

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

| SUBMISSION TYPE:   | NEW ASSIGNMENT                |                |                     |            |        |            |         |            |  |
|--|-------------------------------|----------------|---------------------|------------|--------|------------|---------|------------|--|
| NATURE OF CONVEYANCE:  | ASSIGNMENT                    |                |                     |            |        |            |         |            |  |
| CONVEYING PARTY DATA   |                               |                |                     |            |        |            |         |            |  |
| <table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Jing Wang</td><td>08/05/2011</td></tr><tr><td>Jun Xu</td><td>08/05/2011</td></tr><tr><td>Lei Guo</td><td>08/05/2011</td></tr></tbody></table> | Name                          | Execution Date | Jing Wang           | 08/05/2011 | Jun Xu | 08/05/2011 | Lei Guo | 08/05/2011 |  |
| Name   | Execution Date                |                |                     |            |        |            |         |            |  |
| Jing Wang  | 08/05/2011                    |                |                     |            |        |            |         |            |  |
| Jun Xu   | 08/05/2011                    |                |                     |            |        |            |         |            |  |
| Lei Guo  | 08/05/2011                    |                |                     |            |        |            |         |            |  |
| RECEIVING PARTY DATA   |                               |                |                     |            |        |            |         |            |  |
| Name:  | Tsinghua University           |                |                     |            |        |            |         |            |  |
| Street Address:  | Qinghuayuan, Haidian District |                |                     |            |        |            |         |            |  |
| City:  | Beijing                       |                |                     |            |        |            |         |            |  |
| State/Country:   | CHINA                         |                |                     |            |        |            |         |            |  |
| Postal Code:   | 100084                        |                |                     |            |        |            |         |            |  |
| PROPERTY NUMBERS Total: 1  |                               |                |                     |            |        |            |         |            |  |
| <table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>13201903</td></tr></tbody></table>   | Property Type                 | Number         | Application Number: | 13201903   |        |            |         |            |  |
| Property Type  | Number                        |                |                     |            |        |            |         |            |  |
| Application Number:  | 13201903                      |                |                     |            |        |            |         |            |  |
| CORRESPONDENCE DATA  |                               |                |                     |            |        |            |         |            |  |
| Fax Number: (713)754-7575<br><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>  |                               |                |                     |            |        |            |         |            |  |
| Phone: 713-374-3575  |                               |                |                     |            |        |            |         |            |  |
| Email: LAIPMAIL@GTLAW.COM  |                               |                |                     |            |        |            |         |            |  |
| Correspondent Name: Jason P. Sander  |                               |                |                     |            |        |            |         |            |  |
| Address Line 1: 1000 Louisiana Street  |                               |                |                     |            |        |            |         |            |  |
| Address Line 2: Suite 1700   |                               |                |                     |            |        |            |         |            |  |
| Address Line 4: Houston, TEXAS 77002   |                               |                |                     |            |        |            |         |            |  |
| ATTORNEY DOCKET NUMBER:  | 132282.010600/US              |                |                     |            |        |            |         |            |  |
| NAME OF SUBMITTER:   | Jason P. Sander               |                |                     |            |        |            |         |            |  |
| Total Attachments: 4<br>source=Assignment#page1.tif<br>source=Assignment#page2.tif<br>source=Assignment#page3.tif<br>source=Assignment#page4.tif   |                               |                |                     |            |        |            |         |            |  |

CH \$40.00 13201903

501628957

PATENT  
REEL: 026763 FRAME: 0530

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Jing Wang; Jun Xu; and Lei Guo

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 08/05/2011

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Tsinghau University

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

Qinghuayuan, Haidian District

City: Beijing

State: \_\_\_\_\_

Country: P. R. China Zip: 100084

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Jason P. Sander

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

1000 Louisiana Street, Suite 1700

City: Houston

State: Texas Zip: 77002

Phone Number: 713.374.3575

Fax Number: 7137547575

Email Address: laipmail@gtlaw.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 50-2638 Order No. 132282.010600/US

Authorized User Name Jason P. Sander

**9. Signature:**

/Jason P. Sander/  
Signature

August 17, 2011  
Date

Jason P. Sander  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

## **DECLARATION AND ASSIGNMENT**

**WHEREAS we:**

(1) **WANG, Jing, a citizen of P.R. China, having a residence of Qinghuayuan, Haidian District, Beijing 100084, P.R. China;**

(2) **XU, Jun, a citizen of P.R. China, having a residence of Qinghuayuan, Haidian District, Beijing 100084, P.R. China; and**

(3) **GUO, Lei, , a citizen of P.R. China, having a residence of Qinghuayuan, Haidian District, Beijing 100084, P.R. China;**

**Have jointly invented an invention entitled, GE-ON-INSULATOR STRUCTURE AND METHOD FOR FORMING THE SAME, which is described in PCT Application No. PCT/CN2011/077703, filed on July 27, 2011, and Chinese Patent Application No. 201110025618.X, filed on January 24, 2011;**

**NOW THEREFORE, as an above-named inventor, I hereby declare that:**

**My residence, post office address and citizenship is as stated next to my name;**

**I believe I am a first original and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention described in the above-identified PCT Application;**

**I have reviewed and understand the contents of the specification, including the claims, of the above-identified PCT Application, as amended by any amendment specifically referred to above;**

**I acknowledge my duty to disclose to the United States Patent and Trademark Office all information known to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56; and**

**FURTHERMORE, be it known that I, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignees do sell, assign and transfer unto said assignee:**

**Tsinghua University having a place of business at Qinghuayuan,  
Haidian District, Beijing 100084, P.R. China;**

**its successors, legal representatives and assigns, the aforesaid PCT Application and all rights to claim priority directly or indirectly to the aforesaid application and all continuation, divisional, continuation-in-part and reissue applications, all patent applications that claim priority directly or indirectly to the aforesaid application, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention(s), and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention(s) in the United States or any other country; we also assign any right, title or interest in and to the invention(s) that has not already been transferred to the assignees; we warrant that no assignment of the invention(s), application or patent therefor has been made to a party other than Tsinghua University; we warrant that there is no obligation to make any assignment of the invention(s), application, or any patent therefor to any party other than Tsinghua University; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention(s), but at the expense of said assignee.**

**I hereby declare that the Commissioner For Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment to Tsinghua University, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.**

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity or enforceability of the above-identified patent application or any patent issued thereon.

First Joint Inventor: WANG, Jing  
Inventor's Signature: Wang Jing  
Date: Aug. 5, 2011  
Witness: \_\_\_\_\_  
Date: \_\_\_\_\_

Second Joint Inventor: XU, Jun  
Inventor's Signature: XU, Jun  
Date: Aug. 5, 2011  
Witness: Wang Jing  
Date: \_\_\_\_\_

Third Joint Inventor: GUO, Lei  
Inventor's Signature: Guo, Lei  
Date: Aug 5, 2011  
Witness: Wang Jing  
Date: \_\_\_\_\_