## PATENT ASSIGNMENT

## Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASS			WASSIGNMENT		
NATURE OF CONVE	YANCE:	ASSIGNMENT			
CONVEYING PARTY DATA					
		Name		Execution Date	
Jules Westbrook				04/20/2011	
Helen Byers			04/05/2011		
Malcolm Ward				05/03/2011	
RECEIVING PARTY I	ATA				
Name:	Proteome Sci	ences PLC			
Street Address:	Coveham Hou	ise			
Internal Address:	Downside Brid	lge Road, Cobha	am		
City:	Surrey	Surrey			
State/Country:		SDOM			
Postal Code:	KT11 3EP				
	RS Total: 2				
Property Type			Number		
Application Number: 11664		11664076	34076		
Application Number:		13005121	05121		
Application Number: 13005121   CORRESPONDENCE DATA					
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ATTORNEY DOCKET	NUMBER:	0380-P0	0380-P04293US00 & US01		
NAME OF SUBMITTE	ER:	Patrick J	Patrick J. Hagan		
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#### ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS

#### **Parties:**

#### 1 "The Inventor(s)"

#### Jules WESTBROOK

of Conway Institute, Proteome Research Centre, UCD, Dublin, Ireland

#### Helen BYERS

of c/o Proteome Sciences plc, Coveham House, Downside Bridge Road, Cobham, Surrey, KT11 3EP, United Kingdom

#### Malcolm WARD

of c/o Proteome Sciences plc, Coveham House, Downside Bridge Road, Cobham, Surrey, KT11 3EP, United Kingdom

#### 2 "The Assignee"

#### **PROTEOME SCIENCES PLC**

of Coveham House, Downside Bridge Road, Cobham, Surrey KT11 3EP, United Kingdom

#### **Recitals**:

(A) The Inventor(s) is an inventor or are joint inventors of the invention or inventions entitled METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE ("the Invention") for which the patent application(s) set out in Part 2 of the Schedule hereto have been filed ("the Completion Application(s)").

(B) The contributions of the Inventor(s) to the Invention were made in the course of the duties of the Inventor(s) as employee(s) of the Employer, and either the circumstances were such that an invention might reasonably have been expected to result from the carrying out of those duties, or the nature of those duties was such that the Inventor(s) had a special obligation to further the interests of the Employer's undertaking.

(C) The Parties believe that, either by operation of law or by virtue of an agreement entered into by the Parties before the making of the Invention, the Employer was, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventor(s) to the Invention.

(D) In case the Employer for any reason was not so entitled, and in order to ensure and to provide documentary evidence that the Employer is the owner of both the legal title and the beneficial interest in the contributions of the Inventor(s) to the Invention, including any further contributions that the Inventor(s) may make to the development or improvement of the Invention and any matter that shall be contained in any future applications linked by one or more priorities to the Completion Application(s) ("Future Application(s)"), the Inventor(s) has or have agreed to execute this formal Assignment of all their rights title and interest in to under and arising from the Invention, the "Priority Application(s)" (which is or are set out in Part 1 of the Schedule hereto), the Completion Application(s), and any Future Application(s) and all matter that shall be contained in any Future Application(s) and all matter that shall be contained in any Future Application(s).

PATENT REEL: 026735 FRAME: 0173

#### **Operative provisions:**

In consideration for the payment of £1.00 by the Employer to (each of) the Inventor(s), the receipt and sufficiency of which are hereby acknowledged by the Inventor(s):-

1 The Inventor(s) HEREBY SELL(S) AND ASSIGN(S) to the Employer, by way of current assignment of both existing and future rights and property, absolutely and free from incumbrances and with effect from immediately prior to the filing of each Completion Application:

**1.1** all their legal and beneficial rights title and interest in Canada and the United States and all other countries of the world in to under and arising from the Invention and the Priority Application(s) and the Completion Application(s) and any Future Application(s) and all matter that shall be contained in any Future Application(s), and all national and regional phases and any and all divisionals, continuations and continuations-in-part of all of the aforesaid applications, and any and all patents that may be granted pursuant to any of them for the full period thereof, including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates, and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof and to retain any damages obtained as a result of such action;

**1.2** all such rights as they may have to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of the Invention and any invention(s) that shall be disclosed in and all matter that shall be contained in any Future Application(s) to the intent that the grant of any patents or other intellectual property protection for the Invention and for such invention(s) and matter shall be in the name of and shall vest in the Employer or its successors or assigns (either alone or jointly with any co-applicants);

**1.3** all such rights as they may have to claim priority from any or all of the Priority Application(s) and the Completion Application(s) and any Future Application(s) in any and all applications for patent protection for the Invention and any invention(s) that shall be disclosed in any Future Application(s), such rights to be enjoyed by the Employer as from the date of filing of each patent application from which priority is claimed;

to the intent that the Employer shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by the Inventor(s) had this assignment not been made.

2 The Inventor(s) and the Employer HEREBY GRANT the firm of Mewburn Ellis LLP the power to insert in Part 3 of the Schedule to this Assignment any further identification of any existing or future patent applications in Canada or the United States or any other countries or regions of the world which shall fall within the scope of clause 1 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other patent office for recordation of this document. It is understood and agreed that the Employer's attorneys Mewburn Ellis LLP have represented only the Employer and will continue to represent only the Employer and its successors and assigns with respect to this Assignment.

3 The Inventor(s) HEREBY UNDERTAKE(S) that at the request and cost of the Employer or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian or United States or any other patent applications which relate to the Invention or to any invention(s) that shall be disclosed in any Future Application(s) and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure or to provide further evidence of the vesting in the Employer or its successors or assigns of all legal and beneficial rights title and interest intended to be assigned to the Employer hereunder and to confirm the title of the Employer or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning the Invention or any invention(s) that shall be REEL: 026735 FRAME: 0174 disclosed in any Future Application(s) or any application for patent or other intellectual property protection for any of the said inventions or any intellectual property protection granted pursuant to any such application.

4 The Inventor(s) HEREBY REQUEST(S) the relevant authorities in all countries of the world to issue any patents granted for the Invention or any invention(s) that shall be disclosed in any Future Application(s) in the name of the Employer or its successors or assigns (either alone or jointly with any co-applicants) in accordance with this assignment.

5 This assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of the Inventor(s) and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of the Employer.

**6** This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

AS WITNESS the signatures of the Inventor(s) and of a duly authorised officer of the Employer

### PATENT REEL: 026735 FRAME: 0175

#### SCHEDULE

## Part 1 - the Priority Application(s)

Country/region	Application No.	Application Date	Title
UK	0421639.6	29.09.2004	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE

## Part 2 - the Completion Application(s)

Country/region	Application No.	Application Date	Title
PCT	PCT/GB2005/003756	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
USA	11/664076	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
USA	13/005121	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
EP	1794594	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
Australia	2005288689	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
Canada	2580532	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE
Japan	2007-534083	29.09.2005	METHODS AND COMPOSITIONS RELATING TO ALZHEIMER'S DISEASE

# Part 3 - Identification of some, but not necessarily all, patent applications falling within the scope of clause 1 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis LLP

PATENT REEL: 026735 FRAME: 0176

SIGNED by the said	)	
Jules WESTBROOK	)	
at: ST. JAMES UNIVERSITY HUSPERNE	)	11 11 14 1
on: 20-4-2011	}	Signature: Jules Allerthout
in the presence of:	)	
<u>Witness</u>		v
Signature: Skice Was		
Name: STEVEN WOOD		
Address: ST JAMES VANVERSITY NO.		•
DIPT OF CLINKAL PROTED.	HICS	/
KELLIT STELLT. LCEOS, LS9 77F.		
SIGNED by the said	}	
Helen BYERS	)	
at:	)	
on:	)	Signature:
in the presence of:	)	
Witness		
Signature:		
Name:		
Address:		
SIGNED by the said	)	
Malcolm WARD	)	
at:	)	
on:	}	Signature:
in the presence of:	)	
<u>Witness</u>		
Signature:		

Address:

Name:

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SIGNED by the said Jules WESTBROOK at: on: in the presence of: <u>Witness</u> Signature:	) ) )	Signature:
Name: Áddress:		
SIGNED by the said Helen BYERS at: on: <u>Sth.A.M.L.O.L.</u> in the presence of: <u>Witness</u> Signature: Name: Address:	) ) )	Signature: 11 ( B.46.5
SIGNED by the said	)	
Malcolm WARD	)	
at:	)	
on:	)	Signature:
in the presence of:	)	· · · · ·
Witness		
Signature:		
Name:		
Address:		

SIGNED by the said	)	
Jules WESTBROOK	)	
at:	)	
on:	)	Signature:
in the presence of:	)	
Witness		
Signature:		
Name:		
Address:		

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Signature: .....

MeWard

SIGNED by the said } Malcolm WARD at Institute of Reychiatry, on: 3/5/11 at 3pm) Signature: ..... in the presence of: ) Witness Signature: USebh Name: Enma Schofield Address: Poteone Sciences Institute of Asychiatry Icings' College London London SEG SAF

SIGNED for and on behalf of ) **PROTEOME SCIENCES PLC** ) at: COBHAN, SURREY In PL ) on: 18 July 2011 Signature: ..... ) IAN PIKE in the presence of: Name: ) chief operating Officer Position: Witness Signature: Aus. J. Lall y Name: ALLSON DILLCOCKS Address: 10 LOWER GREEN RD RSHER BURRET KTIO SHD

## PATENT REEL: 026735 FRAME: 0180

**RECORDED: 08/11/2011**