

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Alexa L. MARTINEZ</td> <td>12/05/2011</td> </tr> <tr> <td>Merry R. SHERMAN</td> <td>11/30/2011</td> </tr> <tr> <td>Mark G.P. SAIFER</td> <td>11/30/2011</td> </tr> <tr> <td>L. David WILLIAMS</td> <td>11/30/2011</td> </tr> </tbody> </table>		Name	Execution Date	Alexa L. MARTINEZ	12/05/2011	Merry R. SHERMAN	11/30/2011	Mark G.P. SAIFER	11/30/2011	L. David WILLIAMS	11/30/2011
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RECEIVING PARTY DATA											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Mountain View Pharmaceuticals, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>3475-S Edison Way</td> </tr> <tr> <td>City:</td> <td>Menlo Park</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94025</td> </tr> </table>		Name:	Mountain View Pharmaceuticals, Inc.	Street Address:	3475-S Edison Way	City:	Menlo Park	State/Country:	CALIFORNIA	Postal Code:	94025
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PROPERTY NUMBERS Total: 1											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>10669597</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	10669597						
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Application Number:	10669597										
CORRESPONDENCE DATA											
<p>Fax Number: (202)371-2540</p> <p>Phone: 202-371-2600</p> <p>Email: hcarlson@skgf.com, cmateo@skgf.com</p> <p><i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: Sterne, Kessler, Goldstein & Fox PLLC</p> <p>Address Line 1: 1100 New York Avenue, NW</p> <p>Address Line 4: Washington, DC, DISTRICT OF COLUMBIA 20005</p>											
ATTORNEY DOCKET NUMBER:	2057.0040002										
NAME OF SUBMITTER:	/Helene C. Carlson/										
Total Attachments: 10											

OP \$40.00 10669597

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PATENT
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ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: **Alexa L. MARTINEZ, Merry R. SHERMAN, Mark G.P. SAIFER and L. David WILLIAMS**, hereby sell and assign to **Mountain View Pharmaceuticals, Inc.**, a corporation formed under the laws of California, whose mailing address is 3475-S Edison Way, Menlo Park, California 94025 (hereafter referred to as the Assignee), his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages, for the United States of America (as defined in 35 U.S.C. § 100) and throughout the world,

(a) in the invention(s) known as **Polymer Conjugates with Decreased Antigenicity, Methods of Preparation and Uses Thereof** for which application(s) for patent in the United States of America has a filing date or a 371(c) date of September 25, 2003 (also known as United States Application No. 10/669,597), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.


The undersigned inventors agree to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant the patent practitioners associated with **CUSTOMER NUMBER 26111** the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The undersigned inventors hereby represent that he/she understands that the patent practitioners associated with **CUSTOMER NUMBER 26111** are the legal representatives of, and attorneys for, the assignee, and are NOT the legal representatives of, or attorneys for, the inventors.

IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date: December 5, 2011 Signature of Inventor: 
Alexa E. MARTINEZ

On this ____ day of _____, 20__, before me personally appeared Alexa E. MARTINEZ, personally known to me or proven on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument the person executed the instrument.

Subscribed and sworn to before me on this ____ day of _____, 20__.

By: _____
Notary Public

Notary public in and for the state of: _____
My commission expires on: _____

(Notarial Seal to be affixed)

ACKNOWLEDGMENT

State of California
County of Santa Clara} ss.

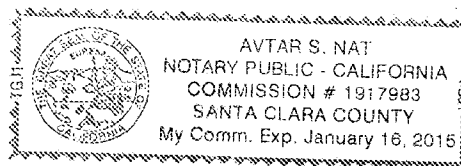
On DEC 05 - 2011 before me, AVTAR S NAT, Notary Public, personally
appeared ALEXA MARTINE Z

who proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that
he/~~she/they~~ executed the same in ~~his~~/her/~~their~~ authorized capacity(~~ies~~), and that by
his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Avtar S. Nat
Signature



(seal)

OPTIONAL INFORMATION

Date of Document

Assignment

Thumbprint of Signer

Type or Title of Document

Number of Pages in Document

05

Document in a Foreign Language

Type of Satisfactory Evidence:

☐ Personally Known with Paper Identification

☒ Paper Identification

☐ Credible Witness(es)

Capacity of Signer:

☐ Trustee

☐ Power of Attorney

☐ CEO / CFO / COO

☐ President / Vice-President / Secretary / Treasurer

☐ Other: _____

☐ Check here if
no thumbprint
or fingerprint
is available.

Other Information: _____

Date: 11/20/11 Signature of Inventor: Merry R. Sherman
Merry R. SHERMAN

On this 11 day of _____, 20__, before me personally appeared Merry R. SHERMAN, personally known to me or proven on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument the person executed the instrument.

Subscribed and sworn to before me on this ____ day of _____, 20__.

By: _____
Notary Public

Notary public in and for the state of: _____.

My commission expires on: _____.

(Notarial Seal to be affixed)

Date: November 30, 2011 Signature of Inventor: Mark G.P. Saifer
Mark G.P. SAIFER

On this ____ day of _____, 20__, before me personally appeared Mark G.P. SAIFER, personally known to me or proven on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument the person executed the instrument.

Subscribed and sworn to before me on this ____ day of _____, 20__.

By: _____
Notary Public

Notary public in and for the state of: _____.

My commission expires on: _____.

(Notarial Seal to be affixed)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Mateo

On

Date

before me,

John A. French

Here Insert Name and Title of the Officer

personally appeared

Merry R. Sherman

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

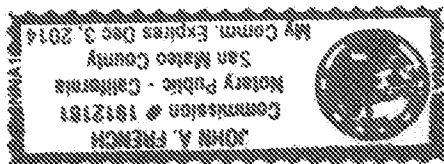
WITNESS my hand and official seal.

Signature

John A. French

Signature of Notary Public

Place Notary Seal Above



OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

Patent Assignment - Docket # 2057-0040002

Document Date:

None Stated

Number of Pages:

5

Signer(s) Other Than Named Above:

Alexa L. Martinez, Mark G.R. Sailer, L. David Williams

Capacity(ies) Claimed by Signer(s)

Signer's Name:

Merry R. Sherman

☒ Individual

☐ Corporate Officer — Title(s):

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☒ Other: Inventor

Signer Is Representing:

Signer's Name:

☐ Individual

☐ Corporate Officer — Title(s):

☐ Partner — ☐ Limited ☐ General

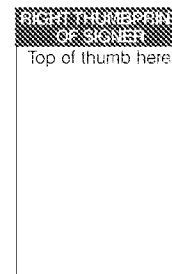
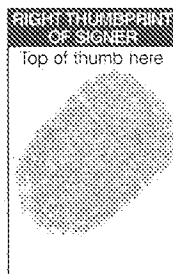
☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other:

Signer Is Representing:



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

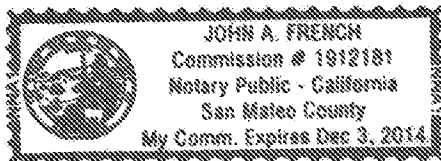
State of California

County of San Mateo

On Nov. 30, 2011 before me, John A. French, Notary Public

personally appeared Mark G. P. Saifer

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature John A. French
Signature of Notary Public

Place Notary Seal Above

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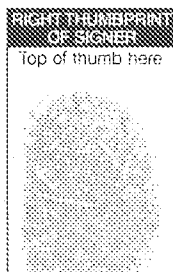
Signer(s) Other Than Named Above: Alexa L. Martinez, Merry R. Sherman, Lewis David Williams

Capacity(ies) Claimed by Signer(s)

Signer's Name: Mark G. P. Saifer

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☒ Other: Inventor

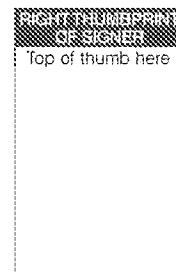
Signer Is Representing: _____



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



Date: November 30 2011 Signature of Inventor: L. David Williams
L. David WILLIAMS

On this ____ day of _____, 20__, before me personally appeared L. David WILLIAMS, personally known to me or proven on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument the person executed the instrument.

Subscribed and sworn to before me on this ____ day of _____, 20__.

By: _____
Notary Public

Notary public in and for the state of: _____.
My commission expires on: _____.

(Notarial Seal to be affixed)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On Nov. 30, 2011 before me, John A. French, Notary Public

personally appeared L. David Williams

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

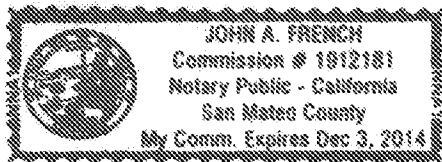
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above



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Description of Attached Document

Title or Type of Document: Patent Assignment - Docket # 2057.0040002

Document Date: None Stated Number of Pages: 5

Signer(s) Other Than Named Above: Alexa L. Martinez, Mervyn R. Sherman, Mark G.P. Senter

Capacity(ies) Claimed by Signer(s)

Signer's Name: L. David Williams

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☒ Other: Inventor

Signer Is Representing: _____



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



SIGNED on behalf of the said ASSIGNEE,

MOUNTAIN VIEW PHARMACEUTICALS,
INC.

By: Mark G.P. Saifer
Name: Mark G.P. Saifer, Ph.D.
Title: Vice President
Date: November 30, 2011

On this ____ day of _____, 20__, before me personally appeared _____,
personally known to me or proven on the basis of satisfactory evidence to be the person whose
name is subscribed to the within instrument, and acknowledged to me that he/she executed the
same in his/her capacity, and that by his/her signature on the instrument the person executed the
instrument.

Subscribed and sworn to before me on this ____ day of _____, 20_____.

By: _____
Notary Public

Notary public in and for the state of: _____.
My commission expires on: _____.

(Notarial Seal to be affixed)

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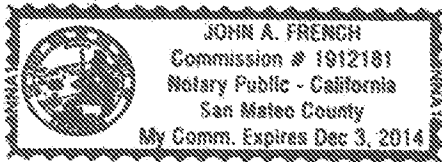
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On Nov. 30, 2011 before me, John A. French, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Mark G. R. Saifer
Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature]
Signature of Notary Public

Place Notary Seal Above

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Description of Attached Document

Title or Type of Document: Patent Assignment - Docket No. 2057-04902

Document Date: None stated Number of Pages: 5

Signer(s) Other Than Named Above: Alexa L. Martinez, Merry R. Sherman, Lewis David Williams

Capacity(ies) Claimed by Signer(s)

Signer's Name: Mark G. R. Saifer

- ☐ Individual
☒ Corporate Officer — Title(s): Vice President
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☒ Other: Assignor Representative

Signer Is Representing: Mountain View Pharmaceuticals



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

