Form <b>PTO-1595</b> (Rev. 03/05) OMB No. 0651-0027 (exp. 5/30/2005)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office
RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Phillip A. Sprangle Antonio C. Ting Joseph R. Penano Richard P. Flscher	Name: The U.S.A. as represented by the Secretary of the Navy
Bahman Hafizi	Internal Address: Chief of Naval Research
Additional name(s) of conveying party(ies) attached? Yes 🖌 No	Office of Counsel (ATTN: CODE OOCCIP)
3. Nature of conveyance/Execution Date(s):	Street Address: One Liberty Ctr.
Execution Date(s) <u>106/11, and 10/12/11</u> Assignment  Merger	875 North Randolph Street Sulte 1425
Security Agreement Change of Name	City: Arlington
Joint Research Agreement	State: VA
Government Interest Assignment	Country: Zip:22203
Executive Order 9424, Confirmatory License	Zip. <u>22203</u>
Other	Additional name(s) & address(es) attached? Ves 🗹 No
A. Patent Application No.(s) 12/052,196 - Filed: 03/20/08 NC 98,345 - Patent Number 7,970,040 Additional numbers at	tached? ☐Yes ✔No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: <u>1</u>
Name: Associate Counsel (PATENTS)	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Internal Address: Naval Research Laboratory	Authorized to be charged by credit card
(ATTN: CODE 1008.2)	Authorized to be charged to deposit account
Street Address: 4555 Overlook Avenue, SW	Enclosed None required (government interest not affecting title)
City: Washington	8. Payment Information
State: DC Zip:20375	a. Credit Card Last 4 Numbers
Phone Number:	Expiration Date
Fax Number:	b. Deposit Account Number <u>50-0281</u>
Email Address:_suresh.koshy@nrl.navy.mil	Authorized User Name Suresh Koshy
9. Signature: Cines Form	10/13/2011
Signature	Date
Suresh Koshy Name of Person Signing	Total number of pages including cover 11
Pocuments to be recorded (including cover sheet	

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 027075 FRAME: 0020

# ASSIGNMENT BY INVENTORS (UNITED STATES PATENTS)

THIS ASSIGNMENT, made by Phillip A. Sprangle; Joseph R. Penano; Bahman Hafizi; Antonio C. Ting; and Richard P. Fischer (hereinafter referred to as Assignors), residing at 9619 Tackroom Lane, Great Falls, Virginia 22066; 9834 South Park Circle, Fairfax Station, Virginia 22039; 7113 Exfeir Road, Bethesda, Maryland 20814; 14625 Jaystone Drive, Silver Spring, Maryland 20905; and 12929 Beethoven Bivd., Silver Spring, Maryland 20904, respectively;

WHEREAS, Assignors, while employed by the Government of the United States, have invented certain new and useful improvements in Incoherent Combining of High-Power Fiber Lasers for Long-Range Directed-Energy Applications, set forth in a Patent application for Letters Patent of the United States, already filed on March 20, 2008 as U.S. Application No. 12/052,196; and

WHEREAS, The Government of the United States of America, as represented by the Secretary of the Navy, a Federal Agency (hereinefter referred to as the Government), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

WHEREAS, by acquiring the Assignors' entire right, title, and interest in and to the invention the Government will be enabled to

#### 98345-US2

PATENT REEL: 027075 FRAME: 0021

license the invention and share the income from the licensing with the Assignors as allowed by law;

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the Assignors' entire right, title, and interest therein, including the foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the premises and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have assigned, transferred and set over, and by these presents do assign, transfer and set over, unto the Government, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, the same to be held and enjoyed by the Government, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this assignment not been made.

98345-US2

## PATENT REEL: 027075 FRAME: 0022

AND for the same consideration, Assignors hereby represent and warrant to the Government, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to the Government under taw or that have already been transferred to the Government, Assignors are the sole and tawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby coveriant and agree to and with the Government, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to the Government, its successors, legal representatives and assigns, whenever counsel of the Government, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

#### 98345-US2

PATENT REEL: 027075 FRAME: 0023

PAGE 05/07

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of the Government, its successors, legal representatives and assigns.

AND the Assignors do hereby also grant unto the Government, the option to take the Assignors' entire right, title, and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letters Patent or other forms of protection, without payment of any consideration; provided, however, that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications for which a decision to file in foreign countries is made within eight months of the filing date of any application for United States Letters Patent and/or any provisional application covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to Assignors subject to a nonexclusive, irrevocable, royaity-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sub-licenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government .

98345-US2

PATENT REEL: 027075 FRAME: 0024

PAGE 06/07

AND the Assignors hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division, or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government,

AND Assignors hereby appoint all practitioners at Customer Number 26384, all of US Naval Research Laboratory, 4555 Overlook Ave, SW, Washington, DC 20375, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office any foreign patent office for recordation of this document:

### US NAVAL RESEARCH LABORATORY

All practitioners at Customer Number 26384,

98345-US2

PATENT REEL: 027075 FRAME: 0025



98345-US2

PATENT REEL: 027075 FRAME: 0026