# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Roy Curtiss III	12/01/2011
Wei Kong	12/01/2011

#### RECEIVING PARTY DATA

Name:	The Arizona Board of Regents for and on Behalf of Arizona State University	
Street Address:	699 S. Mills Avenue	
Internal Address:	Suite 601, Room 691AA	
City:	Tempe	
State/Country:	ARIZONA	
Postal Code:	85281	

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13302575

## CORRESPONDENCE DATA

 Fax Number:
 (618)655-9640

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 618-692-2600

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 uspt@polsinelli.com

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Mail.

Correspondent Name: POLSINELLI SHUGHART PC Address Line 1: 105 West Vandalia Street

Address Line 2: Suite 400

Address Line 4: Edwardsville, ILLINOIS 62025

ATTORNEY DOCKET NUMBER:	055743-431360
ATTORNET BOOKET NOMBER.	000740401000

NAME OF SUBMITTER: Rebecca L. Endsley, Paralegal

Total Attachments: 4

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> PATENT REEL: 027327 FRAME: 0637

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<u>ASSIGNMENT</u>

WHEREAS, Roy Curtiss, III of Paradise Valley, Arizona and Wei Kong of

Phoenix, Arizona; (hereinafter referred to as "ASSIGNOR"), own an invention (the

"INVENTION") relating to an improvement in **RECOMBINANT BACTERIUM AND** 

METHODS OF ANTIGEN AND NUCLEIC ACID DELIVERY (file No. 055743-431360

(M9-141L)) and have executed an application for a United States patent based thereon

Serial No. 13/302,575 filed November 22, 2011;

AND, WHEREAS, The Arizona Board of Regents for and on behalf of Arizona

State University, a non-profit organization, having its principal place of business at 699

S. Mills Avenue, Suite 601, Room 691AA, Tempe, Arizona 85281 (hereinafter referred

to as "ASSIGNEE"), is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration,

receipt of all of which is hereby acknowledged, ASSIGNOR has agreed to and do

hereby sell, assign, and transfer unto said ASSIGNEE the entire right, title and interest

in and throughout the United States of America (including its territories and

dependencies) and all countries foreign thereto in and to said INVENTION, said

APPLICATION, any other United States application (including divisional, continuing, or

reissue applications) based in whole or in part on said APPLICATION or in whole or in

part on said INVENTION, any foreign applications based in whole or in part on any of

the aforesaid United States applications or in whole or in part on said INVENTION, and

any and all patents (including extensions thereof) of any country which have been or

may be granted on any of the aforesaid applications or on said INVENTION or any part

thereof;

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TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns,

as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had

no sale and assignment of said interest been made;

AND ASSIGNOR hereby authorize and request the Commissioner of Patents of

the United States of America to issue any and all United States patents which may be

granted upon said United States applications or any of them, or upon said INVENTION

or any part thereof, to said ASSIGNEE;

AND ASSIGNOR, their successors and assigns, hereby agree to execute without

further consideration any further lawful documents and any further assurances, and any

divisional, continuing, reissue, or other applications for patents of any country, that may

be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest

as aforesaid in and to said INVENTION or any part thereof, and in and to said several

patents or any of them;

AND ASSIGNOR hereby covenant and agree with said ASSIGNEE, its

successors and assigns, that ASSIGNOR has granted no right or license to make, use,

or sell said INVENTION, to anyone except said ASSIGNEE, that prior to the execution

of this assignment, ASSIGNOR'S right, title and interest in said INVENTION have not

been otherwise encumbered, and that ASSIGNOR has not executed and will not

execute any instrument in conflict herewith.

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PATENT REEL: 027327 FRAME: 0639 Date 12-1-1/

ROY CURTISS, III

**ACKNOWLEDGMENT** 

STATE OF Augma

COUNTY OF ZNarce

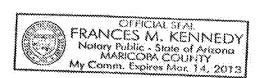
On this / day of <u>Necemble</u> 2011, before me, a Notary Public, personally appeared ROY CURTISS, III to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed said instrument as his free act and deed.

In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.

Notary Public

My Commission Expires:

83-14-13 [SEAL]



Date /2-/-//	wee!	
	WEI KONG	Service and the service and th

**ACKNOWLEDGMENT** 

STATE OF arizona	·
COUNTY OF Mareroy	100

On this / day of <u>Securble</u>, 2011, before me, a Notary Public, personally appeared WEI KONG to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he/she executed said instrument as his/her free act and deed.

In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.

Janoes M Jannely Notary Public

My Commission Expires:

03-14-13 [SEAL]

OFFICIAL SEAL
FRANCES M. KENNEDY
Motory Public - State of Arizona
MARICOPA COUNTY
My Comm. Expiras Mos. 14, 2013

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**RECORDED: 12/05/2011**