

Form PTO-1595 (Rev. 03/11)
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Rafail Zubok (04/27/2005), Michael W. Dudasik
(04/21/2005), and Joseph P. Errico (04/21/2005)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: SpineCore, Inc.

Internal Address: _____

Street Address: _____

2 Pearl Court

City: Allendale

State: New Jersey

Country: United States of America Zip: 07401

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

8,070,814

7,452,380

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brent L. Farese
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: ataylor@ldlkm.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Brent L. Farese

9. Signature:

Brent L. Farese

Signature

December 1, 2011

Date

Brent L. Farese - 63,617

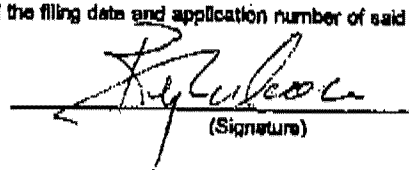
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

1552013

CH \$80.00 121095 807081

ASSIGNMENT OF UTILITY APPLICATION		<small>(former PTO/SB/15 (8-00) Modified LDLKM)</small> Docket Number (Optional) SPINE 3.0-462
<p>Whereas, I, <u>Rafal Zubok</u> of <u>222 Spruce Street Midland Park, New Jersey 07432</u></p> <p>hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>ARTIFICIAL INTERVERTEBRAL DISC HAVING AN ARTICULATING JOINT</u></p> <p><input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>February 11, 2005</u></p> <p>Application Number <u>11/056,084</u></p> <p><input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ and _____</p> <p>Delaware</p> <p>Whereas, <u>SpineCore, Inc.</u> a corporation of _____ herein referred to as "assignee" whose mailing address is <u>475 Springfield Ave, 4th Summit, New Jersey</u></p> <p>is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollars (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or release applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, release and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. <u>60/546,027</u>, filed <u>February 18, 2004</u>, and in the invention disclosed therein in all countries of the world including the right to claim priority or benefit of said Application No. <u>60/546,027</u> pursuant to any law or treaty in the countries of the world.</p> <p>And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.</p> <p style="text-align: center;"> <u>4/27/05</u> (Date) </p> <p style="text-align: center;">  (Signature) </p> <p>State of _____) SS: County of _____)</p> <p>On this _____ day of _____ before me personally came the above-named <u>Rafal Zubok</u>, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.</p> <p style="text-align: center;">_____ (Notary Public)</p>		
<input checked="" type="checkbox"/> Total of <u>3</u> forms are submitted.		LD-530/556624-1

22
 Initial
9/2/04
 Date

ASSIGNMENT OF UTILITY APPLICATION	Former PTO/SBHS (4-00) Modified LDLKEM Docket Number (Optional) SPINE 3.0-462
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Whereas, I, Michael W. Dudasik of
29 Daily Street, Nutley, New Jersey, 07110
 hereafter referred to as assignor, have with others invented certain new and useful improvements in
ARTIFICIAL INTERVERTEBRAL DISC HAVING AN ARTICULATING JOINT
☒ for which an application for a United States Letters Patent was filed on February 11, 2005
 Application Number 11/056,064
☐ for which an application for a United States Letters Patent was executed by me on _____
 and
Delaware


Whereas, SpineCore, Inc.
 is a corporation of _____ herein referred to as "assignee" whose mailing address is
476 Springfield Ave, 4th Summit, New Jersey
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of 900 dollars (\$ 1.00), the receipt whereof
 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
 assignee my entire right, title and interest for the United States of America and all other countries in and to said
 invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part,
 substitute or reissue applications and patents applied for or granted therefor in the United States of America and all
 other countries, for said invention, including without limitation all applications and patents for said invention claiming
 priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such
 priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all
 patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein;
 and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without
 further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for
 Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to
 said assignee or its representatives all facts known to the undersigned, respecting said improvements, whenever
 requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
 become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
 assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
 expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application
 No. 60/546,027, filed February 19, 2004, and in the invention disclosed therein in all countries of the world including the
 right to claim priority or benefit of said Application No. 60/546,027 pursuant to any law or treaty in the countries of the
 world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application
 when known.

4/21/05
 (Date)


 (Signature)

State of _____) SS:
 County of _____)

On this _____ day of _____ before me personally came the above-named Michael W. Dudasik,
 to me personally known as the individual who executed the foregoing instrument, who acknowledged to me
 that the same was executed by him/her of his/her own free will for the purposes therein set forth.

 (Notary Public)

☒ *Total of 3 forms are submitted.

LD-530/556624-1

W
 Initial
8/25/09
 Date

ASSIGNMENT OF UTILITY APPLICATION	<small>former PTO/SB/15 (4-00) Modified (LDLKM)</small> Docket Number (Optional) SPINE 3.0-462
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Whereas, I, Joseph P. Errico of 29 Deer Path Circle, Green Brook, New Jersey 08812 hereafter referred to as assignor, have with others invented certain new and useful improvements in ARTIFICIAL INTERVERTEBRAL DISC HAVING AN ARTICULATING JOINT ☒ for which an application for a United States Letters Patent was filed on February 11, 2005 Application Number 11/055,064 ☐ for which an application for a United States Letters Patent was executed by me on _____ and _____

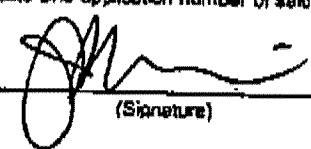
Whereas, SpineCore, Inc. a corporation of Delaware herein referred to as "assignee" whose mailing address is 475 Springfield Ave, 4th Summit, New Jersey 07901 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 60/546,027, filed February 19, 2004, and in the invention disclosed therein in all countries of the world including the right to claim priority or benefit of said Application No. 60/546,027 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

4/21/05 (Date)

 (Signature)

State of _____) SS:
 County of _____)

On this _____ day of _____, _____ before me personally came the above-named Joseph P. Errico, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

 (Notary Public)

☒ *Total of 3 forms are submitted.

LD-530/556624-1

Initial JP
 Date 4/24/09