

# PATENT ASSIGNMENT

Electronic Version v1.1  
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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT												
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT												
<b>CONVEYING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>CHRISTOPHER M. WARNOCK</td> <td>04/29/2003</td> </tr> <tr> <td>RICHARD M. HOLZGRAFE</td> <td>04/29/2003</td> </tr> <tr> <td>TOM J. SANTOS</td> <td>04/29/2003</td> </tr> <tr> <td>JAY C. NOLAN</td> <td>04/29/2003</td> </tr> <tr> <td>KEN ABRAMS</td> <td>07/04/2011</td> </tr> </tbody> </table>		Name	Execution Date	CHRISTOPHER M. WARNOCK	04/29/2003	RICHARD M. HOLZGRAFE	04/29/2003	TOM J. SANTOS	04/29/2003	JAY C. NOLAN	04/29/2003	KEN ABRAMS	07/04/2011
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<b>RECEIVING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;"><b>Name:</b></td> <td>EBRARY, INC.</td> </tr> <tr> <td><b>Street Address:</b></td> <td>318 CAMBRIDGE AVENUE</td> </tr> <tr> <td><b>City:</b></td> <td>PALO ALTO</td> </tr> <tr> <td><b>State/Country:</b></td> <td>CALIFORNIA</td> </tr> <tr> <td><b>Postal Code:</b></td> <td>94306</td> </tr> </table>		<b>Name:</b>	EBRARY, INC.	<b>Street Address:</b>	318 CAMBRIDGE AVENUE	<b>City:</b>	PALO ALTO	<b>State/Country:</b>	CALIFORNIA	<b>Postal Code:</b>	94306		
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<b>PROPERTY NUMBERS Total: 1</b>													
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<b>CORRESPONDENCE DATA</b>													
<p>Fax Number: (650)474-8401  <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 650-474-8400        Email: ptomatters@glenn-law.com        Correspondent Name: Glenn Patent Group        Address Line 1: 3475 Edison Way, Suite L        Address Line 4: Menlo Park, CALIFORNIA 94025</p>													
<b>ATTORNEY DOCKET NUMBER:</b>	EBRY0002C												
<b>NAME OF SUBMITTER:</b>	Michael A. Glenn												
Total Attachments: 7													

CH \$40.00 12367346

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**PATENT**  
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## **ASSIGNMENT**

WHEREAS, I/We, Christopher M. WARNOCK, Richard M. HOLZGRAFE, Tom J. SANTOS, Jay C. NOLAN, and Ken ABRAMS, hereinafter referred to as "ASSIGNOR(S)", have invented certain new and useful improvements, as described and set forth in the below-identified application for United States Letters Patent:

### **Title of Invention: Method and Apparatus for Improved Information Transactions**

Filing Date: February 4, 2011 Serial No. 12/367,346

WHEREAS, ebrary, Inc., a corporation duly organized under and pursuant to the laws of the State of California, having its principal place of business at 318 Cambridge Avenue, Palo Alto, CA 94306, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title, and interest in the said invention and application and in any Letters Patent which may be granted with regard to the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, ASSIGNOR(S) have sold, assigned, and transferred, and by these presents does sell, assign, and transfer unto the said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title, and interest in and to said invention, said application for United States Letters Patent and any Letters Patent which may be hereafter granted on the same in the United States and all countries throughout the world, including any divisions, renewals, continuations in whole or part, substitutions, conversions, reissues, revivals, prolongation, or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR(S) had this assignment and transfer not been made, for all time.

ASSIGNOR(S) further agrees that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge, and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE may lawfully request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

ASSIGNOR(S) grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

IN TESTIMONY WHEREOF, ASSIGNOR(S) has hereunto signed his name to the assignment on the date indicated below.

\_\_\_\_\_  
Christopher M. WARNOCK

\_\_\_\_\_  
DATE

\_\_\_\_\_  
Richard M. HOLZGRAFE

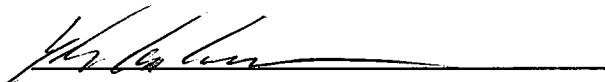
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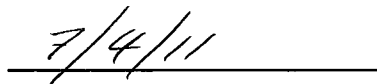
\_\_\_\_\_  
Tom J. SANTOS

\_\_\_\_\_  
DATE

\_\_\_\_\_  
Jay C. NOLAN

\_\_\_\_\_  
DATE

  
\_\_\_\_\_  
Ken ABRAMS

  
\_\_\_\_\_  
DATE

COPY

ASSIGNMENT

*Jay* This assignment is made by *M. OW* *Richard M.* *J* Christopher Warnock, Rick Holzgrafe, Tom Santos and C. Nolan Assignors, to ebrrary, Inc. Assignee, having a place of business at 318 Cambridge Avenue, Palo Alto, CA 94306-1505.

WHEREAS, Assignors have invented a new and useful invention entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION TRANSACTIONS for which an application for United States Letters Patent

- ☒ was filed on 11/27/02 and given Application No. 10/306,806  
☐ is being filed herewith; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights in which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

**COPY**

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
29<sup>TH</sup> day of APRIL, 2003.

ASSIGNOR,

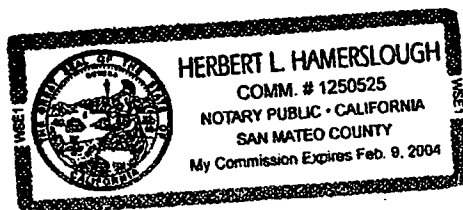
Christopher M. Warnock  
 Christopher Warnock  
 M. CMW

State of California )  
 ) ss  
 County of SANTA CRUZ )

On this 29<sup>TH</sup> day of APRIL, 2003, before me personally appeared Christopher Warnock, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

Herbert L. Hamerslough  
 Notary Public



COPY

157928-0002/P02631US

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
29TH day of APRIL, 2003

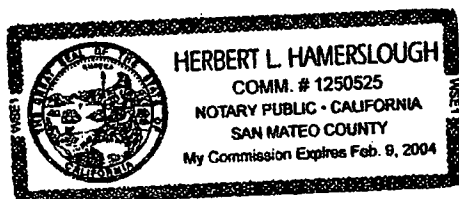
ASSIGNOR,

*[Signature]*  
✓ Rick Holzgrafe  
Richard M. Holzgrafe

State of California )  
County of SANTA CRUZ ) ss

On this 29TH day of APRIL, 2003, before me personally appeared Rick Holzgrafe, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



*[Signature]*  
Notary Public

COPY

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
29<sup>TH</sup> day of APRIL, 2003.

ASSIGNOR,



Tom Santos

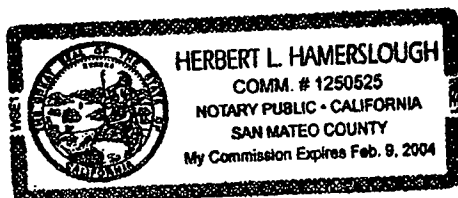
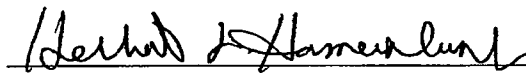
State of California )

) ss

County of SAN MATEO )

On this 29<sup>TH</sup> day of APRIL, 2003, before me personally appeared Tom Santos, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal  
the day and year in this certificate first above written.

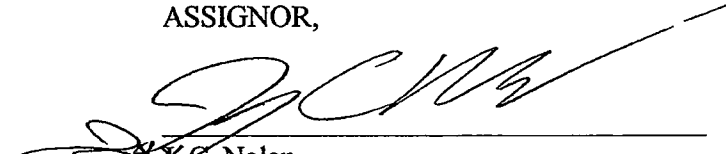
Notary Public



**COPY**

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
29<sup>th</sup> day of APRIL 2003.

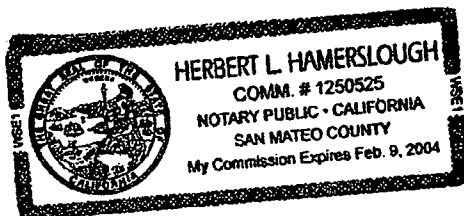
ASSIGNOR,

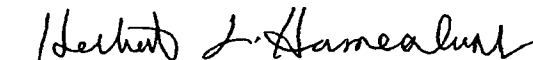
  
 J.C. Nolan  
 Jay

State of California )  
 ) ss  
 County of SANTA CRUZ )

On this 29<sup>th</sup> day of APRIL 2003, before me personally appeared J. C. Nolan, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



  
 Notary Public