# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
SurModics, Inc.	08/01/2011

## **RECEIVING PARTY DATA**

Name:	SurModics IVD, Inc.
Street Address:	9924 West 74th Street
City:	Eden Prairie
State/Country:	MINNESOTA
Postal Code:	55344

## PROPERTY NUMBERS Total: 15

Property Type	Number
Application Number:	08208916
Application Number:	08354953
Application Number:	09240466
Application Number:	09028806
Application Number:	09521545
Application Number:	10844667
Application Number:	09972687
Application Number:	10677022
Application Number:	11901033
Application Number:	12878236
Application Number:	10141177
Application Number:	11648911
Application Number:	10727537
Application Number:	11262715
Application Number:	61366020

PATENT

REEL: 026921 FRAME: 0105

## **CORRESPONDENCE DATA**

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via US Mail.

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Address Line 4: Minneapolis, MINNESOTA 55402

ATTORNEY DOCKET NUMBER: 1001 VARIOUS IVD

NAME OF SUBMITTER: | Mark E. Deffner

#### Total Attachments: 4

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PATENT REEL: 026921 FRAME: 0106

# PATENT ASSIGNMENT

This Patent Assignment (the "<u>Patent Assignment</u>") by and between SurModics, Inc., a Minnesota corporation ("<u>SurModics</u>"), and SurModics IVD, Inc., a Maryland corporation ("<u>IVD</u>"), is made and entered into effective as of August 1, 2011. SurModics and IVD are sometimes referred to herein as a "<u>Party</u>" and collectively as the "<u>Parties</u>."

# **BACKGROUND**

- A. SurModics and IVD have entered into that certain Asset Transfer Agreement dated August 1, 2011 (the "Transfer Agreement") wherein IVD acquired certain assets of SurModics, including the Acquired Patents, as set forth on Schedule A attached hereto.
- B. In connection with the Transfer Agreement and IVD's acquisition of the Acquired Patents, the Parties are entering into this Patent Assignment.

### AGREEMENT

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, SurModics and IVD hereby agree as follows:

- 1. <u>Defined Terms</u>. All capitalized terms used in this Patent Assignment have the meanings given to those terms in the Transfer Agreement, unless otherwise expressly stated or defined herein.
- 2. <u>Transfer of Acquired Patents</u>. SurModics hereby irrevocably assigns, transfers, conveys and delivers to IVD, and IVD hereby accepts, all of SurModics' right, title and interest in and to the Acquired Patents, as set forth on <u>Schedule A</u> attached hereto, together with all extensions, continuations, divisionals, continuations-in-part, reissues and reexaminations stemming from such Acquired Patents and all claims for damages by reason of past, present, or future infringement or misappropriation of the foregoing, with the right to sue for and collect the same.
- 3. <u>Transfer Agreement</u>. This Patent Assignment is executed and delivered pursuant to the Transfer Agreement, and is subject to every term, condition, representation, warranty, covenant, agreement, indemnification and provision set forth in the Transfer Agreement.
- 4. <u>Counterparts</u>. This Patent Assignment may be executed in counterparts, which together will constitute one and the same agreement.

[Signature page follows.]

PATENT REEL: 026921 FRAME: 0107 IN WITNESS WHEREOF, the Parties hereto have caused this Patent Assignment to be duly executed and effective as of the date set forth in the first paragraph above.

SURMODICS, INC.

By: Ohy D. Auleny

Name: Philip D. Ankeny

Title: Sr. Vice President and Chief Financial Officer

SURMODICS IVD, INC.

Title: Chief Financial Officer and Treasurer

**REEL: 026921 FRAME: 0108** 

# SCHEDULE A

# Acquired Patents

Title 1999	Country	Status ?	Application ,	Filed 🚉	Patent	Issued
BIOREACTOR SURFACES						
	United States	Granted	08/208,916	3/9/94	5,512,474	04/30/96
DEVICE FOR PERFORMING ONE OR MORE COMPETITIVE IMMUNOASSAYS						
	United States	Granted	08/354,953	12/13/94	5,707,818	01/13/98
REPLICABLE PROBE ARRAY						
	United States	Granted	09/240,466	1/29/99	6,514,768	02/04/03
PHOTOACTIVATABLE NUCLEIC ACID DERIVATIVES						
	United States	Granted	09/028,806	2/24/98	6,506,895	01/14/03
EPOXIDE POLYMER SURFACES						
	Canada	Pending	2,398,280	2/27/01		<u> </u>
	European Patent	Pending	01927369.7	2/27/01		
	Japan	Pending	2001-566048	2/27/01		
	United States	Granted	09/521,545	3/9/00	6,762,019	07/13/04
	United States	Granted	10/844,667	5/12/04	7,300,756	11/27/07
RANDOMLY ORDERED ARRAYS AND METHODS OF MAKING AND USING						
	United States	Granted	09/972,687	10/5/01	7,195,913	03/27/07
ATTACHMENT OF MOLECULES TO SURFACES						
	Australia	Granted	2004278408	9/30/04	2004278408	03/18/10
	Canada	Pending	2,536,303	9/30/04		
	Germany	Granted	04789464.7	9/30/04	1 668 050	11/11/09
	France	Granted	04789464.7	9/30/04	1 668 050	11/11/09

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Title (**)	Country	Status	Application §	Filed 8	Patent 💆	Issued 1
	Great Britain	Granted	04789464.7	9/30/04	1 668 050	11/11/09
	Ireland	Granted	04789464.7	9/30/04	1 668 050	11/11/09
	Italy	Granted	04789464.7	9/30/04	1 668 050	11/11/09
	Japan	Granted	2006-534165	9/30/04	4741499	05/13/11
	United States	Granted	10/677,022	10/1/03	7,309,593	12/18/07
	United States	Granted	11/901,033	9/14/07	7,829,317	11/09/10
	United States	Pending	12/878,236	9/9/10		
STABILIZATION OF H2O2 UNDER ALKALINE CONDITIONS FOR USE IN LUMINESCENCE, FLUORESCENCE AND COLORIMETRIC ASSAYS FOR ENHANCED DETECTION OF PEROXIDASE TYPE ASSAYS						
	United States	Granted	10/141,177	5/9/02	7,381,797	06/03/08
	United States	Granted	11/648,911	1/3/07	7,563,566	07/21/09
CHARCOAL STABILIZATION OF PHENYL PHOSPHATES	***************************************					
	Canada	Pending	2,548,530	12/2/04		
	European Patent	Pending	04812640.3	12/2/04		
	Hong Kong	Pending	07103725.9	12/2/04		
	Japan	Granted	2010-075380	3/29/10	4620172	11/05/10
	Japan	Granted	2006-542699	12/2/04	4620061	11/05/10
	United States	Granted	10/727,537	12/5/03	7,001,717	02/21/06
	United States	Granted	11/262,715	11/1/05	7,214,481	05/08/07
ARTICLES AND METHODS INCLUDING STABLE THERMALLY RESPONSIVE POLYMERS						
	United States	Pending	61/366,020	7/20/10		

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PATENT REEL: 026921 FRAME: 0110

**RECORDED: 09/16/2011**