# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE	:	NEW ASSIGNMENT		
ATURE OF CONV	EYANCE:	ASSIGNMENT		
ONVEYING PART	Y DATA			
	N	Name	Execution Date	
lengchu Cao	ngchu Cao		05/19/2011	
Brian Conklin	Conklin		05/18/2011	
Paul Schmidt			05/27/2011	
Grace Myong Kim			05/18/2011	
ames A. Davidson			05/18/2011	
Hoa Trinh Tran				
Kristy Luong Tam		05/18/2011		
RECEIVING PARTY	Edwards Lifescienc	es Corporation		
	One Edwards Way			
Street Address:				
nternal Address:	c/o Legal Departme	ent (AnneMarie Kaiser)		
nternal Address: City:	c/o Legal Departme	ent (AnneMarie Kaiser)		
Street Address: ntemal Address: City: State/Country: Postal Code:	c/o Legal Departme	ent (AnneMarie Kaiser)		

Property Type	Number
Application Number:	13104648

## CORRESPONDENCE DATA

Fax Number:	(949)250-6885		
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.			
Phone:	949-250-2574		
Email:	tiffany_anderson@edwards.com		
Correspondent Name:	Edwards Lifesciences Corporation		
Address Line 1:	One Edwards Way		
Address Line 2:	c/o Legal Department (AnneMarie Kaiser)		
Address Line 4:	Irvine, CALIFORNIA 92614		

CH \$40.00 13104648

ATTORNEY DOCKET NUMBER:	ECV-6212
NAME OF SUBMITTER:	AnneMarie Kaiser
Total Attachments: 8   source=ECV-6212_Assignment#page1.tif   source=ECV-6212_Assignment#page2.tif   source=ECV-6212_Assignment#page3.tif   source=ECV-6212_Assignment#page4.tif   source=ECV-6212_Assignment#page5.tif   source=ECV-6212_Assignment#page6.tif   source=ECV-6212_Assignment#page7.tif   source=ECV-6212_Assignment#page8.tif	

Docket No.: ECV-6212

Whereas, we have invented certain new and useful improvements entitled:

### PROSTHETIC HEART VALVE

X for which an application for a United States Letters Patent was filed on <u>May 10, 2011</u>, as U.S. Application Number <u>13/104,648</u>.

for which an application for a United States Letters Patent is filed herewith.

Now therefore, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we hereby convey, transfer and assign to EDWARDS LIFESCIENCES CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned application for United States Letters Patent, and any and all other applications for United States Letters Patent and applications in any other countries which we may file, either solely or jointly with others, on said invention or improvements, including any divisional, continuation and continuation-in-part applications, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said EDWARDS LIFESCIENCES CORPORATION.

We hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignce, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, including the right to enforce said patent and to sue for and recover damages and all other remedies arising out of any and all past, present and future infringement or violation of said Letters Patent, and for said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable

# PATENT REEL: 026579 FRAME: 0807

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international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Dated: / Main Signature:

Typed Name: Hengchu Cao Irvine, CA

Dated: \_\_\_\_\_ Signature:

Typed Name: Brian Conklin Orange, CA

State of At , County of ORANE

On_MAY 19, 2011	before me			
CORENN R. DAVIS	, Notary Public,			
personally appeared				
Hentherthe CAO	,			

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal CORRINN R. DAVIS Commission # 1895701 Notary Public - California **Orange County** My Comm. Expires Jul 16, 2014

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State of \_\_\_\_\_, County of \_\_\_\_\_

On \_\_\_\_\_\_ before me \_\_\_\_\_, Notary Public,

personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature of Notary (Seal)

#### (Joint Inventors) Page 1

international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Dated:

Signature:

Typed Name: Hengchu Cao Irvine, CA

Dated: 5 - 18 - 2011Signature: 52

Typed Name: I

Brian Conklin Orange, CA

State of \_\_\_\_\_, County of \_\_\_\_\_

On \_\_\_\_\_\_ before me \_\_\_\_\_, Notary Public, personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature of Notary (Seal)

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State of <u>(A</u>, County of <u>)</u> (ang before me wia Nelson, Notary Public, personally appeared Brian (onklin

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature of Notary

(Seal)



1

Dated: 27 N	MY 2011
Signature: PA	Sewet
	Paul Schmidt Irvine, CA

State of <u>CA</u>, County of <u>Orange</u> On 5 27 11 before me Shazia Nelson Notary Public, personally appeared Paul Schmidt

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Shugi'a Milson Signature of Notary

(Seal)



### CONTINUED ON NEXT PAGE

Dated:				
Signature:			-	

Typed Name: Grace Myong Kim Garden Grove, CA

State of \_\_\_\_\_, County of \_\_\_\_

before me On

Notary Public,

personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

Dated: Signature:

Typed Name: Paul Schmidt Irvine, CA

State of \_\_\_\_\_, County of \_\_\_\_\_

before me On , Notary Public,

personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

Dated: <u>5-18-2011</u> Signature:

Typed Name: Grace Myong Kim

Garden Grove, CA

State of <u>CA</u>, County of <u>O(ana)</u> before me On <u>5/18/11</u> before me Shapia Nelson, Notary Public, personally appeared Grace Kim

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary

(Seal) SHAZIA NELSON Commission # 1895403 Notary Public - California **Orange County** My Comm. Expires Jul 12, 2014

CONTINUED ON NEXT PAGE

ASSIGNMENT (Joint Inventors) Page 3

Dated: Signature Typed Name: James A. Davidson

San Juan Capistrano, CA

State of CA, County of CRARENE

On MAY 18, 2011 before me <u>Collardian R. DANS</u>, Notary Public, personally appeared JAMES A. DANDSON,

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

CORRINN R. DAVIS Commission # 1895701 Notary Public - California Orange County My Comm Expires Jul 16, 2014

### CONTINUED ON NEXT PAGE

Dated: \_\_\_\_\_\_Signature:

Typed Name: Hoa Trinh Tran Irvine, CA

State of \_\_\_\_\_, County of \_\_\_

On \_\_\_\_\_\_ before me \_\_\_\_\_\_, Notary Public, personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

Dated:

Signature:

Typed Name: James A. Davidson San Juan Capistrano, CA

State of \_\_\_\_\_, County of \_\_\_\_\_

On \_\_\_\_\_\_ before me , Notary Public,

personally appeared

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

Dated: <u>5-18-2011</u> Signature: *Wahhhar* 

Typed Name: Hoa Trinh Tran Irvine, CA

State of <u>CA</u>, County of <u>Orange</u> On <u>5/18/11</u> before me <u>Shaga Melson</u>, Notary Public, personally appeared

<u>Hoa</u> <u>Tran</u> who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary



#### CONTINUED ON NEXT PAGE

ASSIGNMENT (Joint Inventors) Page 4

Dated: 05 - 18 - 2011 Signature:

Typed Name: Kristy Lylong Tam Anabeim, CA

State of <u>CA</u>, County of <u>Orang</u> 5/18/11 before me On Shazia Nelson, Notary Public, personally appeared Kristy Luong Tam

who proved to see on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary (Seal)

SHAZIA NELSON Commission # 1895403 Notary Public - California **Orange County** My Comm. Expires Jul 12, 2014

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**RECORDED: 07/12/2011** 

## PATENT REEL: 026579 FRAME: 0814