

# PATENT ASSIGNMENT

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Jerome Jackson	12/20/2005
Roger A. Stern	12/20/2005
David S. Utley	12/21/2005
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BARRx Medical, Inc.
<b>Street Address:</b>	540 Oakmead Parkway
<b>City:</b>	Sunnyvale
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94085
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	13189793
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)212-7562
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
<b>Phone:</b>	650-212-1700
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<b>Correspondent Name:</b>	Robert B. Edesess, Jr.
<b>Address Line 1:</b>	2755 Campus Drive
<b>Address Line 2:</b>	Suite 210
<b>Address Line 4:</b>	San Mateo, CALIFORNIA 94403
<b>ATTORNEY DOCKET NUMBER:</b>	10077-702.303
<b>NAME OF SUBMITTER:</b>	Robert B. Edesess, Jr.
<b>Total Attachments: 2</b> source=10077-702.303_Assgmt_Rcdtn_Cvr_w-Assgmt-Parent_29-Jul-2011#page1.tif source=10077-702.303_Assgmt_Rcdtn_Cvr_w-Assgmt-Parent_29-Jul-2011#page2.tif	

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**PATENT**  
**REEL: 026675 FRAME: 0893**

RECORDATION FORM COVER SHEET  
PATENTS ONLY

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- (1) **Jerome Jackson**  
(2) **Roger A. Stern**  
(3) **David S. Utley**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **BARRx, Inc.**  
**540 Oakmead Parkway**  
**Sunnyvale, CA 94085**

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

**EXECUTION DATES: (1) 12/20/2005; (2) 12/20/2005,**  
**(3) 12/21/2005**

Name and address of receiving party(ies):

Name:

Street Address:

City: State:

Zip:

Country:

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **13/189,793**

Title: **METHODS AND SYSTEMS FOR DETERMINING**  
**PHYSIOLOGIC CHARACTERISTICS FOR TREATMENT OF**  
**THE ESOPHAGUS**

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Robert B. Edesess, Jr.**  
**Shay Glenn LLP**  
**2755 Campus Drive, Suite 210**  
**San Mateo, CA 94403**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ A check is enclosed that includes the total fee.  
☒ Charge the \$40 fee to **Deposit Account 50-4050.**

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8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Robert B. Edesess, Jr., Reg.No. 56,528**

Name of Person Signing

Signature

29-July-2011

Date

Total number of pages including cover sheet, attachments, and documents: **5**

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## ASSIGNMENT OF APPLICATION

Docket Number 28791-702.504

Whereas, the undersigned:

- |  |  |   |    |
|--|--|---|----|
| 1. JACKSON, JEROME<br>1725 Fallen Leaf Avenue<br>Los Altos, CA 94024 | 2. STERN, ROGER A.<br>10418 Palo Vista Road<br>Cupertino, CA 95014 | 3. DAVID S. UTLEY<br>3725 Jefferson Court<br>Redwood City, CA 94062 | 4. |
|--|--|---|----|

hereinafter termed "Inventors", have invented certain new and useful improvements in

METHODS AND SYSTEMS FOR DETERMINING PHYSIOLOGIC  
CHARACTERISTICS FOR TREATMENT OF THE ESOPHAGUS

- ☒ for which an application for United States Patent was filed on October 4, 2005, Application No. 11/244,385.
- ☐ for which a United States Patent issued on \_\_\_, U.S. Patent No. \_\_\_.

WHEREAS, BARRx Medical, Inc., a corporation of the State of Delaware, having a place of business at 540 Oakmead Parkway, Sunnyvale, CA 94085, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

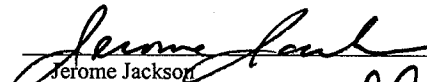
1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 12/20/05Date: 12/20/05Date: 12/21/05


Jerome Jackson

Roger A. Stern

David S. Utley

PATENT

REEL: 026675 FRAME: 0895

RECORDED: 07/29/2011