

Attorney Docket No. 8325-0081

FORM PTO-1595
(Rev. 6-93)Recordation Form Cover Sheet
(Patents Only)U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Laurence J.N. Cooper, M.D., Ph.D.

Additional name(s) of conveying parties attached?

☐ Yes ☒ No.

2. Name and address of receiving party(ies)

Board of Regents, The University of
Texas System
201 West 7th St.
Austin, TX 78701

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Additional names and addresses attached?

☐ Yes ☒ No

Execution Dates: October 11, 2011

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 13/188,417

B. Patent No(s):

Additional numbers attached?

☐ Yes☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name:

Dahna S. Pasternak
Robins & Pasternak LLP
1731 Embarcadero Road, Suite 230
Palo Alto, CA 94303
Customer Number 20855

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or
during the pendency of this application, or credit any
overpayment, to deposit account.

8. Deposit account number: 18-1648

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Dahna S. Pasternak

Name of Person Signing

Signature

November 10, 2011

Date

Atty Reg. No. 41,411

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5?

☐ Yes☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to:

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Commissioner for Patents
Box: Assignments
Alexandria, VA 22313

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PATENT
REEL: 027255 FRAME: 0598

MDA Tech. ID No. MDA08-009

ASSIGNMENT

I, Laurence J.N. Cooper, M.D., Ph.D., of 71 Patti Lynn Ln., Houston, TX 77024, a citizen of USA, have invented **Methods And Compositions For Modification Of A HLA Locus**. This is an Assignment of the following rights, title and interest (check all that apply):

- ☒ United States of America rights, title and interest in the invention
- ☒ Foreign rights, title and interest in the invention
- ☐ An application to the Commissioner for Patents of the United States of America filed herewith
- ☒ United States Provisional Patent Application Serial No. 61/400,009 filed on July 21, 2010
- ☒ United States Provisional Patent Application Serial No. 61/404,685 filed on October 6, 2010
- ☒ United States Patent Application Serial No. 13/188,417 filed on July 21, 2011
- ☐ United States Patent No(s).
- ☐ International (PCT) Patent Application Serial No. filed on
- ☐ Other (specify):

I was employed by The University of Texas M. D. Anderson Cancer, a State Institution of Higher Education established under the laws of the State of Texas within The University of Texas System, at the time the invention was made. The conditions under which said invention was made are such as to entitle the Board of Regents, The University of Texas System (hereinafter SYSTEM), having a place of business at 201 West 7th St., Austin, TX 78701, to the entire right, title, and interest in the invention, in the United States and all other countries throughout the world.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the undersigned, have voluntarily sold, assigned, and transferred and do voluntarily sell, assign, and transfer to SYSTEM, and successors and assigns, our full and exclusive right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said application. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reexamination, reissue, prolongation or extension thereof; and the right to claim priority from the patent application as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

I have authorized SYSTEM to file and prosecute this patent application, as well as any corresponding international or national applications that claim priority from it. SYSTEM has the right to select attorneys or agents of its choice to prosecute at its discretion these applications on its behalf. I authorize and request the issuance of said Letters Patent to SYSTEM, as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

I grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the

PATENT**REEL: 027255 FRAME: 0599**

rules of the United States Patent and Trademark Office, for submitting and recording this document.

I warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of the present assignment. We shall not communicate nor cooperate in any manner with any third party, other than SYSTEM, that claims any rights in and to said invention(s) and said application.

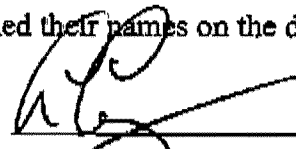
I also hereby assign all rights, title and interest to any improvements related to said invention(s) to SYSTEM and agree to promptly and fully communicate to SYSTEM any facts and information known to us respecting any improvements related to said invention(s) and said application while any patent application anywhere in the world is still pending that claims priority, whether directly or indirectly, from said invention(s) or said application(s). I will maintain any such facts and information in confidence and will only reveal such facts and information to SYSTEM or its assigns.

I also covenant that I will communicate to SYSTEM, its representatives, assigns or agents, any facts known to us respecting said invention(s) or said application(s), and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, provide all requested documents, and do everything reasonably possible to aid SYSTEM and its assigns to obtain and enforce proper patent protection for said invention(s) or said application(s) in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

I grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

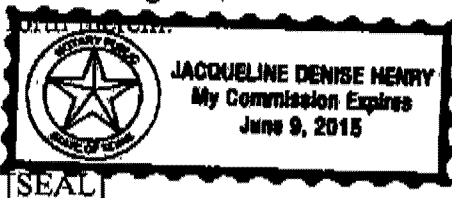
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.


Dated: 10-11-11
STATE OF Texas)
COUNTY OF Harris) ss.



Laurence J.N. Cooper, M.D., Ph.D.

This 11th day of October 2011, before me personally came the above-named Laurence J.N. Cooper, M.D., Ph.D., who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set





Notary Public for Houston, Harris County, TX
My commission expires: 6-9-2015