

07/28/2011



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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John R. Oliver
John D. Oliver

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 20, 2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: AMSTED Rail Company, Inc.

Internal Address: _____

Street Address: 311 S. Wacker, Suite 5300

City: Chicago

State: Illinois

Country: US

Zip: 60606

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/135915

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: AMSTED Industries Incorporated

Internal Address: Edward J. Brosius

Street Address: Two Prudential Plaza

180 N. Stetson St., Suite 1800

City: Chicago

State: Illinois

Zip: 60601

Phone Number: 312-819-8482

Fax Number: 312-819-8484

Email Address: ebrosius@amsted.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Edward J. Brosius
Signature

7-14-11
Date

Edward J. Brosius

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

07/20/2011 EEKUBAY1 00000002 13135915

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40.00 DP

PATENT
REEL: 026672 FRAME: 0387

ASSIGNMENT AND AGREEMENT
Joint Inventors

WHEREAS, John R. Oliver, City of Flossmoor, State of Illinois, John D. Oliver, City of Flossmoor, State of Illinois, hereinafter called the "Assignors", have invented certain new and useful improvements in METHOD AND APPARATUS FOR A RAILWAY WHEEL ULTRASONIC TESTING APPARATUS for a full description of which reference is here made to an application for Letters Patent of the United States and identified as their attorney's Case No. GW012; and

WHEREAS, AMSTED Rail Company, Inc., a corporation organized and existing under the laws of the State of Delaware, having an office and place of business at 311 S. Wacker, Suite 5300, Chicago, Illinois 60606, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient considerations, the receipt of which by the said Assignors from the said Assignee is hereby acknowledged, the said Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and its successors, assigns, and legal representatives, the entire right, title and interest for the United States in and to the invention and application herein identified, and any Letters Patent of the United States that may issue for said invention, together with the entire right, title and interest in and to said invention and application for Letters Patent and Letters Patent therefor in all countries foreign to the United States; to have

and to hold for the sole and exclusive use and benefit of the said Assignee, its successors, assigns and legal representatives, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issued.

And the said Assignors do hereby covenant and agree, for themselves and their legal representatives, that they will assist their said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other application for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinbefore set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

And the said Assignors do hereby covenant with said Assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any adverse assignment, grant, mortgage, license or other encumbrance, and that they have the full right to convey to the same in manner and form as herein expressed.

IN TESTIMONY WHEREOF, the said Assignors has hereunto set his hand on this 20th
day of May 2011.

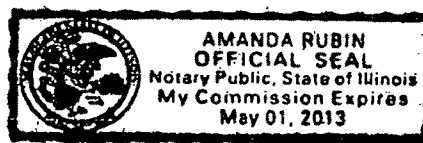
John R. Oliver
John R. Oliver

STATE OF IL)
COUNTY OF COOK) ss.

I, Amanda Rubin, a Notary Public in and for the County and State
aforesaid, do hereby certify that John R. Oliver, personally known to me to be the same person
whose name is subscribed to the foregoing instrument, appeared before me this day in person and
acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary
act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 20
day of May 2011.

Amanda Rubin
Notary Public



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this 20th
day of May 2011.

John D. Oliver
John D. Oliver

STATE OF IL)
COUNTY OF Cook) ss.

I, Amanda Rubin, a Notary Public in and for the County and State
aforesaid, do hereby certify that John D. Oliver, personally known to me to be the same person
whose name is subscribed to the foregoing instrument, appeared before me this day in person and
acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary
act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 20
day of May 2011.

Amanda Rubin
Notary Public

