

# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Brett A. Helms	08/31/2011
Andrea R. Bayles	09/01/2011
RECEIVING PARTY DATA	
Name:	The Regents of the University of California
Street Address:	1111 Franklin Street, 12th Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13225199
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Michelle Chew Wong / Sharon Kay Reineman
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Address Line 4:	BERKELEY, CALIFORNIA 94720
ATTORNEY DOCKET NUMBER:	IB-2849
NAME OF SUBMITTER:	Sharon Kay Reineman
Total Attachments: 4 source=2849P_Helms-signed-approved_Assignment083111#page1.tif source=2849P_Helms-signed-approved_Assignment083111#page2.tif source=2849P_Bayles_signed-approved_Assignment090111#page1.tif source=2849P_Bayles_signed-approved_Assignment090111#page2.tif	

CH \$40.00 13225199

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**PATENT**  
**REEL: 026853 FRAME: 0682**

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

**Brett A. Helms** Residing at **4 Jennifer Place**  
**San Francisco, CA 94107**

**Andrea R. Bayles** Residing at **60 Heritage Hill Road**  
**New Canaan, CT 06840**

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**TITLE: Cytosolic Delivery of Materials with Endosome-Disrupting Colloids**

and which is found in U.S. patent application serial no. 61/380,169 filed on September 3, 2010

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Executed this:

31 day of August, 2011

Signature of Inventor:

  
Brett A. Helms

Executed this:

\_\_\_\_ day of \_\_\_\_\_, 2011

Signature of Inventor:

\_\_\_\_\_  
Andrea R. Bayles



LBL Case No. IB-2849P

## ASSIGNMENT

Hereby Accepted on behalf of  
The Regents of the University of California

Signature Sharon Kay Reineman  
Name Sharon Kay Reineman  
Title Patent Admin., LBNL  
Date 8/31/11

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

**Brett A. Helms** Residing at **4 Jennifer Place**  
**San Francisco, CA 94107**

**Andrea R. Bayles** Residing at **60 Heritage Hill Road**  
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**TITLE: Cytosolic Delivery of Materials with Endosome-Disrupting Colloids**

and which is found in U.S. patent application serial no. **61/380,169** filed on **September 3, 2010**

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Executed this:

Signature of Inventor:

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
**Brett A. Helms**

Executed this:

Signature of Inventor:

1st day of September, 2011

\_\_\_\_\_  
**Andrea R. Bayles**

**PATENT**

**REEL: 026853 FRAME: 0685**



LBNL Case No. IB-2849P

## ASSIGNMENT

Hereby Accepted on behalf of  
The Regents of the University of California

Signature Sharon Kay Reineman  
Name Sharon Kay Reineman  
Title Patent Admin, LBNL  
Date Sept 1, 2011