09/12/2002

ASSIGNOR:

VICE, CHARLES

DOC DATE: 09/12/2002

ASSIGNOR:

XIA, DONGCHUN

DOC DATE: 09/12/2002

ASSIGNOR:

TULLIS, HENRY D. III

DOC DATE: 09/12/2002

ASSIGNOR:

RANE, SANJAY

DOC DATE: 09/12/2002

ASSIGNOR:

WORLEY, CYNTHIA L.

DOC DATE: 09/12/2002

ASSIGNOR:

HUGHES, TRACY

DOC DATE: 09/12/2002

013657/0183 PAGE 2

ASSIGNEE:

INTERCONTINENTAL EXCHANGE
2100 RIVEREDGE PARKWAY
SUITE 500
ATLANTA, GEORGIA 30328

SERIAL NUMBER: 10245848
PATENT NUMBER:

FILING DATE: 09/17/2002
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-17-2003



FORM PTO-1595
U.S. DEPARTMENT OF COMMERCE
12/10/93
Patent and Trademark Office
Attorney Docket No.02974614

RE

102341367
DOCUMENT ID NO. 102230926

1-13-03

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Assistant Commissioner for Patents: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Bruce Tupper; Charles Vice; Dongchun Xia;
Henry D. Tullis III, Sanjay Rane, Cynthia L. Worley;
Tracy Hughes

2. Name and address of receiving party(ies):

Name: Intercontinental Exchange

Additional name(s) of conveying party(ies) attached?
No

Internal Address: _____

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other _____

Street Address: 2100 RiverEdge Parkway

Suite 500

City: Atlanta, GA 30328

Additional name(s) & address(es) attached? No

Execution Date(s): 9/12/02

4. Application number(s) or patent number(s): Serial No.: 10/245,848

If this document is being filed together with a new application, the execution date of the application is: 9/17/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wayne L. Tang

6. Total number of applications and patents involved: ...1.....

Internal Address: Mayer, Brown, Rowe & Maw

7. Total fee (37 CFR 3.41)..... \$ 400.00

Street Address: P.O. Box 2828

Enclosed
Authorized to be charged to deposit account for fee set forth above or any additional charges required.

City: Chicago State: Illinois ZIP: 60690-2828

8. Deposit account number: 13-0019

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wayne L. Tang, Reg. No. 36,028
Name of Person Signing

Signature

January 13, 2003
Date

Total number of pages including cover sheet:

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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FINANCE SECTION

09-24-2002



102230926

PATENTS ONLY

To the Assistant Commissioner for Patents: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Tupper, et al

Additional name(s) of conveying party(ies) attached?

No

2. Name and address of receiving party(ies):

Name: Intercontinental Exchange

Internal Address: _____

Street Address: 2100 RiverEdge Parkway

Suite 500

City: Atlanta, GA 30328

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

9-17-02

Assignment

Merger

Security Agreement

Change of Name

Other _____

Execution Date(s): 9/12/02

4. Application number(s) or patent number(s): Serial No.:

10/245848

If this document is being filed together with a new application, the execution date of the application is: 9/17/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wayne L. Tang

Internal Address: Mayer, Brown, Rowe & Maw

Street Address: P O. Box 2828

City: Chicago State: Illinois ZIP: 60690-2828

6. Total number of applications and patents involved: ...1.....

7. Total fee (37 CFR 3.41)..... \$ 40.00

Enclosed
Authorized to be charged to deposit account for fee set forth above or any additional charges required.

8. Deposit account number: 13-0019

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wayne L. Tang, Reg. No. 36,028

Name of Person Signing

Signature

September 17, 2002

Date

Total number of pages including cover sheet:

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Assistant Commissioner for Patents
Washington, D.C. 20231

10/245848
09/17/02

ASSIGNMENT

WHEREAS, Bruce Tupper, Charles Vice, Dongchun Xia, Henry D. Tullis III, Sanjay Rane, Cynthia L. Worley and Tracy Hughes the undersigned assignors having their residence as set forth below, have an invention for:

ELECTRONIC TRADING CONFIRMATION SYSTEM

as described and set forth in the application executed by me of even date herewith, preparatory to obtaining Letters Patent of the United States therefor; and

WHEREAS, Intercontinental Exchange, Inc. (hereinafter referred to as "Assignee"), a company organized under the laws of Delaware, with its principal place of business at 2100 RiverEdge Parkway, Suite 500, Atlanta, GA 30328 desires to acquire the entire right, title and interest in and to said invention, improvements, application and any Letters Patents which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof in all countries throughout the world;

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors and assigns, the entire right, title and interest in and to said invention, improvements, and into said application and any Letters Patents which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof, and I authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent which may be granted for or upon said invention or applications, or patents resulting therefrom, insofar as my interest is concerned, unto Assignee in accordance with this Assignment.

I also assign to Assignee, all right, title and interest in and to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

I will communicate to Assignee, its successors and assigns or their representatives, any facts known to me respecting said invention and improvements; and, at the expense of Assignee, I will testify in any legal proceedings relating thereto, sign all lawful papers, execute all divisional, continuing, reissue and substitute applications, make all rightful oaths and declarations, and, in addition, execute any and all documents required to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

I covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Full Name of Inventor: Bruce Tupper
Inventor's Signature *Bruce A. Tupper*
Date: 9/12/2002
Citizenship: United States of America
Residence: 800 Peachtree Street, Unit 8615
City, State: Atlanta, GA 30308

Subscribed and sworn to before me
this 12th day of September, 2002.

Jane Howendick
(Notary Public)

My Commission expires 8-13-06

SEAL


Full Name of Inventor: Charles Vice
Inventor's Signature Charles A. Vice
Date: 9/12/02
Citizenship: United States of America
Residence: 4778 Broxbourne Drive
City, State: Marietta, GA 30068

Subscribed and sworn to before me
this 12th day of September, 2002.

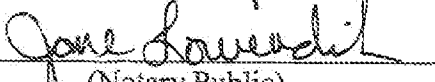
Jane Lowendick
(Notary Public)

My Commission expires 8-13-06

SEAL

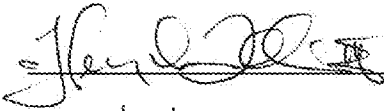
Full Name of Inventor: DongChun Xia
Inventor's Signature 
Date: 9/12/2002
Citizenship: China
Residence: 2166 Soque River Drive
City, State: Duluth, GA 30097

Subscribed and sworn to before me
this 12th day of September 2002.

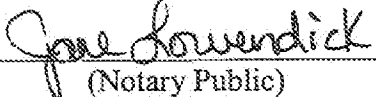

(Notary Public)

My Commission expires 8-13-06

SEAL


Full Name of Inventor: Henry D. Tullis III
Inventor's Signature 
Date: 9/12/02
Citizenship: United States of America
Residence: 5170 Blue Yarrow Run
City, State: Norcross, GA 30092

Subscribed and sworn to before me
this 12th day of September, 2002.


(Notary Public)

My Commission expires 8-13-06

SEAL

Full Name of Inventor: Sanjay Rane
Inventor's Signature 
Date: 09/12/2002
Citizenship: India
Residence: 2015 Highland Parc Place
City, State: Marietta, GA 30067

Subscribed and sworn to before me
this 12th day of September, 2002.


(Notary Public)

My Commission expires 8-13-06

SEAL

Full Name of Inventor: Cynthia L. Worley
Inventor's Signature *Cynthia L. Worley*
Date: 9-12-02
Citizenship: United States of America
Residence: 206 11th Street, #401
City, State: Atlanta, GA 30309

Subscribed and sworn to before me
this 12th day of September, 2002.

Jane Lowendick
(Notary Public)

My Commission expires 8-13-06

SEAL

Full Name of Inventor: Tracy Hughes
Inventor's Signature Tracy Hughes
Date: Sept. 12, 2002
Citizenship: United States of America
Residence: 2806 Langford Commons Drive
City, State: Norcross, GA 30071

Subscribed and sworn to before me
this 12th day of September, 2002.

Jane Howendick
(Notary Public)

My Commission expires 8-13-06

SEAL