

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Allan T. CHIULLI	06/14/2007
Tom H. WARREN	06/14/2007
RECEIVING PARTY DATA	
Name:	UAT, Inc.
Street Address:	7375 South Peoria Street, Bldg. 9, Suite 206, Box B-10
City:	Englewood
State/Country:	COLORADO
Postal Code:	80112
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13118904
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Correspondent Name:	Plumsea Law Group, LLC
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Address Line 4:	Bethesda, MARYLAND 20817
ATTORNEY DOCKET NUMBER:	69-1026 UAT00001-US-CON3
NAME OF SUBMITTER:	Steven P. Arnheim
Total Attachments: 5 source=2011-11-04_69-1026_UAT0001-US-CON3_Assignment#page1.tif source=2011-11-04_69-1026_UAT0001-US-CON3_Assignment#page2.tif source=2011-11-04_69-1026_UAT0001-US-CON3_Assignment#page3.tif source=2011-11-04_69-1026_UAT0001-US-CON3_Assignment#page4.tif source=2011-11-04_69-1026_UAT0001-US-CON3_Assignment#page5.tif	

OP \$40.00 13118904

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PATENT
REEL: 027179 FRAME: 0482

ASSIGNMENT

WHEREAS, Allan T. Chiulli, residing at 5301 South Yosemite Street, #33-104, Greenwood Village, Colorado 80111; and Tom H. Warren, residing at 4160 E. Linden Circle, Greenwood Village, Colorado 80121; (hereinafter "Assignors") have invented certain new and useful improvements in

**SYSTEM AND METHOD FOR FACILITATING UNIFIED TRADING AND CONTROL
FOR A SPONSORING ORGANIZATION'S MONEY MANAGEMENT PROCESS**

for a full description of which, reference is here made to an application for Letters Patent of the United States of America

☐ Executed concurrently herewith

☐ Executed on

☒ Serial. No. **11/783,690**, filed **APRIL 11, 2007**

in the U.S. Patent and Trademark Office and to U.S. provisional patent application(s)

☒ Serial. No. **60/791,209**, filed **APRIL 12, 2006**; and

☒ Serial. No. **60/899,393**, filed **FEBRUARY 5, 2007**

in the U.S. Patent and Trademark Office; and

WHEREAS, UAT, Inc., a corporation of the State of Delaware, having its principal office and place of business at 5301 South Yosemite Street, #33-104, Greenwood Village, CO 80111 (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in, to, and under said invention and application above identified, and in, to, and under any Letters Patent that may be obtained for said invention, together with all foreign rights corresponding thereto, as hereinafter more fully set forth.

ASSIGNMENT**DOCKET NO. UAT0001-US**

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for good and valuable and legally sufficient consideration, the receipt of which by each Assignor from Assignee is hereby acknowledged, Assignors have agreed to sell, assign, and transfer and by these presents does hereby, sell, assign, and transfer unto Assignee the entire right, title and interest in, to, and under said invention and application above identified and Letters Patent of the United States of America that may be obtained in respect thereof; any corresponding applications for Letters Patent and Letters Patent therefor in all other areas of the world; and any reissues, extensions, substitutions, confirmations, divisions, and continuations of any of the foregoing (hereinafter "Invention Rights"), to have and to hold for the sole and exclusive use and benefit of Assignee forever.

Assignors hereby covenant and agree, for themselves and for their respective legal representatives, to assist and cooperate with Assignee in the preparation and prosecution of any applications included within the Invention Rights and in the prosecution or defense of any interference, opposition, or other proceeding that may arise in connection with any applications or Letters Patent included within the Invention Rights and further to execute and deliver to Assignee any and all additional papers that may be requested by Assignee for the purpose of implementing the terms of this ASSIGNMENT.

Assignors hereby authorize and empower Assignee to invoke and claim for any applications or Letters Patent included within the Invention Rights the benefit of any rights to which Assignors might be entitled under international law or under the laws of any particular country (such as, without limitation, the right of priority provided by the International Convention for the Protection of Industrial Property, as amended) and to invoke and claim said rights without further written or oral authorization from Assignors.

Assignors hereby consent that a copy of this ASSIGNMENT shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document that

ASSIGNMENT**DOCKET NO. UAT0001-US**

may be required in any particular country for any purpose and more particularly in proof of the right of Assignee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended.

Assignors covenant and agree that this ASSIGNMENT and all of the terms hereof shall inure to the benefit of the successors, assigns, legal representatives, or nominees of Assignee, without further written or oral authorization from Assignors.

Assignors hereby authorize and request Assignee to fill in the following blanks specifying the United States Serial No. and filing date for said application above identified:

U.S. Serial No.: 11/783,690

Filed: April 11, 2007

but Assignee's failure to do so shall have no bearing whatsoever upon the validity or legal effect of this ASSIGNMENT.

Assignors hereby authorize and request the Commissioner of Patents and the United States Patent and Trademark Office to issue Letters Patent to Assignee in accordance with the terms of this ASSIGNMENT.

ASSIGNMENT

DOCKET NO. UAT0001-US

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand this
14th day of June, 2007

SIGNATURE: _____

NAME: **ALLAN T. CHIULLI**

STATE OF Colorado

COUNTY OF Arapahoe

I, Christopher K. Faulkner, a Notary Public in and for the County and State aforesaid, do hereby certify that **ALLAN T. CHIULLI** personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the use and purposes therein set forth.

14th IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this
day of JUNE, 2007.

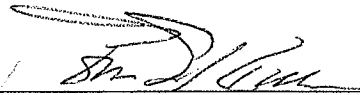


Christopher K. Faulkner
Notary Public

ASSIGNMENT

DOCKET NO. UAT0001-US

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand this 14th day of June, 2007.

SIGNATURE: 

NAME: **TOM H. WARREN**

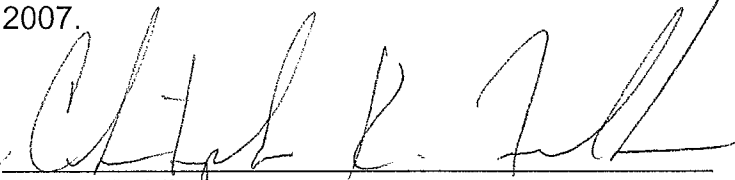
STATE OF Colorado

COUNTY OF Arapahoe

I, Christopher K. Faulkner, a Notary Public in and for the County and State aforesaid, do hereby certify that **TOM H. WARREN**, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the use and purposes therein set forth.

14th IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of JUNE, 2007.




Notary Public