## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
Robert Alonso	11/21/2011
Peter A. Crooks	11/15/2011
Mark A. Pimley	11/16/2011

#### RECEIVING PARTY DATA

Name:	Yaupon Therapeutics, Inc.
Street Address:	101 Lindenwood Drive
Internal Address:	Suite 400
City:	Malvern
State/Country:	PENNSYLVANIA
Postal Code:	19355

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12948593

#### CORRESPONDENCE DATA

Fax Number: (650)493-6811

Email: patentdocket@wsgr.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US

Correspondent Name: Wilson Sonsini Goodrich & Rosati

Address Line 1: 650 Page Mill Road

Address Line 4: Palo Alto, CALIFORNIA 94304

ATTORNEY DOCKET NUMBER:	37892-701.402
NAME OF SUBMITTER:	kristen moussalli

Total Attachments: 3

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> **PATENT** REEL: 027301 FRAME: 0767

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PATENT ASSIGNMENT	Docket Number 378	92-701.402
WHEREAS, the undersigned:		
1. ALONSO, Robert 2. CROOKS, Peter A. 3. PIMLEY, Mark A. 1718 Lincoln Dr. 409 Brannon Rd. 925 Bridle Lane Philadelphia, PA 19118 Nicholasville, KY 40356 Westchester, PA 19382		
(hereinafter "Inventor(s))," have invented certain new and useful improvements in		
STABILIZED COMPOSITIONS OF VOLATILE ALKYLATING AGENTS AND METHODS	OF USING THEREOF	
☐ for which United States Patent Application No. 12/948,593 was filed on November 17, 2010 in the for which Application No was filed on in the United States Patent Office; ☐ for which Application No was filed on in the U.S. Receiving Office of the Patent Coopera ☐ for which Application No was filed on in the Patent Office; and/or ☐ for which an application was filed upon which a United States Patent issued on, as U.S. Patent (hereinafter "Application(s)").	tion Treaty;	Ş
WHEREAS, YAUPON THERAPEUTICS, INC., a corporation of the State of Pennsylvania, having a place of b 400 Malvern, PA 19355, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in an disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, when the conceived of the conceived	d to said Application(s) and nether jointly or severally, by ificates and other forms of p	the invention: / said rotection
NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by sai from said Assignee:	d Inventor(s) to have been re	eceived in full
Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Prop otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the under any international convention, agreement, protocol, or treaty, including each and every application filed an application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s) reexamination, or extensions of any of said Patent(s).	erty, The Patent Cooperation United States, in any foreign d any and all Patent(s) grant ); and (d) in and to each and	i freaty or country, or ed on any every reissue,
2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said right, title and interest herein conveyed in the United States, foreign countries, or under any international convex cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of test specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventis substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceeding applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexamination proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however Inventor(s) in providing such cooperation shall be paid for by said Assignee.	ntion, agreement, protocol, of imony, execution of petition by said Assignee (a) for per- lons; (c) for filing and prosec- ing applications for reissuan- ugs involving said Inventions is, opposition proceedings, c	or treaty. Such s, oaths, fecting in said uting ce of any said s and any ancellation
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assignment shall inure to the benefit of said Assignee, its representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assignment shall inure to the benefit of said Assignee, its representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assignment shall increase the said Assignee in th	successors, assigns and othe gns.	r legal
4. Said Inventor(s) hereby warrant and represent that they have not entered and will not entered understanding in conflict herewith.	r into any assignment, contra	act, or
5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns successors, legal representatives and assigns.	untries, or under any internat , for the sole use of said Assi	ional ignee, its
IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Ass	ignee as of the dates written	below:
Date: 11-21-2011 Robert ALONSO		
Date:Peter A. CROOKS		
Date:		

NEW ADDRESS: LITTLE ROCK, AR 72205

Peter Annh

		/ Etes /4
	PATENT ASSIGNMENT	Docket Number 37892-701.402
WHEREAS, the undersigned:  1. ALONSO, Robert 1718 Lincoln Dr. Philadelphia, PA 19118	2. CROOKS, Peter A.  409 Brannop Rd. Nicholasville, KY 40356  3. PIMLEY, Mark A. 925 Bridle Lane Westchester, PA 19382	
(hereinafter "Inventor(s))," hav	re invented certain new and useful improvements in	
STABILIZED	COMPOSITIONS OF VOLATILE ALKYLATING AGENTS AND METHODS	S OF USING THEREOF
for which Applie for which Applie for which Applie	d States Patent Application No. 12/948,593 was filed on November 17, 2010 in the cation No was filed on in the United States Patent Office; cation No was filed on in the U.S. Receiving Office of the Patent Cooper cation No was filed on in the Patent Office; and/or polication was filed upon which a United States Patent issued on, as U.S. Pater	ration Treaty;
400 Malvern, PA 19355, (herein disclosed therein, and in and to Inventor(s) (hereinafter collect	APEUTICS, INC., a corporation of the State of Pennsylvania, having a place of I inafter "Assignee"), is desirous of acquiring the entire right, title and interest in a pall embodiments of the inventions, heretofore conceived, made or discovered, we ively referred to as "Inventions"), and in and to any and all patents, inventor's comparanted in the United States, foreign countries, or under any international convergence.	nd to said Application(s) and the inventions whether jointly or severally, by said rtificates and other forms of protection
NOW, THEREFOR	RE, in consideration of good and valuable consideration acknowledged by sa	id Inventor(s) to have been received in full
Inventions, including the right applications and Patent(s), incl otherwise; (c) in and to any and under any international conver	entor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire report to claim priority to said Inventions; (b) in and to all rights to all United States and luding those filed under the Paris Convention for the Protection of Industrial Properties of all applications filed and any and all Patent(s) granted on said Inventions in the nation, agreement, protocol, or treaty, including each and every application filed and al, substitution, continuation, or continuation-in-part of any of said Application(soft any of said Patent(s).	d corresponding non-United States patent perty, The Patent Cooperation Treaty or United States, in any foreign country, or and any and all Patent(s) granted on any
right, title and interest herein of cooperation by said inventor(s specifications, declarations or Assignee the right, title and int substitute, divisional, continuin Patent(s); (e) for interference capplications therefor and any I proceedings, priority contests,	entor(s) hereby covenant and agree to cooperate with said Assignee to enable said conveyed in the United States, foreign countries, or under any international convex shall include prompt production of pertinent facts and documents, giving of test other papers, and other assistance all to the extent deemed necessary or desirable terest herein conveyed; (b) for prosecuting any applications covering said Inventing or additional applications covering said Inventions; (d) for filing and prosecution other priority proceedings involving said Inventions; and (f) for legal proceeding Patent(s) granted thereon, including without limitation reissues and reexamination public use proceedings, infringement actions and court actions; provided, however cooperation shall be paid for by said Assignee.	ention, agreement, protocol, or treaty. Such timony, execution of petitions, oaths, by said Assignee (a) for perfecting in said ions; (c) for filing and prosecuting ing applications for reissuance of any said negs involving said Inventions and any ns, opposition proceedings, cancellation
3. The term representatives, and shall be b	s and covenants of this assignment shall inure to the benefit of said Assignce, its inding upon said Inventor(s), their respective heirs, legal representatives and assi	successors, assigns and other legal gns.
4. Said Inve	entor(s) hereby warrant and represent that they have not entered and will not entewith.	r into any assignment, contract, or
5. Said Invectors convention, agreement, protoco successors, legal representative	entor(s) hereby request that any Patent(s) issuing in the United States, foreign coursel, or treaty, be issued in the name of the Assignee, or its successors and assigns are and assigns.	antries, or under any international, for the sole use of said Assignce, its
IN WITNESS WHI	EREOF, said Inventor(s) have executed and delivered this instrument to said Ass	ignee as of the dates written below:
Date:Ro	obert ALONSO	
Date: 11/15/20/1 Pe	Setter A. CROOKS	
Date:		

Page 1 of 1

Mark A. PIMLEY

	PATENT ASSIGNMENT		Docket Number 37892-701.402
WHEREAS, the undersigned:			
1. ALONSO, Robert 1718 Lincoln Dr. Philadelphia, PA 19118	<ol> <li>CROOKS, Peter A.</li> <li>409 Brannon Rd.</li> <li>Nicholasville, KY 40356</li> </ol>	3. PIMLEY, Mark A. 925 Bridle Lane Westeriester, PA 19382 West Chester	AND
(hereinafter "Inventor(s))," have	invented certain new and useful improv		, ,
STABILIZED C	COMPOSITIONS OF VOLATILE ALK	YLATING AGENTS AND METHODS	OF USING THEREOF
for which Applica for which Applica for which Applica	ation No was filed on in the Unit ation No was filed on in the U.S ation No was filed on in the	. Receiving Office of the Patent Cooperat	ion Treaty;
400 Malvern, PA 19355, (herein disclosed therein, and in and to a layentor(s) (hereinafter collective	after "Assignee"), is desirous of acquiri all embodiments of the inventions, heret rely referred to as "Inventions"), and in a	tate of Pennsylvania, having a place of buing the entire right, title and interest in and sofore conceived, made or discovered, whand to any and all patents, inventor's certiountries, or under any international conventions.	d to said Application(s) and the inventions ether jointly or severally, by said ficates and other forms of protection
NOW, THEREFORE from said Assignee:	E, in consideration of good and valual	ble consideration acknowledged by said	Inventor(s) to have been received in full
applications and Patent(s), inclu otherwise; (c) in and to any and under any international conventi application which is a divisional reexamination, or extensions of	ding those filed under the Paris Convential applications filed and any and all Pation, agreement, protocol, or treaty, including substitution, continuation, or continuation of said Patenu(s).		rty, The Patent Cooperation Treaty or Jnited States, in any foreign country, or I any and all Patent(s) granted on any and (d) in and to each and every reissue,
right, title and interest herein co cooperation by said Inventor(s) specifications, declarations or o Assignee the right, title and interestitute, divisional, continuing Patent(s); (e) for interference or applications therefor and any Paproceedings, priority contests, proceedings, priority contests, priority	shreyed in the United States, foreign conshall include prompt production of pertither papers, and other assistance all to tight of the papers, and other assistance all to tight of the prosecuting or additional applications covering sair other priority proceedings involving satent(s) granted thereon, including with	untries, or under any international conven- inent facts and documents, giving of testi- the extent deemed necessary or desirable to ag any applications covering said Inventio di Inventions; (d) for filing and prosecutiration of the province and the proceeding out limitation reissues and reexaminations tions and court actions; provided, howeve	by said Assignee (a) for perfecting in said ins; (c) for filing and prosecuting ag applications for reissuance of any said gs involving said Inventions and any s, opposition proceedings, cancellation
3. The terms representatives, and shall be bir	and covenants of this assignment shall nding upon said Inventor(s), their respec	inure to the benefit of said Assignee, its s ctive heirs, legal representatives and assig	uccessors, assigns and other legal ns.
4. Said Inver understanding in conflict herew		t they have not entered and will not enter	into any assignment, contract, or
5. Said Inverconvention, agreement, protocc successors, legal representative	ol, or treaty, be issued in the name of the	issuing in the United States, foreign cour e Assignee, or its successors and assigns,	ntries, or under any international for the sole use of said Assignee, its
IN WITNESS WHE	REOF, said Inventor(s) have executed a	and delivered this instrument to said Assign	gnee as of the dates written below:
Date: Rol	bert ALONSO		
Date:Pet	er A. CROOKS	9	
Date: 1/01 -16 2011			

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