

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

JOHN Y. CHEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 27, 2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: APPLIED ELASTOMERICS, INCORPORATED

Internal Address: _____

ATTENTION: JOHN Y. CHEN, PRESIDENT

Street Address: 163 WEST HARRIS AVENUE

City: SOUTH SAN FRANCISCO

State: CALIFORNIA

Country: UNITED STATES OF AMERICA Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

Attached Schedule Lists of Applications, which includes
US Patent Application Serial No.: 13/199,854

B. Patent No.(s)

Attached Schedule Lists of Patents, which includes
US Patent No.: 7,930,782

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: APPLIED ELASTOMERICS, INCORPORATED

Internal Address: ATTENTION: JOHN Y. CHEN, PRESIDENT

Street Address: 163 WEST HARRIS AVENUE

City: SOUTH SAN FRANCISCO

State: CALIFORNIA Zip: 94080

Phone Number: 650-827-1388

Fax Number: _____

Email Address: appliedelastomerics@gmail.com

6. Total number of applications and patents involved: 45**7. Total fee (37 CFR 1.21(h) & 3.41)** \$1,800.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

John Y. Chen
Signature

11/22/2011 (EST)

Date

JOHN Y. CHEN (Reg. # 29,782)

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$1800.00 05916731

SCHEDULE OF PATENTS and PATENT APPLICATIONS
ATTACHED (Page 1 of 2) TO
RECORDATION FORM COVER SHEET DATED NOVEMBER 22, 2011

U.S. Application Date	U.S. Application No.	U.S. Patent No.	U.S. Patent Issue Date
6/19/1978	05/916,731		
1/17/1983	06/458,703		
10/21/1986	06/921,752		
5/21/1990	07/527,058		
4/19/1994	08/211,781	6,033,283	3/7/2000
12/29/1995	08/581,188		
3/8/1996	08/612,586	6,552,109	4/22/2003
6/17/1996	08/665,343		
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8/12/1997	08/909,487	6,050,871	4/18/2000
3/17/1997	08/819,675	5,884,639	3/23/1999
10/20/1997	08/954,424	6,333,374	12/25/2001
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8/8/1998	09/130,545	6,627,275	9/30/2003
3/28/1999	09/274,498	6,420,475	7/16/2002
4/1/1999	09/285,809		
10/5/1999	09/412,886		
10/5/1999	09/412,887		
3/2/2000	09/517,230		
11/21/2000	09/721,213	6,867,253	3/15/2005
6/30/2001	09/896,047		
7/20/2002	10/199,362	7,208,184	4/24/2007
7/20/2002	10/199,363	7,108,873	9/19/2006
7/20/2002	10/199,361	7,134,236	11/14/2006
7/20/2002	10/199,364	6,794,440	9/21/2004
10/17/2002	10/273,828	6,909,220	6/21/2005
11/18/2002	10/299,073		
12/31/2002	10/334,542	7,159,259	1/9/2007
4/21/2003	10/420,491	7,093,599	8/22/2006
4/21/2003	10/420,493	7,067,583	6/27/2006
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4/21/2003	10/420,490	7,105,607	9/12/2006
4/21/2003	10/420,487	7,193,002	3/20/2007
4/21/2003	10/420,489	7,222,380	5/29/2007
4/22/2003	10/420,089	7,266,155	9/4/2007
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9/30/2003	10/675,509	7,234,560	6/26/2007
12/25/2003	10/746,196	7,290,367	11/6/2007

Continue Page 2-

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8/4/2004	10/912,464	7,226,484	6/5/2007
4/12/2007	11/787,257	7,661,164	2/16/2010
6/5/2007	11/810,584	7,930,782	4/26/2011
4/26/2011	13/066,908		
9/10/2011	13/199,854		

ASSIGNMENT

THIS ASSIGNMENT (the "Assignment") is by John Y. Chen (the "Assignor"), an individual having an address at 1389 Tartan Trail Road, Hillsborough, California and is to Applied Elastomerics, Inc., (the "Assignee"), a corporation organized under laws of California, and having an address at 163 West Harris Avenue, South San Francisco, California.

Assignor has made certain inventions described in issued U.S. patents and in U.S. patent applications, collectively referred to as "Assigned Patent Rights," that are listed in the attached Table. The Table lists (a) issued U.S. patents and their corresponding applications and (b) pending U.S. applications from which U.S. patents may result.

Assignor is the corporate President of the Assignee as of the execution date of this Assignment and has continuously been President of the Assignee since the filing date of the first of the Assigned Patent Rights. Assignor is the sole inventor for the Assignee. Assignor is the sole inventor for each of the Assigned Patent Rights. Assignor wishes to confirm in writing that he has previously assigned to Assignee all right, title, and interest in the Assigned Patent Rights and in the underlying inventions at least as of the respective filing dates of each of the Assigned Patent Rights, due to his fiduciary responsibility as President of Assignee and hired inventor for Assignee. Assignor further wishes to assign to Assignee all right, title, and interest in the Assigned Patent Rights and the underlying inventions to the extent not otherwise already assigned.

NOW, THEREFORE, in consideration of continued employment by Assignee and other good and sufficient consideration, the receipt of which is acknowledged, Assignor

1.) affirms that he has assigned all right, title, and interest in the Assigned Patent Rights and the underlying inventions, effective on the date that each item of the Assigned Patent Rights was filed in the U.S. Patent and Trademark Office, and

2.) by this Assignment, does assign to Assignee all right, title, and interest not earlier assigned in the (a) Assigned Patent Rights, (b) in the underlying inventions, (c) in any and all divisions, continuations, and continuations-in-part of the Assigned Patent Rights, (d) in all reissues, reexaminations, or extensions of the Assigned Patent Rights, and (e) all rights under the International Convention for the Protection of Industrial Property.

Assignor also covenants to Assignee that the rights, titles, and interests confirmed or conveyed in this Assignment are unencumbered and that Assignor had the authority to convey those rights, title, and interest when they were conveyed and that he has the authority to convey the rights, title, and interest specified in this Assignment.

Assignor further assigns to Assignee, to the extent such right has not already been assigned to Assignee, the right to sue for and to collect damages for infringement of the Assigned Patent Rights occurring prior to the date of this Assignment.

Assignor further agrees to provide assistance to Assignee, its successors, legal representatives, and assigns, by signing all papers and documents, taking all lawful oaths, and doing all acts necessary or required for:

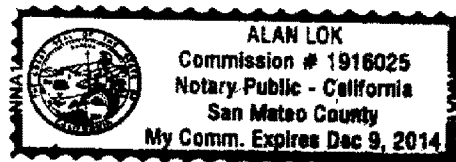
- a) procurement, maintenance, enforcement, and defense of the Assigned Patent Rights;
- b) proceedings in connection with the underlying inventions assigned herein;
- c) interference proceedings in connection with the Assigned Patent Rights,
- d) proceedings in connection with prosecution of any division, continuation, or continuation-in-part assigned herein, and
- e) proceedings in connection with the reissue, reexamination, or extension of any specified patent assigned herein,

where Assignee's counsel or the counsel representing Assignee's successors, legal representatives, or assigns, advises of the desirability or necessity of doing so. Assignee agrees to reimburse or to pay Assignor costs and expenses in providing such assistance during such time as Applied Elastomerics, Inc. retains the assignments provided herein. Such costs will include reimbursement of Assignor's lost normal compensation.

Assignor requests the Director of the U.S. Patent and Trademark Office issue all U.S. patents assigned under this Assignment to Assignee.

Sept 27, 2011
Date

John Y. Chen
John Y. Chen



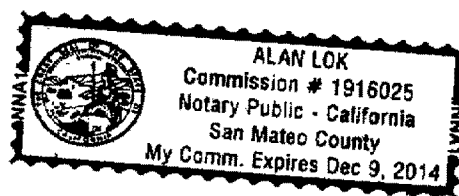
9/27/11

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6/5/2007	11/810,584	7,930,782	4/26/2011
4/26/2011	13/066,908		
9/10/2011	13/199,854		



A handwritten signature in black ink, appearing to be "Alan Lok", written over a horizontal line.

9-27-11