Form PTO-1595 (Rev. 01-09) OMB No. 0651-0027 (exp. 02/28/2009) U.S. PTO 13/067800 06/28/2011

07/08/2011



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RECORDATION FORM COVE

PATENTS	S ONL 103628531				
To the Director of the U.S. Patent and Trademark Office: Please	record the attached documents or the new address(es) below.				
1. Name of conveying party(les):	2. Name and address of receiving party(les)				
Hideaki Sakurai (04/04/2011), Toshiaki Watanabe (04/04/2011), Nobuyuki Soyama (04/04/2011), and	MITSUBISHI MATERIALS Name: CORPORATION				
and	Internal Address:				
Additional name(s) of conveying party(ies) attached? X Yes No	Street Address:				
3. Nature of conveyance/Execution Date(s):					
Execution Date(s): \( \) in parentheses after inventor name	3-2, Otemachi 1-chome, Chiyoda-ku				
X Assignment Merger Change of Name	Tokyo, JAPAN				
Security Agreement Joint Research Agreement	City:				
Government Interest Assignment	State:				
Executive Order 9424, Confirmatory License	Country: Zip:				
Other	Additional name(s) & address(es) X Yes No attached?				
4. Application or patent number(s):  A. Patent Application No.(s)  This application	This document is being filed together with a new application.  B. Patent No.(s)				
Additional numbers attached?	Yes X No				
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:				
Name: James E. Armstrong, IV EDWARDS ANGELL PALMER & DODGE , LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00				
Internal Address: Atty. Dkt.: 88890(47762) Street Address: P.O. Box 55874	Authorized to be charged to deposit account     Enclosed     None required (government interest not affecting title)				
City: Boston	8. Payment Information				
State: MA Zip: 02205	1				
Phone Number: (202) 478-7375	C				
Fax Number: (866) 658-1050	Deposit Ac67/88/88/1564/ULLINS 000003461941405 1306				
Email Address: jarmstrong@eapdlaw.com	Authorized မှာရေမျာက James இ Amstrong, IV				
9. Signature:	June 28, 2011				
Signature	Julie 20, 2011				

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)								
Additional Conveying Party(ies)/Execution Date(s) (1. Continued):								
Guillaume Gue	gan (04/04/20	011) ·						
				. •				
Additional A	ssignees (2	. Continued	l):	<del></del>		<del></del>		N.
Assignee Name:	STMicroelec	tronics(Tours	) SAS	-				
Internal Address:		,						
Street Address:	16, rue Pierre 37100 Tours,	et Marie Curie FRANCE	,					
City:		State:	•	_ Country:			Z	Zip:
Assignee Name:			~~~					
Internal Address:			•					
Street Address:								
		,						
City:		State:		Country:			Z	Zip:
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Assignee Name:				<del></del>				
Internal Address:	•							
Street Address:	,					t		
City:		State:	•	_ Country:	······································		Z	Zip:
Additional A	pplications	and/or Pate	nts (4. Co	ntinuea):	·			
Additional Patent A 4A. Continued:	Application Numb	ers		Additional Pa 4B. Continue		ers		
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				<del></del>	l I	<del>-</del>	*	
		Additional numb	ers attached?		Yes	No		

A CEF)

## **ASSIGNMENT BY INVENTORS**

THIS ASSIGNMENT, made by (1) Hideaki SAKURAI (2) Toshiaki
WATANABE (3) Nobuyuki SOYAMA and (4) Guillaume GUEGAN (hereinafter
referred to as Assignors), residing at, (1) and (2) c/o MITSUBISHI MATERIALS
CORPORATION, Central Research Institute, 1002-14, Mukohyama, Naka-shi, Ibaraki-ken,
Japan, (3) c/o MITSUBISHI MATERIALS CORPORATION, SANDA PLANT, 12-6, Technopark,
Sanda-shi, Hyogo-ken, Japan and (4) Rue Pierre et Marie Curie - B.P.7155, F-37071 TOURS
CEDEX 2, France, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in METHOD FOR MANUFACTURING THIN FILM CAPACITOR AND THIN FILM CAPACITOR OBTAINED BY THE SAME, set forth in a Patent application for Letters Patent of the United States, filed herewith; and

WHEREAS, (1) MITSUBISHI MATERIALS CORPORATION and (2)

STMicroelectronics (Tours) SAS, a corporation organized under and pursuant to the laws of (1) Japan and (2) France having its principal place of business at (1) 3-2,

Otemachi 1-chome, Chiyoda-ku, Tokyo, Japan and (2) 16, rue Pierre et Marie Curie,

37100 Tours, France (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial

Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

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Ver. DC 7/2009

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

## EDWARDS ANGELL PALMER & DODGE LLP

All practitioners at Customer Number 21874

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date:	April 4, 2011	Signature: Ale Cy California
		Hideaki SAKURAT
Date:	April 4, 2011	Signature: Joshiaki WATANABE
Date:	April 4, 2011	Signature: Robuyuki SOYAMA
	•	Nobayan Go Mini
Date: _		Signature: Guillaume GUEGAN

## ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by (1) Hideaki SAKURAI (2) Toshiaki WATANABE (3) Nobuyuki SOYAMA and (4) Guillaume GUEGAN (hereinafter referred to as Assignors), residing at,

(1) and (2) c/o MITSUBISHI MATERIALS CORPORATION, Central Research Institute, 1002-14, Mukohyama, Naka-shi, Ibaraki-ken, Japan, (3) c/o MITSUBISHI MATERIALS CORPORATION, SANDA PLANT, 12-6, Technopark, Sanda-shi, Hyogo-ken, Japan and (4) Rue Pierre et Marie Curie - B.P.7155, F-37071 TOURS CEDEX 2, France, respectively;

WHEREAS, Assignors have invented certain new and useful improvements

METHOD FOR MANUFACTURING THIN FILM CAPACITOR AND THIN FILM CAPACITOR OBTAINED BY THE SAME

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NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of

Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

2

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## EDWARDS ANGELL PALMER & DODGE LLP

All practitioners at Customer Number 21874

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date:	Signature:	Hideaki SAKURAI
Date:	Signature:	Toshiaki WATANABE
Date:	Signature:	Nobuyuki SOYAMA
Date: <u>C4/C4/2011</u>	Signature:	Gaillaume GUEGAN

**REEL: 026619 FRAME: 0573** 

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