

NOVA 200

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FORM COVER SHEET
S ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

YVES LACOMBE, VICTORIA KER, PETER PHUNG MINH HOANG and
PATRICK EVANSI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) JUNE 21, 20, 20, 20, 2011, RESPECTIVELY

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: NOVA Chemicals (International) S.A.

Internal Address:

Street Address: Avenue de la Gare 14

City: 1700 Fribourg

State:

Country: Switzerland

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

13/136127

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kenneth H Johnson

Internal Address:

Street Address: P.O. Box 630708

City: Houston

State: Texas

Zip: 77263

Phone Number: 713 780-7047

Fax Number: 713 780-7671

Email Address: kenneth-h-johnson@sbcglobal.net

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number

Authorized User Name

9. Signature:

Signature

07/13/2011
Date

KENNETH H JOHNSON

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

07/26/2011 CCHAU1 00000015 100740 13136127

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PATENT
REEL: 026705 FRAME: 0486

ASSIGNMENT

In consideration of (\$1.00) One Dollar and other good and valuable consideration, the receipt whereof is hereby acknowledged, we,

LACOMBE, Yves, a Canadian citizen, residing at 228 Hidden Spring Green N.W., Calgary, Alberta, Canada, T3A 5N4;

KER, Victoria, a Malaysian citizen, residing at 120 Harvest Hills Dr. N.E., Calgary, Alberta, Canada, T3K 3X4;

HOANG, Peter Phung Minh, a Canadian citizen, residing at 78 Hamptons Heights N.W., Calgary, Alberta, Canada, T3A 5W1; and

EVANS, Patrick Drew, a Canadian citizen, residing at 406-54 Avenue S.W., Calgary, Alberta, Canada, T2V 0C5;

do hereby sell, assign and transfer to **NOVA Chemicals (International) S.A.**, a corporation of Switzerland, having an office at Avenue de la Gare 14, 1700 Fribourg, Switzerland, its successors and assigns, all our right, title and interest in the United States of America in and to our invention entitled:

"METHOD OF CONTROLLING POLYMER ARCHITECTURE"

for which **LACOMBE, Yves** executed an application for a United States Patent dated the 21 day of June, 2011; **KER, Victoria** executed an application for a United States Patent dated the 20 day of June, 2011; **HOANG, Peter Phung Minh** executed an application for a United States Patent dated the 20 day of June, 2011; and **EVANS, Patrick Drew** executed an application for a United States Patent dated the 20 day of June, 2011.

We further undertake to execute without further consideration all lawful papers, all divisional, continuing and reissue applications, make all rightful oaths, and generally do everything possible to assist and enable the said **NOVA Chemicals (International) S.A.** its successors, assigns and nominees, to obtain and enforce proper patent protection for the said invention.

This indenture shall be binding upon and enure to the benefit of the heirs, executors, administrators, successors and assigns, respectively, of the parties hereto.

SIGNED in the City of Calgary, in the Country of Canada, this 21 day of June, 2011.

WITNESS:

Him Overland

[Signature]
Yves Lacombe

AFFIDAVIT OF EXECUTION

I, *Him Overland*, whose full post office address is c/o NOVA Chemicals Corporation, 2928 - 16 Street NE, Calgary, Alberta, Canada, T2E 7K7

MAKE OATH AND SAY:

That I was personally present and did see **Yves Lacombe** who is personally known to me to be the person named in this assignment duly sign and execute the same.

SWORN before me at the City)
of Calgary, in the Country)
of Canada, this 21 day of)
June, 2011)

Him Overland

[Signature]
A Notary Public in and for the
Province of Alberta

PATENT**REEL: 026705 FRAME: 0487**

SIGNED in the City of Calgary, in the Country of Canada, this 20th day of June 2011.

WITNESS:

Kim Overland

Ma

Victoria Ker

AFFIDAVIT OF EXECUTION

I, Kim Overland, whose full post office address is c/o NOVA Chemicals Corporation, 2928 - 16 Street NE, Calgary, Alberta, Canada, T2E 7K7

MAKE OATH AND SAY:

That I was personally present and did see **Victoria Ker** who is personally known to me to be the person named in this assignment duly sign and execute the same.

SWORN before me at the City)
of Calgary, in the Country)
of Canada, this 21st day of)
June, 2011)

Kim Overland

Simon Scott
A Notary Public in and for the
Province of Alberta

SIGNED in the City of Calgary, in the Country of Canada, this 20th day of June 2011.

WITNESS:

Kim Overland

Peter Phung Minh Hoang

Peter Phung Minh Hoang

AFFIDAVIT OF EXECUTION

I, Kim Overland, whose full post office address is c/o NOVA Chemicals Corporation, 2928 - 16 Street NE, Calgary, Alberta, Canada, T2E 7K7

MAKE OATH AND SAY:

That I was personally present and did see **Peter Phung Minh Hoang** who is personally known to me to be the person named in this assignment duly sign and execute the same.

SWORN before me at the City)
Of Calgary, in the Country)
of Canada, this 21st day of)
June, 2011)

Kim Overland

Simon Scott
A Notary Public in and for the
Province of Alberta

SIGNED in the City of Calgary, in the Country of Canada, this 20th day of June 2011.

WITNESS:

Kim Overland

Patrick Drew Evans

Patrick Drew Evans

AFFIDAVIT OF EXECUTION

I, Kim Overland, whose full post office address is c/o NOVA Chemicals Corporation,
2928 - 16 Street NE, Calgary, Alberta, Canada, T2E 7K7

MAKE OATH AND SAY:

That I was personally present and did see **Patrick Drew Evans** who is personally known to me to
be the person named in this assignment duly sign and execute the same.

SWORN before me at the City)
Of Calgary, in the Country)
of Canada, this 21 day of)
June, 2011)

Kim Overland

Kevin Scott
A Notary Public in and for the
Province of Alberta