

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Urs BAETTIG	07/27/2009
Anne-Marie D'SOUZA	07/21/2009
Peter HUNT	07/22/2009
Neil John PRESS	07/22/2009
Simon James WATSON	07/21/2009
RECEIVING PARTY DATA	
Name:	Novartis AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	4056
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13165060
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	PAT052788-US-CNT
NAME OF SUBMITTER:	Katherine Kantorski

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Total Attachments: 6

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(joint)

Patent Case PAT052788

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

Urs BAETTIG	citizen of Switzerland	Novartis Horsham Research Centre Wimblehurst Road Horsham, West Sussex RH12 5AB GB
Anne-Marie D'SOUZA	citizen of United Kingdom	Novartis Horsham Research Centre Wimblehurst Road Horsham, West Sussex RH12 5AB GB
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Simon James WATSON	citizen of United Kingdom	Novartis Horsham Research Centre Wimblehurst Road Horsham, West Sussex RH12 5AB GB

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "ASSIGNEE") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

ORGANIC COMPOUNDS

and filed in the United States Patent and Trademark Office on _____, 20___ and accorded Application Number _____ * and/or filed in the RO/ _____ on _____, 20___ and accorded International Patent Application Number PCT/ _____ *, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on March 19, 2009 and accorded Application Number 61/161627, and all other patent applications in all countries and regions claiming the priority of the European Procedure, patent applications filed on August 04, 2008 and accorded Application Numbers 08161765.6 (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or

international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEE** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

*We hereby authorize **ASSIGNEE** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEE** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEE** or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 27 day of July, 2009

Urs Baettig L.S.
Urs Baettig

Executed this 21st day of July, 2009

AMED'Souza L.S.
Anne-Marie D'Souza

Executed this 22nd day of July, 2009

Peter Alan Hunt L.S.
Peter Hunt

Executed this 22nd day of July, 2009

Neil John Press L.S.
Neil John Press

Executed this 21st day of July, 2009

Simon James Watson L.S.
Simon James Watson

Case No. PAT052788

ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to **NOVARTIS AG** of an invention entitled "**ORGANIC COMPOUNDS**" signed by Urs Baettig, Anne-Marie D'Souza, Peter Hunt, Neil John Press, and Simon James Watson; this will certify that **NOVARTIS AG** accepts such Assignment.

NOVARTIS AG

By :

E. Rutschmann



Name :

Ella Rutschmann

Sabine Zeller

Function :

Authorized Signatory

Authorized Signatory

Date:

31.07.2009

Attestation

I, the undersigned, Civil Law Notary of Basel, Switzerland, Dr. Matthias Staehelin, certify herewith that the signatures attached heretofore are the genuine signatures of **Mr. Urs Baettig**, citizen of Emmen/LU, Switzerland, born on 3rd (third) November 1964 (nineteen hundred sixty four), with business address in Horsham, West Sussex, Great Britain; of **Ms. Anne-Marie D'Souza**, citizen of United Kingdom, born on 9th (ninth) October 1982 (nineteen hundred eighty two), with business address in Horsham, West Sussex, Great Britain; of **Mr. Peter Hunt**, citizen of United Kingdom, born on 8th (eighth) August 1965 (nineteen hundred sixty five), with business address in Horsham, West Sussex, Great Britain; of **Mr. Neil John Press**, citizen of United Kingdom, born on 27th (twenty-seventh) June 1969 (nineteen hundred sixty nine), with business address in Horsham, West Sussex, Great Britain; and of **Mr. Simon James Watson**, citizen of United Kingdom, born on 18th (eighteenth) January 1975 (nineteen hundred seventy five), with business address in Horsham, West Sussex, Great Britain.

Further I certify herewith that the above signatures are the genuine signatures of **Ms. Ella Rutschmann**, citizen of Lotzwil/BE, Switzerland, residing in Basel/BS, Switzerland; and of **Ms. Sabine Zeller**, citizen of Ormalingen/BL, Switzerland, residing in Gelterkinden/BL, Switzerland, both acting for **Novartis AG**, in Basel, Switzerland, both as proxy holders, both with joint signature.

The authenticity of the signatures was established by means of comparison.

BASEL, Switzerland, this 10th (tenth) day of August 2009 (two thousand and nine)

M. Staehelin, Notar

Dr. M. Staehelin
Notar

FOR CERTIFIED COPY

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, hereby certify that the document attached heretofore is a true and authentic photocopy of the original.

BASEL, Switzerland, this 10th (tenth) day of August 2009 (two thousand and nine)

M. Staehelin, Notar

M. Staehelin
Notar

Leg. Prot. Nr. *2203* /2009