09/28/2011	U.S. PTO 13/200236 09/22/2011 U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Offic
RECORDATION F	ORM COVER SHEET TS ONLY
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) Mark W. Fetty Michael A. Mason Paul A. Hubbard Additional name(s) of conveying party(ies) attached? Yes X N 3. Nature of conveyance/Execution Date(s):	2. Name and address of receiving party(ies) Name: Amsted Rail Company, Inc. Internal Address: 311 S. Wacker, Suite 5300 Street Address:
Execution Date(s). Execution Date(s). Assignment Merger Security Agreement Change of Name Joint Research Agreement Government Interest Assignment	City: <u>Chicago</u>
Covernment Interest Assignment     Executive Order 9424, Confirmatory License     Other	Country: USA Zip: 60606 Additional name(s) & address(es) attached? Yes X No
Additional numbers a 5. Name and address to whom correspondence	Ittached? Yes No 6. Total number of applications and patents
concerning document should be mailed:	involved: 1
Name: <u>AMSTED Industries Incorporated</u> Internal Address: <u>Edward J. Brosius</u>	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
Street Address: Two Prudential Plaza	Authorized to be charged to deposit account Enclosed None required (government interest not affecting title
180 N. Stetson, Suite 1800 City: <u>Chicago</u> State:Illinois Zip: 60601	8. Payment Information
State:     21p. 60601       Phone Number:     312-819-8482       Fax Number:     312-819-8484       Email Address:     ebrosius@amsted.com	Deposit Account Number Authorized User Name
9. Signature: Edward J. Brosius	Total number of pages including cover 6
Name of Person Signing Documents to be recorded (including cover she Mail Stop Assignment Recordation Services, Director	et) should be faxed to (571) 273-0140, or mailed to: of the USPTO, P.O. Box 14503 Alexandrian VA. 22312:14593 13223235 C4. FC:8321 40.03 PATENT

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## ASSIGNMENT AND AGREEMENT Joint Inventors

WHEREAS, <u>Mark W. Fetty</u>, County of Chesterfield, State of Virginia, and, <u>Michael A.</u> <u>Mason</u>, County of Richmond, State of Virginia, and, <u>Paul A. Hubbard</u>, County of Chesterfield, State of Virginia hereinafter called the "Assignors", have invented certain new and useful improvements in a <u>ROLLER BEARING SEAL</u> for a full description of which reference is here made to an application for Letters Patent of the United States identified as attorney's **Case No. BRN034**, and

WHEREAS, Amsted Rail Company, Inc., a corporation organized and existing under the laws of the State of Delaware, having an office and place of business at 311 S. Wacker, Suite 5300, Chicago, Illinois 60606, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient considerations, the receipt of which by the said Assignors from the said Assignee is hereby acknowledged, the said Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and its successors, assigns, and legal representatives, the entire right, title and interest for the United States in and to the invention and application herein identified, and any Letters Patent of the United States that may issue for said invention, together with the entire right, title and interest in and to said invention and application for Letters Patent and Letters Patent therefor in all countries foreign to the United States; to have

and to hold for the sole and exclusive use and benefit of the said Assignee, its successors, assigns and legal representatives, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issued.

And the said Assignors do hereby covenant and agree, for themselves and their legal representatives, that they will assist their said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other application for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinbefore set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

And the said Assignors do hereby covenant with said Assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any adverse assignment, grant, mortgage, license or other encumbrance, and that they have the full right to convey to the same in manner and form as herein expressed.

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IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this  $\frac{23}{4ugurt}$ , 2011.

Mark

STATE OF <u>Virginia</u>) City COUNTY OF <u>Peters burg</u>) ss.

I, <u>Linda M. Andre W</u>, a Notary Public in and for the County and State aforesaid, do hereby certify that Mark W. Fetty personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this  $\frac{23}{4}$  day of  $\frac{1}{4}$  of  $\frac{1}{4}$ , 2011.

nde M. andreur

Notary Public



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this  $\frac{23}{23}$  Rd

day of <u>August</u>, 2011.

STATE OF ) ss. 199) re Wa Notary Public in and for the County and State

aforesaid, do hereby certify that Michael A. Mason personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 3 day

19451, 2011.

n. andreus



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this  $\frac{23}{44}$  day of  $\frac{44}{44}$ , 2011.

li.Holan

STATE OF ) ss.

Paul A. Hubbard

more why a Notary Public in and for the County and State

aforesaid, do hereby certify that Paul A. Hubbard personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this day

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1045t, 2011.

**RECORDED: 09/22/2011** 

Notary Public

