

# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Jurgen Hausmann	08/10/2011
Karen Baur	08/10/2011
Kay Brinkman	08/11/2011
RECEIVING PARTY DATA	
Name:	Bavarian Nordic GmbH
Street Address:	Fraunhoferstrasse 13
City:	Martinsried
State/Country:	GERMANY
Postal Code:	D-82152
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13220771
CORRESPONDENCE DATA	
Fax Number:	(202)688-2790
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	202.772.1101
Email:	sal@arrigo.us
Correspondent Name:	Salvatore Arrigo
Address Line 1:	1050 Conn. Ave NW
Address Line 2:	10th Floor
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20036
ATTORNEY DOCKET NUMBER:	BN63PCT-CIP-US
NAME OF SUBMITTER:	SALVATORE J. ARRIGO
Total Attachments: 3 source=ASSIGN#page1.tif source=ASSIGN#page2.tif source=ASSIGN#page3.tif	

OP \$40.00 13220771

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**PATENT**  
**REEL: 026825 FRAME: 0907**

CONFIRMATORY ASSIGNMENT

WHEREAS We, the inventors, Jürgen Hausmann, Karen Baur, and Kay Brinkman, (hereinafter referred to as 'Assignors'), have made certain new and useful inventions set forth in a U.S. Patent Application entitled, "REPLICATION DEFICIENT RECOMBINANT VIRUSES EXPRESSING ANTIGENS REGULATED BY TRANSCRIPTIONAL CONTROL ELEMENTS COMPRISING MULTIPLE ELEMENTS," to be filed; and

WHEREAS, pursuant to the provisions of the German Employees' Inventions Act (*Arbeitnehmererfindungsgesetz*), Assignors' entire right, title and interest in and to the above-identified invention; the U.S. Application to be filed, all rights to claim priority on the basis of the application; all divisions, continuations, and continuations-in-part thereof derived from the application and claiming benefit thereof, which may be or have been filed in the United States or any foreign country; all Letters Patent or Patents which may be or have been granted thereon in the United States or any foreign country; and all extensions, renewals, and reissues thereof have been and are hereby sold, assigned, transferred and set over to Bavarian Nordic GmbH (formerly known as 'Bavarian Nordic Research Institute GmbH'), a corporation organized under the laws of Germany having its principal place of business at Fraunhoferstrasse 13, D-82152, Martinsried, Germany, by operation of German law to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as if the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made;

WHEREAS, pursuant to the Service Agreement between Bavarian Nordic GmbH and Bavarian Nordic A/S, effective January 1, 2000, and Agreement executed October 27, 2008, Bavarian Nordic GmbH's entire right, title and interest in and to the above-identified invention and U.S. Application; all rights to claim priority on the basis of the application; all divisions, continuations, and continuations-in-part thereof derived from the application and claiming benefit thereof, which may be or have been filed in the United States or any foreign country; all Letters Patent or Patents which may be or have been granted thereon in the United States or any foreign country; and all extensions, renewals, and reissues thereof have been and are hereby sold, assigned, transferred and set over to Bavarian Nordic A/S (formerly known as 'Bavarian Nordic Research Institute A/S'), a corporation organized under the laws of Denmark having its principal place of business at Hejreskovvej 10A, DK-3490 Kvistgaard, Denmark, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as if the same would have been held and enjoyed by Bavarian Nordic GmbH, had this sale and assignment not been made;

WHEREAS both Assignors and Bavarian Nordic GmbH wish to hereby sell, assign, transfer, and set over and confirm the sale, assignment, transfer, and set over of their entire right, title and interest in and to the above-identified invention and U.S. Application, all rights to claim priority on the basis of the application; all divisions, continuations, and continuations-in-part thereof derived from the application and claiming benefit thereof, which may be or have been filed in the United States or any foreign country; all Letters Patent or Patents which may be or have been

granted thereon in the United States or any foreign country; and all extensions, renewals, and reissues thereof;

NOW THEREFORE, for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, Assignors and Bavarian Nordic GmbH hereby sell, assign, transfer, and set over and confirm the sale, assignment, transfer and set over of their entire respective right, title and interest in and to the above-identified invention and U.S. application, all rights to claim priority on the basis of the application; all divisions, continuations, and continuations-in-part thereof derived from the application and claiming benefit thereof, which may be or have been filed in the United States or any foreign country; all Letters Patent or Patents which may be or have been granted thereon in the United States or any foreign country; and all extensions, renewals, and reissues thereof to Bavarian Nordic GmbH (by Assignors) and to Bavarian Nordic A/S (by Bavarian Nordic GmbH); and

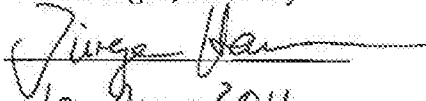
WE HEREBY covenant that Assignors and Bavarian Nordic GmbH had/have the full right to convey their entire respective right, title and interest by operation of German law and/or the prior agreements referred to herein; and that we will not execute any agreement in conflict with this Confirmatory Assignment or the prior agreements referred to herein; and

WE HEREBY further covenant and agree that we will, without further consideration, communicate with Bavarian Nordic A/S, its successors and assigns, any facts known to us regarding the above-identified invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to the above-identified invention in Bavarian Nordic A/S, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Bavarian Nordic A/S, its successors and assigns, to obtain and enforce proper patent protection for the above-identified invention in the United States and any foreign country, with the understanding that any expense relating to the execution of such papers shall be borne by Bavarian Nordic A/S, its successors and assigns.


IN TESTIMONY WHEREOF, we have hereunto set our hands.

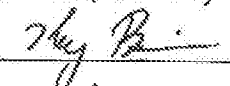
Name: Jürgen Hausmann

Address: Alte Bundesstrasse 130, D-79194  
Gundelfingen, Germany

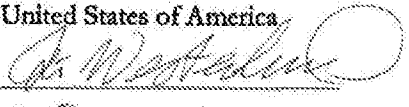
Signature: 

Date: 

Name: Karen Baur  
Address: Daiserstrasse 15, D-81371  
Munich, Germany  
Signature:   
Date: 08/10/2011

Name: Kay Brinkman  
Address: Edlingstrasse 14, D-81543  
Munich, Germany  
Signature:   
Date: 11 Aug 2011

Name: Petra Pielken (Senior Patent Counsel)  
Address: Bavarian Nordic GmbH  
Fraunhoferstrasse 13  
D-82152 Martinsried, Germany  
Signature: J.V. Petra Pielken  
Date: 11-Aug-2011

Name: Li Westerlund (Vice President, Global Intellectual Property Bavarian Nordic Group)  
Address: Bavarian Nordic A/S  
c/o BN ImmunoTherapeutics, Inc.  
2425 Garcia Avenue  
Mountain View, CA 94043-1106  
United States of America  
Signature:   
Date: 11 August 2011