# OP \$1040,00 6965979

# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: | IP TRANSFER AGREEMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Pillar Data Systems, Inc.	09/26/2011

#### **RECEIVING PARTY DATA**

Name:	Oracle International Corporation
Street Address:	500 Oracle Parkway
City:	Redwood City
State/Country:	CALIFORNIA
Postal Code:	94065

## PROPERTY NUMBERS Total: 26

Property Type	Number
Patent Number:	6965979
Patent Number:	7216253
Patent Number:	7124243
Patent Number:	7136974
Patent Number:	6959313
Patent Number:	7143122
Patent Number:	D511521
Patent Number:	D511777
Patent Number:	7216192
Patent Number:	7380157
Patent Number:	7222223
Patent Number:	7418531
Patent Number:	7257606
Patent Number:	7379954
Patent Number:	7380059
	DATENT

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Patent Number:	7685176
Patent Number:	7496725
Patent Number:	7343517
Patent Number:	7287134
Patent Number:	7653669
Patent Number:	7594044
Patent Number:	7756844
Patent Number:	7836029
Patent Number:	7721022
Application Number:	12074970
Application Number:	12075020

#### **CORRESPONDENCE DATA**

**Fax Number**: (720)562-5519 **Phone**: 720-562-5503

Email: lmellblom@mfblaw.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Laura S. Mellblom

Address Line 1: 1881 9th Street, Suite 335

Address Line 2: Marsh Fischmann & Breyfogle LLP Address Line 4: Boulder, COLORADO 80302

ATTORNEY DOCKET NUMBER:	VARIOUS
NAME OF SUBMITTER:	Daniel J. Sherwinter

## Total Attachments: 15

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#### ORACLE / PILLAR DATA SYSTEMS

#### IP TRANSFER AGREEMENT

THIS ORACLE / PILLAR DATA SYSTEMS IP TRANSFER AGREEMENT (this "Agreement") is made and entered into as of October 1, 2011 by and among Oracle Systems Corporation, a Delaware corporation ("OSC"), Oracle Global Holdings, Inc., a Delaware corporation ("OGH"), Oracle International Corporation, a California corporation ("OIC"), and Pillar Data Systems, Inc., a Delaware corporation ("PDS US").

#### RECITALS

WHEREAS, it is desirable and in the best interest of Oracle Corporation, a Delaware corporation ("OC") to reorganize the corporate organizational structure (the "Reorganization") of OC and its subsidiaries, including PDS US;

WHEREAS, OSC, OGH, PDS US, OIC and other indirect, wholly-owned subsidiaries of OC entered into a Plan of Reorganization dated September 27, 2011 relating to the Reorganization (the "Plan");

WHEREAS, in connection with the Reorganization and pursuant to the Plan, it is contemplated that PDS US will merge with and into OSC, pursuant to which merger OSC will continue as the surviving entity (the "Merger");

WHEREAS, in connection with the Reorganization and pursuant to the Plan, it is contemplated that, immediately prior to the Merger, OSC and OGH will cause PDS US to transfer directly to OIC, all of its IP Assets (as defined herein), and OIC will assume all of PDS US's obligations with respect to such IP Assets (the "IP Transfer");

WHEREAS, as contemplated by the Plan, this Agreement is intended to effect the IP Transfer with an effective time as of 12:01 a.m. Pacific Daylight Time (the "Effective Time") on October 1, 2011 (the "Effective Date"); and

WHEREAS, the transfer of the IP Assets by PDS US as contemplated by this Agreement is intended for tax purposes to constitute a transfer of the IP Assets by PDS US to OSC in connection with the Merger in a transaction that qualifies as a "reorganization" under Section 368(a) of the Internal Revenue Code of 1986, as amended (the "Code"), followed by contributions of the IP Assets from OSC to OGH, and from OGH to OIC in transactions described in Section 351 of the Code and permitted under Section 368(a)(2)(C) of the Code and Treasury Regulation section 1.368-2(k):

## AGREEMENT

NOW, THEREFORE, in consideration of the foregoing and the mutual covenants and agreements set forth below, and other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, the parties hereby agree as follows:

# ARTICLE 1 AUTHORIZATION AND TRANSFER OF IP ASSETS

Section 1.1. <u>Parent Entity Authorization and Directive</u>. Based on the foregoing recitals, each of OSC and OGH hereby authorizes and directs PDS US to make the assignments described below to OIC

on behalf of OSC and OGH, respectively, to facilitate and effectuate the IP Transfer as contemplated above.

- Section 1.2. <u>Transfer of IP Assets</u>. Upon and subject to the terms and conditions of this Agreement, as of the Effective Time, PDS US hereby assigns, agrees to assign, transfers, conveys and delivers to OIC and OIC hereby acquires and accepts, with the right for OIC to, subsequent to the transfer and assignment, control, use, enforce, further transfer (granting the acquirer the same rights), assign, license, sub-license, alter, amend, update, develop, create derivative works of or otherwise dispose of:
- Property. All of its right, title, and interest in the following: (i) all inventions, patents, and pending applications; (ii) all copyrights, trade secrets, know-how, and any other proprietary rights and intellectual assets, registered and unregistered, that are embodied in, or that pertain to the development, testing, installation, implementation, customization, optimization, configuration, operation, support, promotion, marketing, advertising, sale, hosting or other use thereof of the educational core curriculum, the software programs and related documentation specified in the global price lists of PDS US; and (iii) all copyrights, trade secrets, know-how, and any other proprietary rights and intellectual property assets, registered and unregistered, that relate to PDS US's business operations, products, and services, including, without limitation, with respect to each of the foregoing clauses, the registered patents, copyrights and other intellectual property listed on Schedule 1 hereto (collectively referred to in this Agreement as the "Assigned Software IP");
- (b) <u>Tradenames and Trademarks</u>. All of its rights, title, and interest in all global tradenames, trademarks, service marks, trade dresses, logos, designs and slogans, whether in word mark, stylized or design format, registered and unregistered acquired from PDS US, including, without limitation, the trademarks and other intellectual property listed on <u>Schedule 1</u> hereto (the "<u>Marks</u>"), together with the goodwill of PDS US's business connected with the use of and symbolized by the Marks and all the rights and privileges that inhere in such Marks (the Marks and such Marks-related goodwill, rights and privileges are collectively referred to in this Agreement as the "<u>Assigned Marks</u>"); and
- (c) Other Goodwill and Going Concern Value. All of its right, title and interest in the other (non-Marks-related) goodwill and going concern value of PDS US's business not embodied in or included as part of the Assigned Software IP and the Assigned Marks (collectively referred to in this Agreement as, the "Other Goodwill"). (The Assigned IP, the Assigned Marks and the Other Goodwill are collectively referred to in this Agreement as the "IP Assets").
- Section 1.3. <u>Liabilities</u>. PDS US will not transfer, and OIC will not assume, any liabilities whatsoever as part of this Agreement, except for those liabilities and obligations related to the IP Assets being transferred pursuant to Section 1.2 above; *provided, however*, that the foregoing shall not be deemed to constitute an assignment or transfer to OIC of any contracts, agreements, licenses or other commitments which are otherwise transferred to Oracle America, Inc., a Delaware corporation ("<u>OAI</u>") in connection with that certain Asset Transfer Agreement entered into by and among OSC, PDS US and OAI effective as of October 1, 2011.
- Section 1.4. <u>Deliveries.</u> PDS US will deliver to OIC such documents as are necessary to transfer the assets listed in Section 1.2 above.
- Section 1.5. No Representations or Warranties. OIC acknowledges and agrees that (a) PDS US makes no representations or warranties, express or implied, as to the condition, quality, merchantability or fitness of any IP Assets transferred by it pursuant to this Agreement or otherwise, and any representations and warranties that may apply are hereby expressly disclaimed, except to the extent that such disclaimer is held to be legally invalid, in which event any representations and warranties shall apply only to the extent required not to be legally invalid (and in no event shall PDS US be liable for any claim for special, incidental, indirect or consequential damages, loss of business, revenue, profits, goodwill, use, data or other economic advantage of OIC), (b) all such IP Assets are being transferred on

- an "as is," "where is" basis, and (c) OIC will bear the economic and legal risks that any conveyance will prove to be insufficient to vest in it good and marketable title to the IP Assets, free and clear of any security interest, pledge, lien, charge, claim or other encumbrance of any nature whatsoever.
- Section 1.6. <u>Acknowledgment</u>. PDS US acknowledges that, from and after the Effective Time, OIC is the owner of all right, title and interest in and to the IP Assets in any form or embodiment thereof. PDS US will not at any time do or suffer to be done any act or thing which may materially adversely affect any rights of OIC in or to the IP Assets. OIC acknowledges that PDS US may have granted certain licenses and other rights to the IP Assets and that OIC acquires such IP Assets subject to such licenses and other rights.
- Section 1.7. <u>Enforcement and Maintenance of the IP Assets.</u> PDS US and OIC acknowledge and agree that the IP Transfer hereunder includes the assignment by PDS US to OIC of all rights to sue for or otherwise enforce past, present and future infringement claims with respect to the IP Assets and to freely control any such lawsuits or settlements of the same, including the right to collect past damages. As the owner of the IP Assets, OIC will defend against any and all past, present or future claims, suits, actions, proceedings, losses, damages, liabilities, costs and expenses arising from, or attributable to, any allegation that the IP Assets infringe the intellectual property or proprietary rights of any third party. For the avoidance of doubt, OIC shall be responsible for the maintenance of any patents or other IP Assets required by the U.S. Patent & Trademark Office or any other governmental or regulatory body.
- Section 1.8. <u>Cooperation</u>. PDS US will take all actions necessary to execute any and all documents as may be reasonably requested by OIC from time to time to fully vest or perfect in OIC all right, title and interest in and to the IP Assets pursuant to this Agreement. Such actions may include without limitation, providing documents and information useful or necessary to prosecuting any application to register or perfect any of the IP Assets, maintaining any trademark registration, or pursuing or defending any administrative, court or other legal proceeding involving one or more of the IP Assets.

# ARTICLE 2 MISCELLANEOUS PROVISIONS

- Section 2.1. <u>Further Assurances</u>. The parties hereto will each perform such acts, execute and deliver such instruments and documents, and do all such other things as may be reasonably necessary to accomplish the transactions contemplated in this Agreement. Except as otherwise expressly provided in this Agreement, neither PDS US nor OIC will be obligated to incur any out-of-pocket costs, expenses and fees in connection with its obligations under this Section 2.1, including, without limitation, any attorneys' fees, recording, assignment or other similar fees.
- Section 2.2. <u>Governing Law</u>. The internal laws of the State of California (without reference to its principles of conflicts of law) govern the construction, interpretation and other matters arising out of or in connection with this Agreement (whether arising in contract, tort, equity or otherwise).
- Section 2.3. <u>Severability</u>. If any provision of this Agreement is determined to be invalid, illegal or unenforceable, the remaining provisions of this Agreement remain in full force, if the essential terms and conditions of this Agreement for each party remain valid, binding and enforceable.
- Section 2.4. <u>Entire Agreement</u>. This Agreement constitutes the final agreement by and among the parties with respect to the subject matter contained herein, and is the complete and exclusive statement of the parties' agreement on the matters contained herein. All prior and contemporaneous negotiations and agreements by and among the parties with respect to the matters contained herein are superseded by this Agreement.
- Section 2.5. <u>Third Party Beneficiaries</u>. Nothing in this Agreement is intended, nor shall it be constructed, to confer any rights or benefits upon any person (including, but not limited to, any employee or former employee of any party hereto) other than the parties hereto.

- Section 2.6. <u>Successors and Assigns</u>. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective successors and assigns, provided, however, that no party hereto will assign its rights or delegate its obligations under this Agreement without the express written consent of the other parties hereto, unless such assignment or delegation is to an affiliate of a party, in which case, no such consent is required.
- Section 2.7. <u>Amendment</u>. No change, modification or amendment of this Agreement shall be valid or binding on the parties unless such change or modification shall be in writing signed by the party or parties against whom the same is sought to be enforced.
- Section 2.8. <u>Counterparts</u>. The parties may execute this Agreement in multiple counterparts, each of which constitutes an original as against the party that signed it, and all of which together constitute one agreement. The signatures of all parties need not appear on the same counterpart. The delivery of signed counterparts by facsimile or email transmission that includes a copy of the sending party's signature is as effective as signing and delivering the counterpart in person.

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IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized representatives as of the Effective Date.

"OSC"	"OIC"
ORACLE SYSTEMS CORPORATION, a Delaware corporation	ORACLE INTERNATIONAL CORPORATION, a California corporation
By: Name: Grog Ailbrich Title: Senior Vice President, Taxation Date: Sentember 16, 2011	By: Name: Thomas J. Angioletti Title: Senior Vice President, Intellectual Property Date: September, 2011
"PDS US"	"OGH"
PILLAR DATA SYSTEMS, INC., a Delaware corporation	ORACLE GLOBAL HOLDINGS, INC., a Delaware corporation
By: Name: Thomas J. Angioletti Title: Vice President Date: September, 2011	By: Name: Greghtbrich Title: Seniar Vice President, Taxation Date: September 16, 2011

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized representatives as of the Effective Date.

~08C	"OIC"
ORACLE SYSTEMS CORPORATION, a Delaware corporation	ORACLE INTERNATIONAL CORPORATION, a California corporation
By: Name: Greg Hilbrich Title: Senior Vice President, Taxation Date: September, 2011	By: Name: Thomas J. Angioletti Title: Senior Vice President, Intellectual Property Date: September 26 2011
"PDS US"	"OGH"
PILLAR DATA SYSTEMS, INC., a Delaware corporation	ORACLE GLOBAL HOLDINGS, INC., a Delaware corporation
By: Name: Thomas J. Angioletti Title: Vice President Date: September 2, 2011	By: Name: Greg Hilbrich Title: Senior Vice President, Taxation Date: September , 2011

# Schedule 1

[Signature Page to Oracle / PDS US IP Transfer Agreement]

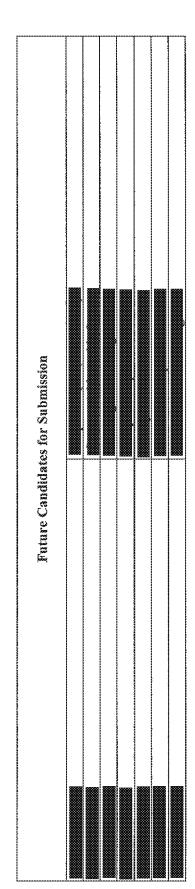
Section 2.14(a)(i).1

Patents

			Issued Patents	
Patent No.	Pillar Atty Docket No.	Date of Patent	Jurisdiction	Full Legal Name of Owner of Record
6,965,979	Pillar 709	November 15, 2005	NS	Pillar Data Systems, Inc.
7,216,253	Pillar 707	May 8, 2007	SÓ	Pillar Data Systems, Inc.
7,124,243	Pillar 713	October 17, 2006	SO	Pillar Data Systems, Inc.
7,136,974	Pillar 711.		US	Pillar Data Systems, Inc.
6,959,313	Pillar 714	October 25, 2005	Sn	Pillar Data Systems, Inc.
7,143,122	Pillar 715	November 28, 2006	NS	Pillar Data Systems, Inc.
D511,521	Pillar 718	November 15, 2005	US	Pillar Data Systems, Inc.
D511,777	Pillar 719	November 22, 2005	US	Pillar Data Systems, Inc.
7,216,192	Pillar 720	May 8, 2007	US	Pillar Data Systems, Inc.
7,380,157	Pillar 721	May 27, 2008	SO	Pillar Data Systems, Inc.
7,222,223	Pillar 723	May 22, 2007	S	Pillar Data Systems, Inc.
7,418,531	Pillar 732	August 26, 2008	US	Pillar Data Systems, Inc.
7,257,606	Pillar 741	August 14, 2007	Sn	Pillar Data Systems, Inc.
7,379,954	Pillar 742	May 27, 2008	US	Pillar Data Systems, Inc.
7,380,059	Pillar 710	May 27, 2008	US	Pillar Data Systems, Inc.
7,685,176	Pillar 755	March 23, 2010	US	Pillar Data Systems, Inc.
7,496,725	Pillar 758	Feburary 24, 2009	US	Pillar Data Systems, Inc.
7,343,517		March 11, 2008	US	Pillar Data Systems, Inc.
7,287,134	Pillar 765	October 23, 2007	OS	Pillar Data Systems, Inc.
7,653,669		January 26, 2010	SO	Pillar Data Systems, Inc.
7,594,044		September 22, 2009	US	Pillar Data Systems, Inc.
7,756,844	Pillar 760	July 13, 2010	US	Pillar Data Systems, Inc.
7,836,029		November 16, 2010	US	Pillar Data Systems, Inc.
7,721,022	Pillar 781	May 18, 2010	US	Pillar Data Systems, Inc.
EP 1642216	Pillar 745	June 22, 2011	EP (France, Germany,	Pillar Data Systems, Inc.
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		Abandoned Patent Applications	pplications
Pillar Attorney Docket No.	Brief Description	Filing Date	Status
Pillar 701	MUX w/o uP	October 3, 2002	
	CIP of 701MUX w/uP	ļ	
	DIV of 716	<b>}</b>	
Pillar 734	DIV of 716	March 3, 2005	
	DIV of 716		
	FS QoS	October 8, 2005	
Pillar 768	Divisional of 710	July 17, 2007	

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Trademarks

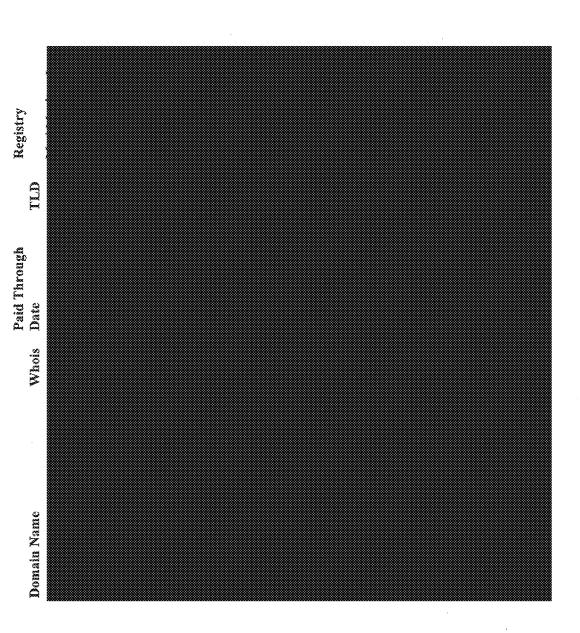
All the listed marks are owned by Pillar Data Systems, Inc.

Comments				
Reg. Date Class(es)				
Piling Date Reg. No.				
Appl No.				
Country Status				
Mark				

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URL/Bomain Names

All the listed domain names are registered in the name of Pillar Data Systems or its designees.



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