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## Electronic Patent Assignment System

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#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: CORRECTIVE ASSIGNMENT

Corrective Assignment to correct the State of organization of BioAtla and clarify BioAtla was not applicant but rather first assignee previously recorded on Reel 026078 Frame 0396. Assignor(s) hereby confirms the assignment of assignor's interest.

#### CONVEYING PARTY DATA

Name	Execution Date
BioAtla, LLC	04/27/2011

#### RECEIVING PARTY DATA

Name:	Femta Pharmaceuticals, Inc.	
Street Address:	4510 Executive Drive, Suite 322	
City:	San Diego	
State/Country:	CALIFORNIA	
Postal Code:	92121	

#### PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	12617915	

#### CORRESPONDENCE DATA

Fax Number:

(303)260-7714

Phone:

3036402524

Email:

tammy.jansen@akerman.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.

Correspondent Name: Tammy Jansen Address Line 1: 511 16th Street, Suite 420 Address Line 4: Denver, COLORADO 80202		
ATTORNEY DOCKET NUMBER:	10438-1 / 245004	
NAME OF SUBMITTER:	Darla A. Graff	
Signature:	/Darla A. Graff/	
Date:	10/31/2011	
Total Attachments: 7 source=Assignment-BioAtla-Femta#page1.tif source=Assignment-BioAtla-Femta#page2.tif source=Assignment-BioAtla-Femta#page3.tif source=Assignment-BioAtla-Femta#page4.tif source=Assignment-BioAtla-Femta#page5.tif source=Assignment-BioAtla-Femta#page6.tif source=Assignment-BioAtla-Femta#page6.tif source=Assignment-BioAtla-Femta#page7.tif		
RECEIPT INFORMATION		
EPAS ID:       PAT1739426         Receipt Date:       10/31/2011         Fee Amount:       \$40		

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Electronic Version v1.1 Stylesheet Version v1.1

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BioAtla, LLC	04/27/2011

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3036402524

Email:

tammy.jansen@akerman.com

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via US Mail.

Correspondent Name:

Tammy Jansen

Address Line 1:

511 16th Street, Suite 420

Address Line 4:

Denver, COLORADO 80202

ATTORNEY DOCKET NUMBER:

10438-1 / 245004

NAME OF SUBMITTER:

Darla A. Graff

Total Attachments: 7

source=Assignment-BioAtla-Femta#page1.tif

PATENT REEL: 027174 FRAME: 0258 12617915

This assignment ("Assignment") is made and entered into by and between BioAtla, LLC, a limited liability company organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 10190 Telesis Court, San Diego, California 92122 ("Assignor"), and Femta Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 4510 Executive Drive, Suite 322, San Diego, California 92121 ("Assignee").

WHEREAS, the parties wish to confirm and document the assignment and transfer of application for Letters Patent of the United States on November 13, 2009, application Serial No. 12/617915 which is entitled HUMANIZED ANTI-IL-6 ANTIBODIES;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and intending to be legally bound hereby. Assignor hereby confirms the sale, assignment, transfer and conveyance of, and to the extent necessary, hereby unconditionally and irrevocably does hereby assign, sell, grant, convey, transfer and set over to Assignee, its successors and assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and all rights and causes of action to recover past, present or future damages, royalties, fees, profits or other relief, including but not limited to, equitable and injunctive relief ensuing from infringement of the Patents; and Assignee does hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignce as the assignce of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

Intellectual Property Assignment Serial No. 12/617915 Page 2 of 3

IN WITNESS WHEREOF, the Parties have caused this Assignment to be executed as of the date(s) indicated below.

ASSIGNOR BIOATLA, LLC

	By: Name: Title:	They M. SIST. T.
STATE OF	)	
COUNTY OF	) ss: )	The state of the s
BioAtla, LLC, on behalf	, by of the limited hability company.	the He/she is personally known to me
has produced	(type of identification) as	
Martin Company of the	NOTARY PI	UBLIC STATE OF
	(Print: "I'vne or :	Stamp Commissioned Name of Notary Publi

Intellectual Property Assignment Serial No. 12/617915 Page 3 of 3

	ASSIGNEE FEMTA PHARMACEUTICALS, INC.
	By: Name: Title:
STATE OF	) ) ss:
COUNTY OF	).
The foregoing instrument . 2011, by	was sworn to and subscribed before me this day of
Pharmaceuticals, Inc., on behalf o	f the corporation. He/she is personally known to me or has(type of identification) as identification.
	Notary Public
[SEAL]	My commission expires:

# CALIFORNIA ALL-PURPOSE

CERTIFICATE O	F ACKNOWLEDGMENT
State of California	•
County of San Diego.	
On April 27,2011 before me, Debb	12 T- Sustacrt Matary Public (pere inset name and title of the officer)
personally appeared Tay Milton	Short
capacity(ies), and that by his/her/their signature(which the person(s) acted, executed the instrument	•
I certify under PENALTY OF PERJURY under is true and correct.	the laws of the State of California that the foregoing paragraph
WITNESS my hand and official seal.  A Signature of Notary Public	DESSIE T, SWIGERT COAMM. #1852786 Notary PubSc-California 77 San Diego County Comm. Expires Jun 7. 2013 (Seal)
ADDITIONAL O	PTIONAL INFORMATION
DESCRIPTION OF THE ATTACHED DOCUMENT  Patent Assignment  (Title or description of attached document)  Serral No. 12/10/79/5  (Title or description of attached document continued)	INSTRUCTIONS FOR COMPLETING THIS FORM  Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully far proper notarial wording and attach this form if required.
Number of Pages Document Date 04/27/11	<ul> <li>State and County information must be the State and County where the document signor(s) personally appeared before the notary public for acknowledgment.</li> <li>Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.</li> </ul>
(Additional information)	The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).     Print the name(s) of document signer(s) who personally appear at the time of notarization.
CAPACITY CLAIMED BY THE SIGNER  Individual (s)  Corporate Officer	<ul> <li>Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ke/she/fheyr is /ere) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of decument recording.</li> <li>The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a</li> </ul>

- ☐ Partner(s)
- Attorney-in-Fact
- ☐ Trustee(s)
- Other

- sufficient area permits, otherwise complete a different acknowledgment form.
- . Signature of the notary public must match the signature on file with the office of the county clock.

  - Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document. Indicate title or type of attached document, number of pages and date. Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

This assignment ("Assignment") is made and entered into by and between BioAtla, LLC, a limited liability company organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 10190 Telesis Court, San Diego, California 92122 ("Assignor"), and Femta Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 4510 Executive Drive, Suite 322, San Diego, California 92121 ("Assignee").

WHEREAS, the parties wish to confirm and document the assignment and transfer of application for Letters Patent of the United States on November 13, 2009, application Serial No. 12/617915 which is entitled HUMANIZED ANTI-IL-6 ANTIBODIES;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and intending to be legally bound hereby. Assignor hereby confirms the sale, assignment, transfer and conveyance of, and to the extent necessary, hereby unconditionally and irrevocably does hereby assign, sell, grant, convey, transfer and set over to Assignee, its successors and assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and all rights and causes of action to recover past, present or future damages, royalties, fees, profits or other relief, including but not limited to, equitable and injunctive relief ensuing from infringement of the Patents; and Assignee does hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

Intellectual Property Assignment Serial No. 12/617915 Page 2 of 3

IN WITNESS WHEREOF, the Parties have caused this Assignment to be executed as of the date(s) indicated below.

		ASSIGNOR BIOATLA, LLC
		By: Name: Title:
STATE OF	) ) ss:	
COUNTY OF	) 33.	
BioAtla, LLC, on behalf	[1, by of the limited liab	orn to and subscribed before me this day of of of of or
nas produced	(tyg	e of identification) as identification.
		NOTARY PUBLIC STATE OF
		(Print, Type or Stamp Commissioned Name of Notary Public)

Intellectual Property Assignment Serial No. 12/617915 Page 3 of 3

> ASSIGNEE FEMTA PHARMACEUTICALS, INC.

STATE OF California

The foregoing instrument was sworn to and subscribed before me this 29th day of April , 2011, by Angela T. Miranda, Stephen F. Keane of Femta Pharmaceuticals, Inc., on behalf of the corporation. He/she is personally known to me or has produced CA Drivers License (type of identification) as identification.

[SEAL]

Notary Public My commission expires: May 8, 20/1



This assignment ("Assignment") is made and entered into by and between BioAtla, LLC, a limited liability company organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 10190 Telesis Court, San Diego, California 92122 ("Assignor"), and Femta Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 4510 Executive Drive, Suite 322, San Diego, California 92121 ("Assignee").

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Intellectual Property Assignment Serial No. 12/617915 Page 2 of 3

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ASSIGNOR BIOATLA, LLC

	By: Name: Title:	Chairman SEO
STATE OF	<b>)</b> :	
COUNTY OF	)	The state of the s
. 2011	trument was sworn to and by If the limited Hability compar	subscribed before me this day
has produced	(type of identification)	as identification.
att i market and a state of the	NOTARY	PUBLIC STATE OF
ALL CONTRACTOR OF THE PROPERTY	Print Type:	or Stamp Commissioned Name of Notary Publ

Intellectual Property Assignment Serial No. 12/617915 Page 3 of 3

	FEMTA PHARMACEUTICALS, INC.
	By:
	Name:
	Title:
STATE OF	)
STATE OF  COUNTY OF	) ss:
COUNTY OF	)
The foregoing instrument	was sworn to and subscribed before me this day of, of Femta
Pharmaceuticals, Inc., on behalf produced	of the corporation. He/she is personally known to me or has  (type of identification) as identification.
	Notary Public
[SEAL]	My commission expires:

ASSIGNEE

# CALIFORNIA ALL-PURPOSE

CERTIFICATE OF ACKNOWLEDGMENT State of California On April 27,2011 before me, Debhit T. Swigert Notice Public personally appeared Jay Milton Short who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/arc subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS my hand and official seal. COMM. #1852786 lotary Public-California Sen Diego County nm Expines Aun 7, 2013 ADDITIONAL OPTIONAL INFORMATION INSTRUCTIONS FOR COMPLETING THIS FORM Any aclanoviedgment completed in California must contain verblage axacily as DESCRIPTION OF THE ATTACHED DOCUMENT appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a Potent Assagnment
(Title or description of attached document) document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in (Title or description of attached document continued) California (i.e. certifying the authorized capacity of the signer). Please theck the document carefully for proper notarial wording and attach this form if reguland. Number of Pages L Document Date 04/2 State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment. Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed. (Additional information) The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public). Print the name(s) of document signer(s) who personally appear at the time of CAPACITY CLAIMED BY THE SIGNER Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ☐ Individual (8) he she they, is fare ) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording Corporate Officer · The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a (Title) sufficient area permits, otherwise complete a different acknowledgment form. T Partner(s)

- - Atterney-in-Fact
- Trustee(s)
- Other

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  - Additional information is not required but could help to ensure this acknowledgment is not misused of attached to a different document.
  - Indicate title or type of attached document, number of pages and date. Indicate the capacity claimed by the signer, if the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely strack this document to the signed document

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Intellectual Property Assignment Serial No. 12/617915 Page 2 of 3

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		ASSIGNOR BIOATLA, LLC
		By: Name: Title:
STATE OF	) ) ss:	
COUNTY OF	) 33.	
. 201	1. by	vorn to and subscribed before me this day of of
BioAtla, LLC, on behalf	of the limited liab	bility company. He/she is personally known to me or pe of identification) as identification.
		NOTARY PUBLIC STATE OF
		(Print, Type or Stamp Commissioned Name of Notary Public)

Intellectual Property Assignment Serial No. 12/617915 Page 3 of 3

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[SEAL]

Notary Public My commission expires: May 8, 20/1





#### United States Patent And Trademark Office

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OPPICE

November 02, 2011

PTAS

TAMMY JANSEN 511 16TH STREET, SUITE 420 DENVER, CO 80202

501708110

United States Patent and Trademark Office Notice of Non-Recordation of an Assignment Document

The enclosed document has been examined and found non-recordable by the Assignment Recordation Branch of the U.S. Patent and Trademark Office. The reason(s) for non-recordation are stated below:

- 1. The document submitted to correct errors in the previously recorded document is not acceptable. To correct an error in the recorded document, a corrective cover sheet along with the original cover sheet, must be accompanied by the correct assignment document or a copy of the correct assignment document. The recording fee for the corrections as set forth in 37 CFR 3.34.
- 2. SEND ALL CORRESPONDENCE BACK INCLUDING THE NOTICE OF NON-RECORDATION.
  TO AVOID ADDITIONAL CHARGES FAX TO 571-273-0140.

Documents being resubmitted for recordation must reflect the corrected information to be recorded, the Document ID number referenced above and all pages from this submitted document. The original date of filing of this assignment document will be maintained if resubmitted with the appropriate correction(s) within 30 days from the date of this notice as outlined under 37 CFR 3.51. The resubmitted document must include a stamp with the official date of receipt under 37 CFR 3. Applicants may use the certified procedures under 37 CFR 1.8 or 1.10 for resubmission of the returned papers if they desire to have the benefit of the date of deposit in the United States Postal Service.

Send documents to: U.S. Patent and Trademark Office, Mail Stop: Assignment Recordation Branch, P.O. BOX 1450, Alexandria, VA 22313. If you have any questions regarding this notice, you may contact the Assignment Recordation Branch at 571-272-3350.

LAZENA MARTIN
ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

REEL: 027174 FRAME: 0273



#### **UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 03, 2011

PTAS

TAMMY JANSEN - AKERMAN SENTERFITT LLP 511 16TH STREET, SUITE 420 DENVER, CO 80202



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/02/2011

REEL/FRAME: 026212/0046

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 10438-1 / 245004

ASSIGNOR:

BIOATLA, LLC

DOC DATE: 04/27/2011

ASSIGNEE:

FEMTA PHARMACEUTICALS, INC. 4510 EXECUTIVE DRIVE, SUITE 322 SAN DIEGO, CALIFORNIA 92121

P.O. Box 1450, Alexandria, Virginia 22313-1450 - WWW.USPTO.GOV

026212/0046 PAGE 2

APPLICATION NUMBER: 12617915

PATENT NUMBER:

TITLE: HUMANIZED ANTI-IL-6 ANTIBODIES

FILING DATE: 11/13/2009

ISSUE DATE:

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

Electronic Version v1.1 Stylesheet Version v1.1 05/02/2011 501518136

SUBMISSION TYPE:		NEW ASSIGNMENT					
NATURE OF CONVEY	ANCE:	ASSIGN	ASSIGNMENT				
CONVEYING PARTY DATA							
Name Execution Date							
Name Execution Date BioAtla, LLC 04/27/2011							
Biorua, cco					042772011		
RECEIVING PARTY DATA							
Name:	Femta Pharma	ceuticals, Inc.	· · · · · · · · · · · · · · · · · · ·				
Street Address:	4510 Executive	: Drive, Suite 322			<b></b>		
City:	San Diego						
State/Country:	CALIFORNIA						
Postal Code:	92121		·				
PROPERTY NUMBERS	3 Total: 1						
Property Ty	pe		Number	•			
<del>      </del>			915				
Application Number:		12617915					
Application Number:		12617915					
CORRESPONDENCE DE Fax Number:  Correspondence will be	DATA (561)659 sent via US Ma	6313 <i>il when the fax a</i> i	tempt is unsuccessful.				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone:	OATA (561)659 sent via US Ma 303-640-	6313 <i>il when the fax a</i> l 2524	•				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone: Email:	OATA (561)659 sent via US Ma 303-640- tammy.ja	6313 <i>ij when the fax al</i> 2524 nsen@akerman.c	com				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone:	DATA (561)659 Sent via US Ma 303-640- tammy.ja Tammy J	6313 <i>il when the fax a</i> l 2524	com				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone: Email: Correspondent Name:	OATA (561)659 e sent via US Ma 303-640- tammy.ja Tammy J 511 16th	6313 <i>ij when the fax al</i> 2524 nsen@akerman.c ansen - Akerman	om Senterfitt LLP				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone: Email: Correspondent Name: Address Line 1:	OATA (561)659 Sent via US Ma 303-640- tammy.ja Tammy J 511 16th Denver, (	6313 <i>if when the fax al</i> 2524 nsen@akerman.c ansen - Akerman Street, Suite 420	com Senterfitt LLP 02				
CORRESPONDENCE DE Fax Number: Correspondence will be Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	OATA  (561)659  Sept via US Ma 303-640- tammy.ja Tammy J 511 16th Denver, (	6313 ij when the fax at 2524 nsen@akerman.c ansen - Akerman Street, Suite 420 COLORADO 8020	Senterfitt LLP 02 / 245004				

PATENT REEL: 027174 FRAME: 0276

P. 15

**RECORDED: 11/02/2011**