PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
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| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|-----------------|----------------|
| Shimon Weiss | 02/10/1999 |
| Marcel Bruchez | 03/23/1999 |
| Paul Allvisatos | 02/08/1999 |

RECEIVING PARTY DATA

| Name: | The Regents of the University of California |
|-------------------|---|
| Street Address: | 1111 Franklin Street |
| Internal Address: | 12th Floor |
| City: | Oakland |
| State/Country: | CALIFORNIA |
| Postal Code: | 94607-5200 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 13097430 |

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER: LBNL.048P1C7

NAME OF SUBMITTER: Jeffrey Tung

Total Attachments: 3

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PATENT REEL: 026822 FRAME: 0075

ASSIGNMENT

| LBL | Case No. | IB-1330A | C-I-P |
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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

- 1. Shimon Weiss
- 2. Marcel Bruchez
- 3. Paul Alivisatos

hereby sells. assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12TH Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SEMICONDUCTOR NANOCRYSTALS PROBE FOR BIOLOGICAL APPLICATIONS AND PROCESS
FOR MAKING AND USING SUCH PROBES

| FOR MAKING AND USING SUCH PROBES | | | |
|--|--|--|--|
| and which is found in | | | |
| (E) | U.Spatent application executed on entitled as above and listing the above named persons as inventors | | |
| (b)⊠ | ☑ U.S. application serial no. UNASSIGNED filed on 3/1 , 1999, | | |
| (c) | U.S. Patent No.:, issued | | |
| and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; | | | |
| ASSIGN into whi | IOR(S) hereby covenants that no assignment, sale ich would conflict with this assignment; | agreement or encumbrance has been or will be made or entered | |
| ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. | | | |
| AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California. | | | |
| executed | f this: | Signature of Inventor(s) | |
| 10 | day of Feldman, 1999 | Shimon Weiss | |
| | day of , 19 | | |
| | day of, 19 | Marcel Bruchez | |
| | | Paul Alivisatos | |

Rev. 5-95

ASSIGNMENT

LBL Case No. IB-1330A C-I-P

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

- 1. Shimon Weiss
- 2. Marcel Bruchez
- 3. Paus Allvisatos

hereby sells, assign(s) and transfer(s) to ASSIGNEE. The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12TM Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its cerritorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SEMICONDUCTOR NANOCRYSTALS PROBE FOR BIOLOGICAL APPLICATIONS AND PROCESS
FOR MAKING AND USING SUCH PROBES

| TIO MI | and which is loomed in | | |
|-------------|---|---|--|
| (a) [| U.Spatent application executed on entitle | ed as above and listing the above named | |
| (b) | U.S. application serial no. 09/259,982 filed on | MARCH 1, 1999, | |
| (c) | U.S. Patent No.: , issued | | |

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSEGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and logal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its logal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may accessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Tradomarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE. The Regents of The University of California.

| executed this: | Signature of Inventor(s) |
|---------------------------------|---|
| 23 day of Mu-ch . 19 99 day of | Shimon Welss Marcel Bruchez Paul Alivisatos |

Rev. 5-95

ASSIGNMENT

| LBL Case No. IB-1330 |)A. | |
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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) 2. Marcel Bruchez

1. Shimon Weiss 3. Paul Alivisatos

hereby sells, assign(s) and transfer(s) to ASSIGNEE. The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12^{TK} Floor, Oakland, CA 94607-5200 and the successors, assigns and logal representatives of the ASSIGNEE all of its right, title and interest for the United Strates and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SEMI CONDUCTOR NANOCRYSTALS PROBE FOR BIOLOGICAL APPLICATIONS AND PROCESS

| | FOR MAKE | NG AND USING SUCH PROBES |
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| and which | ch is found in | |
| (a) 🗌 | U.Spatent application execupersons as inventors | red on entitled as above and listing the above named |
| (ь)⊠ | U.S. application serial no. 09/259, | 982filed on 3/1: , 1999 , |
| (c) | U.S. Patent No.:, Issued | |
| to be ob or substi | tained for said invention by the above ap | try, including the right to claim priority and, in and to, all Letters Patent plication or any continuation, division, continuation-in-part, extension on or extension of said Letters Patent and all rights under all International city: |
| | OR(S) hereby covenants that no assignment; ch would conflict with this assignment; | ent, sale, agreement or encumbrance has been or will be made or entered |
| documer ASSIGN execute a for, obta which m execution | its re-ating to said invention and said Li IOR and will testify as to the same in any is and deliver to ASSIGNED or its legal repr in, maintain, issue or enforce said applied ay necessary or desirable to carry out the | will, upon its request, be provided promptly with all pertinent facts and exters Patent and logal equivalents as may be known and accessible to interference, litigation, or proceeding relating thereto and will promptly esentative any and all papers, instruments or affidavits required to apply ation, said invention and said Letters Patent and said equivalents thereof purposes thereof. Any attorney of record is authorized and requested by the assignment the filing date and serial number of said application when |
| AND the States at | ASSIGNOR(S) requests the Commission and any recissue or extension thereof to the | er of Patents and Trademarks to issue said Letters Patent of the United ASSIGNEE. The Regents of The University of California. |
| executed | this. | Signature of Inventor(s) |
| 8 [±] L | day of . 19_ day of | Shimon Weiss Marcel Brochez Paul Alivivatos |

Rev. 5-95

PATENT REEL: 026822 FRAME: 0078