

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Vegenics Pty Limited

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) Sept. 15, 2011; Sept. 30, 2011

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Otago Innovation Limited

Internal Address: Centre for Innovation

Street Address: St. David Street

City: Dunedin

State: \_\_\_\_\_

Country: New Zealand

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,541,008

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: D. Benjamin Borson, Ph.D.

Internal Address: Suite 200

Street Address: 1078 Carol Lane

City: Lafayette

State: CA Zip: 94549

Phone Number: (925) 310-2060

Fax Number: (925) 310-2061

Email Address: bborson@borsonlaw.com

### 6. Total number of applications and patents involved: one

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 504089

Authorized User Name D. Benjamin Borson, Ph.D.

### 9. Signature:

D. Benjamin Borson  
Signature

October 5, 2011

Date

D. Benjamin Borson, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700472782

PATENT  
REEL: 027029 FRAME: 0775

CH \$40.00 504089 654100

I-0031

Mercer

**CORPORATE TO CORPORATE**  
**ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, Vegenics Pty Limited (formerly Vegenics Limited), an Australian corporation having a place of business at Level 1, 10 Wallace Avenue, Toorak, Victoria 3142, Australia, and University of Otago, of Leith Street, Dunedin, New Zealand (hereinafter collectively "ASSIGNOR"), are the owners of 100% undivided right, title and interest in the following Letters Patent and applications therefor by virtue of prior assignments from the Inventors.

1. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Viruses that Binds and Activates Mammalian VEGF Receptors
Inventor(s):	Lyn Wise, Andrew A. Mercer, Loreen J. Savory, Stephen B. Fleming, and Stephen A. Stacker.
Application No.	USSN 09/431,888
Patent No.	US 6,541,008
Filing Date	2 November 1999
Issue Date	1 April 2003
2. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	PCT/US99/25869
Filing Date	2 November 1999
3. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ10 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	EP 99958757.9
Patent No.	EP 1 126 863 B1
Filing Date	2 November 1999
Grant Date	3 May 2006
4. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	AU 2000016053
Patent No.	AU 775,956
Filing Date	2 November 1999
Grant Date	2 December 2004
5. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 Binds and Activates Mammalian VEGF Receptor-2, and Uses Thereof
Provisional Application No.	US 60/106,689
Filing Date	2 November 1998
6. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 Binds and Activates Mammalian VEGF Receptor-2, and Uses Thereof
Provisional Application No.	US 60/106,800
Filing Date	3 November 1998

PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	Assignment from Inventors: Lyn M. Wise, Andrew J. Mercer, Loreen J. Savory, and Stephen B. Fleming to University of Otago, Leith Street, Dunedin, New Zealand. 17 January 2000, 13 January 2000, 13 January 2000, 31 January 2000
Recording Data (USPTO) (Date/Reel/Frames):	17 February 2000 010558/0560
Prior Assignment Date:	Assignment from Inventor Stacker, Steven A. to Ludwig Institute for Cancer Research, 605 Third Avenue, New York, New York 10158, USA 19 January 2000
Recording Data (USPTO) (Date/Reel/Frames):	17 February 2000 010558/0669
PRIOR ASSIGNMENT DATA (CORPORATE TO CORPORATE)	
Prior Assignment Date:	Assignment of Assignors Interest from Ludwig Institute for Cancer Research to Vegenics Limited, Level 1, 10 Wallace Avenue, Toorak, Victoria, Australia 3142. 27 March 2008
Recording Data (USPTO) (Date/Reel/Frames):	3 April 2008 020741/0836

WHEREAS, PATENT PROPERTY shall include each and all of the following:

- (a) the foregoing Letters Patents and applications therefor and the inventions disclosed therein, and all embodiments of such inventions heretofore assigned to ASSIGNORS [all collectively hereinafter termed "said inventions"];
- (b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;
- (c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in the United States or any other country, including each and every application

filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;

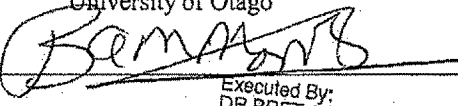
- (d) each and every reissue or extension of any of said patents;
- (e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and
- (f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS, Otago Innovation Limited, a corporation organized and existing under the laws of New Zealand and having a principal place of business at 87 St. David Street, Dunedin, New Zealand (hereinafter "ASSIGNEE") is to acquire from ASSIGNOR the entire right, title and interest in and to said Patent Property.

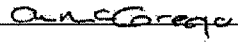
NOW THEREFORE, for good and valuable consideration acknowledged by the ASSIGNOR to have been received in full from the ASSIGNEE:

1. ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title and interest in and to the PATENT PROPERTY, and herein confirms any prior assignment of said PATENT PROPERTY.
2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

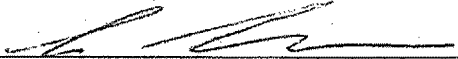
IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE this 09 SEP 2011, 2011, in Dunedin, New Zealand:

University of Otago  
By:   
Name: DR BRET A M MORRIS  
(Type or Print) Director, Enterprise  
University of Otago  
Title: Pursuant to the delegated authority  
of the Council of the University.

\*\*\*\*\*

Witness:   
Name: Annette Louise McGee  
(Type or Print)  
Date: 30. 9. 2011

Vegenics Pty Limited

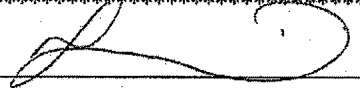
By: 

Name: RICHARD J. CHADWICK  
(Type or Print)

Title: HEAD OF IP

\*\*\*\*\*

Witness:



Name: MEGAN BALDWIN  
(Type or Print)

Date: 15 Sept 2011

Otago Innovation Limited, ASSIGNEE hereby accepts the Assignment from ASSIGNORS.

Otago Innovation Limited

By: 

Name: \_\_\_\_\_

(Type or Print)

COLIN DAWSON  
Chief Executive Officer  
Otago Innovation Ltd.  
PO. Box 56, Dunedin

Title: \_\_\_\_\_

\*\*\*\*\*

Witness: 

Name: GINA CLOUSTON - CAIN  
(Type or Print)

Date: 22.09.11