

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Kenneth E Kadziauskas</td><td>03/04/1998</td></tr><tr><td>Paul W Rockley</td><td>03/05/1998</td></tr></tbody></table>		Name	Execution Date	Kenneth E Kadziauskas	03/04/1998	Paul W Rockley	03/05/1998						
Name	Execution Date												
Kenneth E Kadziauskas	03/04/1998												
Paul W Rockley	03/05/1998												
RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>Allergan</td></tr><tr><td>Street Address:</td><td>2525 Dupont Drive</td></tr><tr><td>Internal Address:</td><td>PO Box 19534</td></tr><tr><td>City:</td><td>Irvine</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>92623</td></tr></table>		Name:	Allergan	Street Address:	2525 Dupont Drive	Internal Address:	PO Box 19534	City:	Irvine	State/Country:	CALIFORNIA	Postal Code:	92623
Name:	Allergan												
Street Address:	2525 Dupont Drive												
Internal Address:	PO Box 19534												
City:	Irvine												
State/Country:	CALIFORNIA												
Postal Code:	92623												
PROPERTY NUMBERS Total: 2													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>13051084</td></tr><tr><td>Application Number:</td><td>13051089</td></tr></tbody></table>		Property Type	Number	Application Number:	13051084	Application Number:	13051089						
Property Type	Number												
Application Number:	13051084												
Application Number:	13051089												
CORRESPONDENCE DATA													
Fax Number: (714)247-8679													
Phone: 7142478890													
Email: heidi.ventura@amo.abbott.com													
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>													
Correspondent Name: Abbott Medical Optics Inc.													
Address Line 1: 1700 E. St. Andrew Place													
Address Line 4: Santa Ana, CALIFORNIA 92705													
ATTORNEY DOCKET NUMBER:	17249USPD2/17249USPD3												
NAME OF SUBMITTER:	Heidi Ventura												
Total Attachments: 4 source=17249USPD2_eAssign_Inventors_to_Allergan#page1.tif source=17249USPD2_eAssign_Inventors_to_Allergan#page2.tif source=17249USPD2_eAssign_Inventors_to_Allergan#page3.tif source=17249USPD2_eAssign_Inventors_to_Allergan#page4.tif													

CH \$80.00 13051084

501694430

PATENT
REEL: 027078 FRAME: 0286

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kenneth E. Kadziauskas
Paul W. Rockley

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 4, 1998 & March 5, 1998

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Allergan

Internal Address: PO Box 19534

Street Address: 2525 Dupont Drive

City: Irvine

State: CA

Country: US Zip: 92623

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

13/051,084
13/051,089

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Heidi Ventura

Internal Address: _____

Street Address: 1700 E. St. Andrew Place

City: Santa Ana

State: CA Zip: 92705

Phone Number: 714-247-8890

Fax Number: 714-247-8679

Email Address: heidi.ventura@amo.abbott.com

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 1.21(h) & 3.41) \$80.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 502317

Authorized User Name Tamara Yorita

9. Signature:



Signature

10/18/11
Date

Tamara Yorita

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, WE, Kenneth E. Kadziauskas and Paul W. Rockley
both citizens of The United States of America, residing at XXXXXX XXXXXX XXXXXX XXXXXX XXXX CA 92687 XX
14 Mattina Drive, Newport Coast, CA 92657, 49 Grassy Knoll Lane, Las Flores, CA
92688.

_____ respectively, have invented certain new and useful
 IMPROVEMENTS IN THERMAL MODE PHACO APPARATUS AND METHOD

_____ for which we have executed an application for Letters Patent
of the United States, _____ MAY 27 1998

WHEREAS, ALLERGAN, 2525 Dupont Drive, T-2; 2-E,
P.O. Box 19534, Irvine, CA 92623-9534

is desirous of obtaining the entire right, title and interest in the said improvements and the said application:
THERMAL MODE PHACO APPARATUS AND METHOD.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby severally and jointly, sell, assign, transfer and set over, unto the said ALLERGAN

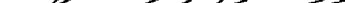
1. t.s successors, legal representatives and assigns, our respective rights, titles and interests, and the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ALLERGAN

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ALLERGAN

its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ALLERGAN.

its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____
day of March 4, 1998. 

State of _____ } ss.:
County of _____ }

Kenneth E. Kadziauskas

On this _____ day of _____, 19____, before me, a Notary Public in and for the State and County aforesaid, personally appeared _____ known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

SEAL

_____,
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 14th
day of March, 1998.

State of _____ } ss.:

Paul W. Rockley

PATENT

REEL: 027078 FRAME: 0288

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

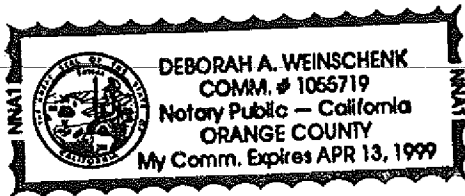
State of California

County of Orange

On 3/5/98 before me, Deborah A. Weinschenk, Notary
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Paul W. Rockley
Name(s) of Signer(s)

☐ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Deborah A. Weinschenk
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer
Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer
Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Orange

On March 4, 1998 before me, Deborah A. Weinschenk Notary

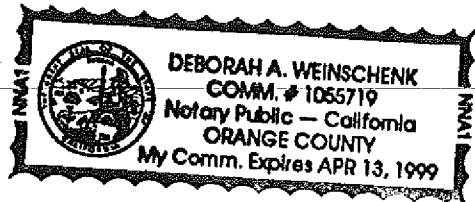
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Kenneth E. Kadzianstas

Name(s) of Signer(s)

☐ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Deborah A. Weinschenk
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____ Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer
Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing:

- ☐ Individual
- ☐ Corporate Officer
Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing: