

Form PTO-1595 (Rev. 09/04)
OMB No. 0851-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

JING WANG (09/01/2011),
JUN XU (09/16/2011), AND
LEI GUO (09/05/2011)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: TSINGHUA UNIVERSITY

Internal Address: _____

Street Address: _____

QINGHUAYUAN
HAIDIAN DISTRICT
BEIJING 100084
PEOPLE'S REPUBLIC OF CHINA

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
13/263,236

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ryan L. Bergeron
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: TIP 3.3-008

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 12-1095
Authorized User Name Ryan L. Bergeron

9. Signature:


Signature

October 11, 2011

Date

Ryan L. Bergeron - 66,377

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

CH \$40.00 121095 13263236

(former PTO/SB/15 (8-00) Modified LDLKM)

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional)
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Whereas, I, Jing Wang of

Qinghuayuan, Haidian District, Beijing, 100084, CHINA

hereafter referred to as assignor, have invented certain new and useful Improvements in

STRAINED GE-ON-INSULATOR STRUCTURE AND METHOD FOR FORMING THE SAME

☒ for which an application for a United States Letters Patent was filed on October 6, 2011

Application Number 13/263,236

☐ for which an application for a United States Letters Patent was executed by me on _____,

☒ for which an International Application was filed on August 25, 2011, as

PCT/CN2011/078948, designating the United States.

And

Whereas, TSINGHUA UNIVERSITY

An entity of China herein referred to as "assignee" whose mailing address is

Qinghuayuan, Haidian District, Beijing, 100084, CHINA

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said Improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said Improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said Improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said Improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

Sep. 1, 2011
Date

Jun Xu
Witness

Jun Xu
Printed Name of Witness

Wang Jing
Jing Wang

former PTO/SB/15 (8-00) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional)
<p>Whereas, I, <u>Jun Xu</u> of</p> <p><u>Qinghuayuan, Haidian District, Beijing, 100084, CHINA</u></p> <p>hereafter referred to as assignor, have invented certain new and useful improvements in</p> <p><u>STRAINED GE-ON-INSULATOR STRUCTURE AND METHOD FOR FORMING THE SAME</u></p> <p><input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>October 6, 2011</u></p> <p>Application Number <u>13/263,236</u></p> <p><input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____</p> <p><input checked="" type="checkbox"/> for which an International Application was filed on <u>August 25, 2011</u>, as</p> <p><u>PCT/CN2011/078948</u>, designating the United States.</p> <p>And</p> <p>Whereas, <u>TSINGHUA UNIVERSITY</u></p> <p>An entity of <u>China</u> herein referred to as "assignee" whose mailing address is</p> <p><u>Qinghuayuan, Haidian District, Beijing, 100084, CHINA</u></p> <p>is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollar (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <u>Sep 16th, 2011</u> Date <u>Wang Jing</u> Witness <u>Jing Wang</u> Printed Name of Witness </div> <div style="text-align: center;"> <u>Jun Xu</u> Jun Xu </div> </div>	

PATENT

REEL: 027062 FRAME: 0301

(former PTO/SB/16 (8-00) Modified LDLKM)

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional)
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Whereas, I, Lei Guo of
Qinghuayuan, Haidian District, Beijing, 100084, CHINA
hereafter referred to as assignor, have invented certain new and useful Improvements in
STRAINED GE-ON-INSULATOR STRUCTURE AND METHOD FOR FORMING THE SAME
☒ for which an application for a United States Letters Patent was filed on October 6, 2011
Application Number 13/263,236
☐ for which an application for a United States Letters Patent was executed by me on _____,
☒ for which an International Application was filed on August 25, 2011, as
PCT/CN2011/078948, designating the United States.

And
Whereas, TSINGHUA UNIVERSITY
An entity of China herein referred to as "assignee" whose mailing address is
Qinghuayuan, Haidian District, Beijing, 100084, CHINA
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

sep. 5, 2011
Date
Wang Jing
Witness
Jing Wang
Printed Name of Witness

Lei Guo
Lei Guo

PATENT

RECORDED: 10/11/2011

REEL: 027062 FRAME: 0302