

08/23/2011

U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Real Time Risk Systems LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 18, 2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Warp 11 Holdings, LLC

Internal Address: c/o Tarter Krinsky & Drogin LLP

Street Address: 1350 Broadway

City: New York

State: New York

Country: USA Zip: 10018

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/198,301 Filed August 8, 2005

Additional numbers attached? ☐ Yes ☒ No



5. Name and address to whom correspondence concerning document should be mailed:

Name: Douglas Gilbert

Internal Address: Tarter Krinsky & Drogin LLP

Street Address: 1350 Broadway

City: New York

State: New York Zip: 10018

Phone Number: (212) 216-1120

Fax Number: (212) 216-8001

Email Address: dgilbert@tarterkrinsky.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Douglas J. Gilbert #30,840
Signature

01 FC:8021

8/18/2011
Date

Douglas J. Gilbert

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

W A R P 1 1 H O L D I N G S, L L C

c/o Tarter Krinsky & Drogin LLP
1350 Broadway, New York, NY 10018

ASSIGNMENT

For valuable consideration, REAL TIME RISK SYSTEMS LLC, of 82 Wall Street, Suite 500, New York, NY 10005, hereby assign to: Warp 11 Holdings, LLC, a corporation of Delaware having a place of business at:


1350 Broadway, 11th Floor New York, NY 10018 (c/o Tarter Krinsky & Drogin LLP);
and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled ESTIMATING RISK OF A PORTFOLIO OF FINANCIAL INVESTMENTS, filed August 8, 2005 and assigned U.S. Serial Number 11/198,301, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements; any and all divisional, continuation, continuation-in-part or reissue applications of said application and any and all patents issuing therefrom; and any application or patent claiming priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

c/o Tarter Krinsky & Drogin LLP
1350 Broadway, New York, NY 10018

~~ROBERT LLOYD NAVIN, President and Managing Member of Real Time Risk~~
ns LLC (Owner)

On the 18th day of August 2011, before me, the undersigned, personally appeared ROBERT LLOYD NAVIN, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.


Notary Public

GEORGINA BENJAMIN
Notary Public, State of New York
Qualified in New York County
Reg. No. 01BE6219092
My Commission Expires 03-22-2014