

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE BY SECURED PARTY
CONVEYING PARTY DATA	
Name	Execution Date
Silicon Valley Bank	09/16/2011
RECEIVING PARTY DATA	
Name:	Ascenta Therapeutics, Inc.
Street Address:	101 Lindenwood Drive
Internal Address:	Suite 405
City:	Malvern
State/Country:	PENNSYLVANIA
Postal Code:	19355
PROPERTY NUMBERS Total: 6	
Property Type	Number
Application Number:	12332039
Application Number:	12635481
PCT Number:	US0806936
PCT Number:	US0964295
Application Number:	12285291
PCT Number:	US0811327
CORRESPONDENCE DATA	
Fax Number:	(302)636-5454
Phone:	202-408-3121 x2348
Email:	jpaterso@cscinfo.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Corporation Service Company
Address Line 1:	1090 Vermont Avenue NW, Suite 430
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20005

CH \$240.00 12332039

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PATENT
REEL: 026959 FRAME: 0131

ATTORNEY DOCKET NUMBER:	922480
NAME OF SUBMITTER:	Jean Paterson
Total Attachments: 6 source=9-23-11 Silicon Valley-Ascenta-PT#page1.tif source=9-23-11 Silicon Valley-Ascenta-PT#page2.tif source=9-23-11 Silicon Valley-Ascenta-PT#page3.tif source=9-23-11 Silicon Valley-Ascenta-PT#page4.tif source=9-23-11 Silicon Valley-Ascenta-PT#page5.tif source=9-23-11 Silicon Valley-Ascenta-PT#page6.tif	

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Silicon Valley Bank

2. Name and address of receiving party(ies)

Name: Ascenta Therapeutics, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other Release of Security Interest

Street Address: 101 Lindenwood Drive

Suite 405

City: Malvern State: PA Zip: 19355

Execution Date: September 16, 2011

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

See Schedule A

B. Patent No.(s)

See Schedule A

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: 11

7. Total fee (37 CFR 3.41).....\$ _____



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laura A. Kenerson

Name of Person Signing

Signature

9/23/11

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 026959 FRAME: 0133

RELEASE OF SECURITY INTEREST IN PATENTS

WHEREAS, **ASCENTA THERAPEUTICS, INC.**, a Delaware corporation with offices located at 101 Lindenwood Drive, Suite 405, Malvern, Pennsylvania 19355 ("**Grantor**"), granted a security interest in and lien upon certain patents and related rights to **SILICON VALLEY BANK**, a California corporation, with its principal place of business at 3003 Tasman Drive, Santa Clara, California 95054 and with a loan production office located at 100 Matsonford Road, Building 5, Suite 555, Radnor, Pennsylvania 19087 ("**SVB**") as collateral agent (the "**Collateral Agent**") for the ratable benefit of SVB and **OXFORD FINANCE LLC**, successor in interest to Oxford Finance Corporation ("**Oxford**", and together with SVB, the "**Lenders**"), a Delaware limited liability company with an office at 133 North Fairfax Street, Alexandria, Virginia 22314, as set forth in a certain Intellectual Property Security Agreement, dated March 1, 2010, by and among Grantor, the Collateral Agent, and the Lenders (the "**Security Agreement**");

WHEREAS, an executed copy of the Security Agreement was recorded in the United States Patent and Trademark Office on March 15, 2010 at Reel 024085 Frame 0398;

WHEREAS, Grantor has requested that the Collateral Agent and the Lenders release and reassign their interests in the patents more fully identified in Schedule A annexed hereto and made a part hereof; and

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Collateral Agent and the Lenders each hereby:

1. releases and reassigns to Grantor any and all liens, security interests, right, title and interest of the Collateral Agent and the Lenders pursuant to the Security Agreement in the patents more fully identified in Schedule A, without recourse or representation or warranty, express or implied, of any kind;
2. agrees that any power of attorney or similar rights granted by Grantor to the Collateral Agent and the Lenders pursuant to or in connection with the Security Agreement is terminated; and
3. authorizes and requests that the United States Patent and Trademark Office note and record the existence of the release hereby given.

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IN WITNESS WHEREOF, the Collateral Agent and the Lenders have caused this Release of Security Interest in Patents to be executed as of September 16, 2011.

SILICON VALLEY BANK, as Collateral
Agent and a Lender,

By: [Signature]
Name: Kristen M. O'Connor
Title: Senior Adviser

OXFORD FINANCE LLC, successor in
interest to Oxford Finance Corporation, as a
Lender

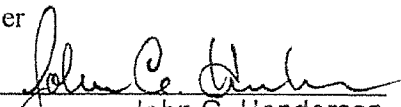
By: _____
Name: _____
Title: _____

IN WITNESS WHEREOF, the Collateral Agent and the Lenders have caused this Release of Security Interest in Patents to be executed as of September 16, 2011.

SILICON VALLEY BANK, as Collateral
Agent and a Lender,

By: _____
Name: _____
Title: _____

OXFORD FINANCE LLC, successor in
interest to Oxford Finance Corporation, as a
Lender

By: 
Name: John G. Henderson
Title: Vice President & General Counsel

SCHEDULE A
TO
RELEASE OF SECURITY INTEREST IN PATENTS
LIST OF PATENTS AND PATENT APPLICATIONS
(See attached.)

Patents

2232.075	Pulsatile dosing of gossypol for treatment of disease			
2232.0750003	12/332,039	12/10/08	12/292,282 12/155,327 60/941,217	Pending; [CIP of 2232.0750002-now abandoned]; US 2009/0175869 (7/9/09)
2232.0750004	12/635,481	12/10/09	12/292,282 12/332,039 PCT/US2009/064295	Pending; [CIP of 2232.0750003; CON of 2232.075PC02]
2232.075AU01	2008260510	6/2/08	PCT/US2008/006936	Pending
2232.075CA01	TBA	6/2/08	PCT/US2008/006936	Pending
2232.075EP01	08768032.8	6/2/08	PCT/US2008/006936	Pending
2232.075JP01	TBA	6/2/08	PCT/US2008/006936	Pending
2232.075NZ01	581252	6/2/08	PCT/US2008/006936	Pending
2232.075ZA01	2009/08381	6/2/08	PCT/US2008/006936	Pending
2232.075PC02	PCT/US2009/064295	11/13/09	12/332,039 12/292,282	Pending; national phase deadline 5/14/2011
2232.076	Process for Preparing R-Gossypol L-Phenylalaninol Dienamine			
2232.0760002	12/285,291	10/1/08	60/976,637 61/129,085	Allowed; Issue fee due 2/23/10 US 2009/0088590 A1 (4/2/09)
2232.076PC02	PCT/US2008/011327	10/1/08	60/976,637 61/129,085	Pending; WO 2009/045410 (4/9/04); National phase deadline 4/1/10