

Attorney Docket No. 4443-105

RECORDATION FORM COVER SHEET PATENTS ONLY

Form PTO-1595
OMB No. 0651-0027

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party (ies):

DA VOLTERRA
172, RUE DE CHARONNE
75011 PARIS, FRANCE

2. Name and address of receiving party (ies)

Da Volterra
172, rue de Charonne
75011 Paris, France

Additional name(s) of conveying party (ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Other: _____

☐ Merger

☐ Change of Name

Execution Date(s): July 28, 2011

Centre National De La Recherche Scientifique
3 rue Michel-Ange
75794 Paris cedex 16, France

Assistance Publique – Hopitaux de Paris
3 avenue Victoria
75100 Paris RP, France

Universite Paris Diderot – Paris 7
Batiment Grands Moulins
5 rue Thomas Mann
75205 Paris cedex 13, France

Universite Paris-SUD 11
15 rue Georges Clemenceau
91405 Orsay cedex, France

4. Application number(s) or patent number (s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

11/804,259

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Bradin

Company Name: HULTQUIST IP

Street Address: P.O. Box 14329

City: Research Triangle Park State: NC Zip: 27709

6. Total Number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41).....\$ 40.00

☒ Enclosed Credit Card Payment Form

☒ Authorized to charge any deficiency or credit any overpayment to deposit account

8. Deposit account Number: 08-3284

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Date and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Bradin

Name of person signing


Signature

October 6, 2011

Date

Total number of pages including cover sheet, attachments and documents: 6

OP \$40.00 11804259

1/4

CONTRAT DE CESSION PARTIELLE
PARTIAL ASSIGNMENT AGREEMENT

L11228

ENTRE LES SOUSSIGNES :*Between the undersigned***DA VOLTERRA**172, rue de Charonne
75011 PARIS
FRANCE

(ci-après désigné "LE CEDANT")*(hereinafter referred to as « THE ASSIGNOR »)***D'une part,***On the one hand***ET***and***CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE**3 rue Michel-Ange
75794 PARIS cedex 16
FRANCE

ET*and***ASSISTANCE PUBLIQUE -- HOPITAUX DE PARIS**3 avenue Victoria
75100 PARIS RP
FRANCE

ET*and*PATENT 

REEL: 027038 FRAME: 0511

2/4

UNIVERSITE PARIS DIDEROT – PARIS 7

Bâtiment Grands Moulins
5 rue Thomas Mann
75205 PARIS cedex 13
FRANCE

ET

*and***UNIVERSITE PARIS-SUD 11**

15 rue Georges Clémenceau
91405 ORSAY cedex
FRANCE

(ci-après désignés “LES CESSIONNAIRES”)

*(hereinafter referred to as « THE ASSIGNEES »)***D'autre part,***On the other hand***IL A ETE DECIDE ET CONVENU CE QUI
SUIT :**

Le Cédant est propriétaire des demandes de Brevets suivants (les « BREVETS »).

La demande de brevet internationale n° PCT/EP2007/054831, déposée le 18 mai 2007 et revendiquant la priorité de la demande US 60/801,121 ;

Les demandes nationales et/ou régionales issues de la demande internationale PCT/EP2007/054831, et notamment les demandes déposées dans les pays suivants : AU (Demande de Brevet n° 2007251265), BR (Demande de Brevet n° PI0712098-2), CA (Demande de Brevet n° 2,651,569), CN (Demande de Brevet n° 200780023568.3), HK (Demande de Brevet n° 1 124 793), IN (Demande de Brevet n° 4507/KOLNP/2008), JP (Demande de Brevet n° 2009-510468), US (Demande de Brevet n° 11/804,259) et EP (Demande de Brevet

**IT HAS BEEN DECIDED AND AGREED AS
FOLLOWS :**

The Assignor is owner of the following Patent Applications (the “PATENTS”).

International patent application n° PCT/EP2007/054831, filed on May 18, 2007, claiming priority of application US 60/801,121 ;

National and/or regional applications derived from international patent application PCT/EP2007/054831 and, in particular, applications files in the following countries: AU (Patent Application n° 2007251265), BR (Patent Application n° PI0712098-2), CA (Patent Application n° 2,651,569), CN (Patent Application n° 200780023568.3), HK (Patent Application n° 1 124 793), IN (Patent Application n° n° 4507/KOLNP/2008), JP (Patent Application n° 2009-510468), US (Patent Application n° 11/804,259) and EP (Patent

6C
PATENT *by 105*

REEL: 027038 FRAME: 0512

3/4

n° 07 765272.5) ;

Il est présentement confirmé que, par accord en date du 6 juillet 2010, le Cédant a cédé partiellement aux Cessionnaires, qui ont accepté, les droits de propriété, titre et intérêts qu'il détient sur les Brevets, libre de tout droit, contrat et de toute sûreté, et sur tout titre de propriété qui pourrait en résulter.

A compter de cette date, les Cessionnaires et le Cédant sont copropriétaires des BREVETS et le Cédant subroge les Cessionnaires dans une partie de ses droits et actions relatifs aux BREVETS et aux titres pouvant en résulter.

La présente cession est consentie pour la somme nominale de un (1) euro.

La présente cession sera inscrite auprès des Registres des Brevets compétents, aux frais et à la diligence du Cédant.

Tous pouvoirs sont donnés par les Parties au porteur d'un des originaux pour procéder à l'inscription.

Fait en 11 exemplaires, dont un pour chaque partie et 5 pour les Registres des Brevets.

A Paris Le 1/6/2011

DA VOLTERRA

Nom, prénom et qualité du signataire

Full first name and surname and business capacity of the signatory

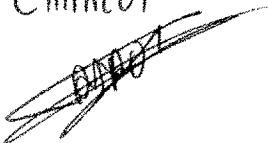
Florence SEJOURNE, Resident



Témoin (nom et prénom du signataire)

Witness (full first name and surname of the signatory)

Elian CHARLOT



Application n° 07 765272.5) ;

It is hereby acknowledged that, by agreement dated July 6, 2010, the Assignor has assigned to the Assignees, who has accepted, part of its rights of ownership, title and interest into the Patent free of any rights, agreement and encumbrance, and into any Title which may result therefrom.

As from that date, the Assignees and the Assignor are co-owners of the PATENTS and the Assignor subrogates the Assignees in part of his rights and actions related to the PATENTS and any resulting title.

Consideration for the present assignment is a nominal lump sum of one (1) euro.

This assignment will be recorded at the relevant Patent Registers at the discretion and at the expense of the Assignor.

All power(s) of attorney are given by the Parties to the bearer of an original copy of this agreement to proceed with such recording.

Executed in 11 original copies of which one is for each party and 5 for the Patent Registers.

At Paris On 28/07/2011

C.N.R.S.

Nom, prénom et qualité du signataire

Full first name and surname and business capacity of the signatory

Direction de l'Innovation et des Relations avec les Entreprises

Le directeur



Pierre GOUAR



Témoin (nom et prénom du signataire)

Witness (full first name and surname of the signatory)

Rasa Vaicikauskaitė



PATENT

REEL: 027038 FRAME: 0513

4/4

A

Le

A PARIS

Le

10 JUIN 2011

A.P.H.P.

Nom, prénom et qualité du signataire

Full first name and surname and business capacity of the signatory

Témoin (nom et prénom du signataire)

Witness (full first name and surname of the signatory)

Florence GHRENASSIA
Responsable OTTPi

A Orsay

Le 18.07.11.

UNIVERSITE PARIS-SUD 11

Nom, prénom et qualité du signataire

Full first name and surname and business capacity of the signatory
Professeur Guy CHARRAZE

Président de l'Université Paris-Sud 11

Témoin (nom et prénom du signataire)

Witness (full first name and surname of the signatory)

UNIVERSITE PARIS DIDEROT

Nom, prénom et qualité du signataire

Full first name and surname and business capacity of the signatory
Le Président
Vincent BERGER

Témoin (nom et prénom du signataire)

Witness (full first name and surname of the signatory)

Pascal Léon

Didier FAYARD

Vice Président de

L'Université Paris-Sud 11

PATENT

REEL: 027038 FRAME: 0514

4443-105

U.S. Patent and Trademark Office
Mail Stop: Assignment Services Branch
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a Corrective Recordation of Assignment Cover Sheet for the above-identified U.S. patent application, as well as a copy of the original Recordation of Assignment Cover Sheet and Assignment document. Due to an inadvertent error by the applicant, the address of the second assignee, CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE, is incorrect as recorded; specifically, the postal code in the second assignee's address is incorrect. The correct address of the second assignee is:

**CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE
3 RUE MICHEL-ANGE
75794 PARIS CEDEX 16, FRANCE**

In compliance with USPTO requirements, enclosed and submitted herewith is a corrective Recordation of Assignment Cover Sheet, a copy of the originally submitted Recordation of Assignment Cover Sheet and Assignment document, and a new Credit Card Form authorizing payment in the amount of \$40.00 for correction of the Assignment document as a result of applicants' error.

To ensure the accuracy of the U.S. Patent and Trademark Office's records for the subject application, it is respectfully requested that the Recordation of Assignment be re-issued with the correct address of the second assignee.

Respectfully submitted,



David Bradin
Reg. No. 37,783
Attorney for Applicants