# Electronic Version v1.1

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NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT			
	Y DATA					
		Name	Execution Date			
Ahmet Ercelebi			10/04/2011			
Martin T. Garthaffner			10/14/2011			
Barry S. Smith			07/27/2011			
Georgios D. Karles			09/15/2011			
Jose Nepomuceno			03/24/2011			
RECEIVING PARTY	ΠΔΤΔ					
Name:	Philip Morris U	SA Inc.				
Street Address:	6601 West Bro	ad Street				
City:	Richmond	Richmond				
State/Country:	VIRGIN ISLANDS					
Deatel Order	23230					
Postal Code:	23230					
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PROPERTY NUMBE Property <sup>-</sup> Application Number CORRESPONDENC Fax Number: Phone: Email: <i>Correspondence will</i> <i>via US Mail.</i> Correspondent Name	ERS Total: 1 Type 1 : 1 :E DATA (703)836- 703-836-0 ADIPFDD / be sent to the e- e: BUCHAN POST OF	-2021 -2021 5620 D@bipc.com <i>mail address first; if that is unsuccessfu</i> AN, INGERSOLL & ROONEY PC				
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PROPERTY NUMBE Property <sup>-</sup> Application Number CORRESPONDENC Fax Number: Phone: Email: <i>Correspondence will</i> <i>via US Mail.</i> Correspondent Name Address Line 1: Address Line 4:	ERS Total: 1 Type 1 Type 1 EDATA (703)836- 703-836-6 ADIPFDD I be sent to the e- e: BUCHAN POST OF ALEXANE T NUMBER:	-2021 6620 D@bipc.com <i>mail address first; if that is unsuccessfu</i> AN, INGERSOLL & ROONEY PC FICE BOX 1404 DRIA, VIRGINIA 22313-1404				

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PM2579 UTIL Buchanan Ingersoll & Rooney PC Attorney Docket Nos. 1021238-000810

#### ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by <u>1) Ahmet Ercelebi, 2) Martin T. Garthaffner, 3) Barry S.</u> Smith, 4) Georgios D. Karles and 5) Jose Nepomuceno (hereinafter referred to as "the Assignors"), residing at <u>1) 9544 Plateau Place, Mechanicsville, VA 23116, 2) 5200 Newbys</u> Wood Trail, Chesterfield, VA 23832, 3) 9751 Jamescrest Drive, Hopewell, VA 23860, 4) 4025 Huntsteed Way, Richmond, VA 23233 and 5) 16052 Daybreak Lane, Beaverdam, VA 16052, respectively, witnesseth:

WHEREAS, the Assignors have made certain new and useful inventions in <u>APPARATUS AND METHOD FOR LOADING CAVITIES OF PLUG SPACE PLUG</u> <u>FILTER ROD</u> set forth in an application for Letters Patent of the United States of America, [] having an oath or declaration executed on even date herewith; [X] bearing Serial No. <u>13/071,606</u>, and filed on <u>March 25, 2011</u>; and

WHEREAS, PHILIP MORRIS USA INC., a corporation duly organized under and pursuant to the laws of the State of Virginia and having an office and place of business at 6601 West Broad Street, Richmond, VA 23230, is desirous of acquiring the entire right, title, and interest in and to the inventions for the United States and the application for Letters Patent of the United States, and in and to any United States Letters Patent or Patents, to be obtained therefor and thereon; and

WHEREAS, PHILIP MORRIS PRODUCTS S.A. (formerly known as Fabriques de Tabac Reunies S.A.), a corporation organized and existing under the laws of Switzerland and having an office and place of business at Quai Jeanrenaud 3, CH-2000, Neuchâtel, Switzerland, is desirous of acquiring the entire right, title, and interest in and to the inventions for countries foreign to the United States, and in and to any foreign patent applications, and in and to any foreign Letters Patent or Patents to be obtained therefor and thereon; and

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged:

### ASSIGNMENT OF UNITED STATES PATENT RIGHTS

We do hereby sell, assign and transfer unto PHILIP MORRIS USA INC., its successors, assigns and legal representatives, all right, title, and interest in and to the inventions, for the United States, and in and to the United States patent application, including any continuations and divisions thereof, and any substitute applications therefor and any United States patents which may issue thereon and any reissues and extensions of the same; and we hereby authorize and request the Commissioner of Patents to grant and issue any and all patents on the inventions in the United States to PHILIP MORRIS USA INC. as the assignee thereof.

Page 1 of 5

## ASSIGNMENT OF FOREIGN PATENT RIGHTS

Further in view of the consideration hereinabove referred to, we do hereby sell, assign, and transfer unto PHILIP MORRIS PRODUCTS S.A., its successors, assigns and legal representatives, all right, title and interest in and to the inventions for all countries foreign to the United States, including the right to claim priority under the International Convention based on the United States patent application, and in and to any foreign patent application, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any foreign patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on the inventions in any foreign country to PHILIP MORRIS PRODUCTS S.A. as the assignee thereof.

We further agree to execute upon request of the assignee PHILIP MORRIS USA INC. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in the United States, and to execute upon request of assignee PHILIP MORRIS PRODUCTS S.A. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in countries foreign to the United States, and to otherwise give full effect to and perfect the rights of the assignee PHILIP MORRIS USA INC. in the United States and of assignee PHILIP MORRIS PRODUCTS S.A. in countries foreign to the United States.

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions and any and all applications for Letters Patent above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that the Assignors will, whenever counsel of the assignees, or counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with the inventions or any and all applications for Letters Patent, or any proceeding in connection with Letters Patent for the inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for the inventions, without charge to the assignees, their successors, legal representatives, and assigns, but at the cost and expense of the assignees, their successors, legal representatives, and assigns;

Page 2 of 5

IN TESTIMONY THEREOF, we have hereunto signed our names on the dates hereinafter indicated:

Date 10/4/11 Name of Assignor Alutor 10/4/2014 Ahmet Ercelebi
STATE OF VIRGINIA ) : ss CITY OF RICHMOND )
On this <u>4</u> day of <u>Ctober</u> , 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and for the above instrument as his voluntary act and deed, and for the uses and publices: <u>2-28-12</u> <u>Bett Beacley Kernnor</u> (Notaeth Scil)
Date Name of Assignor Martin T. Garthaffner
STATE OF VIRGINIA ) : ss CITY OF RICHMOND )
On this day of, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the

uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Date <u> $\eta/2\eta/\mu$ </u> Name of Assignor <u>Barry S. Smith</u>

Date \_\_\_\_\_ Name of Assignor

: 88

Jose Nepomuceno

STATE OF VIRGINIA )

# CITY OF RICHMOND )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

# PATENT REEL: 027090 FRAME: 0602

#### PM2579 UTIL Buchanan Ingersoll & Rooney PC Attorney Docket Nos. 1021238-000810

#### ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by <u>1) Ahmet Ercelebi, 2) Martin T. Garthaffner, 3) Barry S.</u> <u>Smith, 4) Georgios D. Karles and 5) Jose Nepomuceno</u> (hereinafter referred to as "the Assignors"), residing at <u>1) 9544 Plateau Place, Mechanicsville, VA 23116, 2) 5200 Newbys</u> <u>Wood Trail, Chesterfield, VA 23832, 3) 9751 Jamescrest Drive, Hopewell, VA 23860, 4)</u> <u>4025 Huntsteed Way, Richmond, VA 23233 and 5) 16052 Daybreak Lane, Beaverdam, VA</u> <u>16052, respectively, witnesseth:</u>

WHEREAS, the Assignors have made certain new and useful inventions in <u>APPARATUS AND METHOD FOR LOADING CAVITIES OF PLUG SPACE PLUG</u> <u>FILTER ROD</u> set forth in an application for Letters Patent of the United States of America, [] having an oath or declaration executed on even date herewith; [X] bearing Serial No. <u>13/071,606</u>, and filed on <u>March 25, 2011</u>; and

WHEREAS, PHILIP MORRIS USA INC., a corporation duly organized under and pursuant to the laws of the State of Virginia and having an office and place of business at 6601 West Broad Street, Richmond, VA 23230, is desirous of acquiring the entire right, title, and interest in and to the inventions for the United States and the application for Letters Patent of the United States, and in and to any United States Letters Patent or Patents, to be obtained therefor and thereon; and

WHEREAS, PHILIP MORRIS PRODUCTS S.A. (formerly known as Fabriques de Tabac Reunies S.A.), a corporation organized and existing under the laws of Switzerland and having an office and place of business at Quai Jeanrenaud 3, CH-2000, Neuchâtel, Switzerland, is desirous of acquiring the entire right, title, and interest in and to the inventions for countries foreign to the United States, and in and to any foreign patent applications, and in and to any foreign Letters Patent or Patents to be obtained therefor and thereon; and

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged:

#### ASSIGNMENT OF UNITED STATES PATENT RIGHTS

We do hereby sell, assign and transfer unto PHILIP MORRIS USA INC., its successors, assigns and legal representatives, all right, title, and interest in and to the inventions, for the United States, and in and to the United States patent application, including any continuations and divisions thereof, and any substitute applications therefor and any United States patents which may issue thereon and any reissues and extensions of the same; and we hereby authorize and request the Commissioner of Patents to grant and issue any and all patents on the inventions in the United States to PHILIP MORRIS USA INC. as the assignee thereof.

Page 1 of 5

#### ASSIGNMENT OF FOREIGN PATENT RIGHTS

Further in view of the consideration hereinabove referred to, we do hereby sell, assign, and transfer unto PHILIP MORRIS PRODUCTS S.A., its successors, assigns and legal representatives, all right, title and interest in and to the inventions for all countries foreign to the United States, including the right to claim priority under the International Convention based on the United States patent application, and in and to any foreign patent application, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any foreign patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on the inventions in any foreign country to PHILIP MORRIS PRODUCTS S.A. as the assignee thereof.

We further agree to execute upon request of the assignee PHILIP MORRIS USA INC. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in the United States, and to execute upon request of assignee PHILIP MORRIS PRODUCTS S.A. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in countries foreign to the United States, and to otherwise give full effect to and perfect the rights of the assignee PHILIP MORRIS USA INC. in the United States and of assignee PHILIP MORRIS PRODUCTS S.A. in countries foreign to the United States.

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions and any and all applications for Letters Patent above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that the Assignors will, whenever counsel of the assignees, or counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with the inventions or any and all applications for Letters Patent, or any proceeding in connection with Letters Patent for the inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for the inventions, without charge to the assignees, their successors, legal representatives, and assigns, but at the cost and expense of the assignees, their successors, legal representatives, and assigns;

Page 2 of 5

IN TESTIMONY THEREOF, we have hereunto signed our names on the dates hereinafter indicated:

Date

Name of Assignor

Ahmet Ercelebi

STATE OF VIRGINIA )

CITY OF RICHMOND )

: \$\$

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Date 10/14/11

\_\_\_\_\_ Name of Assignor

Martin T. Garthaffner net 1

STATE OF VIRGINIA ) : ss

CITY OF RICHMOND )

My Commission Expires:

Danielle Basl Durham Commonwealth of Virginia Notary Public Commission No. 337303 My Commission Expires 11/30/2012

NOTARY PUB

Page 3 of 5

Date \_\_\_\_\_ Name of Assignor

Barry S. Smith

STATE OF VIRGINIA ) : ss CITY OF RICHMOND )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Date \_\_\_\_\_ Name of Assignor

Georgios D. Karles

STATE OF VIRGINIA ) : ss CITY OF RICHMOND )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Page 4 of 5

PATENT REEL: 027090 FRAME: 0606 Name of Assignor

Jose Nepomuceno

## STATE OF VIRGINIA ) : ss CITY OF RICHMOND )

Date

On this day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Page 5 of 5

PM2579 UTIL Buchanan Ingersoll & Rooney PC Attorney Docket Nos. 1021238-000810

#### ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by <u>1) Ahmet Ercelebi, 2) Martin T. Garthaffner, 3) Barry S.</u> Smith, <u>4) Georgios D. Karles and 5) Jose Nepomuceno</u> (hereinafter referred to as "the Assignors"), residing at <u>1) 9544 Plateau Place, Mechanicsville, VA 23116, 2) 5200 Newbys</u> Wood Trail, Chesterfield, VA 23832, 3) 9751 Jamescrest Drive, Hopewell, VA 23860, <u>4)</u> <u>4025 Huntsteed Way, Richmond, VA 23233 and 5) 16052 Daybreak Lane, Beaverdam, VA 16052, respectively, witnesseth:</u>

WHEREAS, the Assignors have made certain new and useful inventions in <u>APPARATUS AND METHOD FOR LOADING CAVITIES OF PLUG SPACE PLUG</u> <u>FILTER ROD</u> set forth in an application for Letters Patent of the United States of America, [] having an oath or declaration executed on even date herewith; [X] bearing Serial No. \_\_\_\_\_, and filed on <u>March 25, 2011</u>; and

WHEREAS, PHILIP MORRIS USA INC., a corporation duly organized under and pursuant to the laws of the State of Virginia and having an office and place of business at 6601 West Broad Street, Richmond, VA 23230, is desirous of acquiring the entire right, title, and interest in and to the inventions for the United States and the application for Letters Patent of the United States, and in and to any United States Letters Patent or Patents, to be obtained therefor and thereon; and

WHEREAS, PHILIP MORRIS PRODUCTS S.A. (formerly known as Fabriques de Tabac Reunies S.A.), a corporation organized and existing under the laws of Switzerland and having an office and place of business at Quai Jeanrenaud 3, CH-2000, Neuchâtel, Switzerland, is desirous of acquiring the entire right, title, and interest in and to the inventions for countries foreign to the United States, and in and to any foreign patent applications, and in and to any foreign Letters Patent or Patents to be obtained therefor and thereon; and

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged:

### ASSIGNMENT OF UNITED STATES PATENT RIGHTS

We do hereby sell, assign and transfer unto PHILIP MORRIS USA INC., its successors, assigns and legal representatives, all right, title, and interest in and to the inventions, for the United States, and in and to the United States patent application, including any continuations and divisions thereof, and any substitute applications therefor and any United States patents which may issue thereon and any reissues and extensions of the same; and we hereby authorize and request the Commissioner of Patents to grant and issue any and all patents on the inventions in the United States to PHILIP MORRIS USA INC. as the assignee thereof.

Page 1 of 5

PATENT REEL: 027090 FRAME: 0608

#### ASSIGNMENT OF FOREIGN PATENT RIGHTS

Further in view of the consideration hereinabove referred to, we do hereby sell, assign, and transfer unto PHILIP MORRIS PRODUCTS S.A., its successors, assigns and legal representatives, all right, title and interest in and to the inventions for all countries foreign to the United States, including the right to claim priority under the International Convention based on the United States patent application, and in and to any foreign patent application, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any foreign patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on the inventions in any foreign country to PHILIP MORRIS PRODUCTS S.A. as the assignee thereof.

We further agree to execute upon request of the assignee PHILIP MORRIS USA INC. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in the United States, and to execute upon request of assignee PHILIP MORRIS PRODUCTS S.A. such additional documents, if any, as are necessary and proper to secure patent protection on the inventions in countries foreign to the United States, and to otherwise give full effect to and perfect the rights of the assignee PHILIP MORRIS USA INC. in the United States and of assignee PHILIP MORRIS PRODUCTS S.A. in countries foreign to the United States.

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions and any and all applications for Letters Patent above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the assignees, their successors, legal representatives, and assigns, that the Assignors will, whenever counsel of the assignees, or counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with the inventions or any and all applications for Letters Patent, or any proceeding in connection with Letters Patent for the inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for the inventions, without charge to the assignees, their successors, legal representatives, and assigns, but at the cost and expense of the assignees, their successors, legal representatives, and assigns; IN TESTIMONY THEREOF, we have hereunto signed our names on the dates hereinafter indicated:

Date \_\_\_\_\_

Name of Assignor

Ahmet Ercelebi

STATE OF VIRGINIA )

CITY OF RICHMOND )

; ss

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Date \_\_\_\_\_

Name of Assignor

Martin T. Garthaffner

STATE OF VIRGINIA ) : ss CITY OF RICHMOND )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Date \_\_\_\_\_

Name of Assignor

Barry S. Smith

STATE OF VIRGINIA	)	
	:	SS

CITY OF RICHMOND )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)

Name of Assignor

Georgios D. Karles

STATE OF VIRGINIA ) : ss CITY OF RICHMOND )

Date \_\_\_\_\_

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

(Notarial Seal)

NOTARY PUBLIC

Page 4 of 5

Date 3/24/2011 Name of Assignor con Jose Nepomuceno

STATE OF VIRGINIA )

# CITY OF RICHMOND )

On this  $\frac{1}{2}$  day of (1)  $\frac{1}{2}$   $\frac{1}{2}$   $\frac{1}{2}$  2011, appeared before me in person the above-named individual, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

My Commission Expires:

NOTARY PUBLIC

(Notarial Seal)



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**RECORDED: 10/19/2011**