

**PATENT ASSIGNMENT**

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Boerk Balkan	01/12/2007
David Grenville Holmes	11/21/2006
Thomas Edward Hughes	12/21/2006
Edwin Bernard Villhauer	12/14/2006
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State/Country:	SWITZERLAND
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<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
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Address Line 2:	Bldg. 101
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ATTORNEY DOCKET NUMBER:	34525-US-PCT
NAME OF SUBMITTER:	Monika Van Houten

CH \$40.00 12067314

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**PATENT**  
 REEL: 027372 FRAME: 0203

**Total Attachments: 17**

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## ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

(1) Börk BALKAN, (2) David Grenville HOLMES, (3) Thomas Edward HUGHES, (4) Edwin Bernard VILLHAUER

respectively residing at

(1) 20 Northwood Road, Madison, Connecticut 06443, USA

(2) Holeeholzweg 54, 4102 Binningen, Switzerland

(3) 89 Wilson Road, Concord, Massachusetts 01742, USA

(4) 20 Dorothy Drive, Morristown, New Jersey 07960, USA

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all of our right, title and interest for all countries of the world except Austria and to Novartis Pharma GmbH, a company organized under the laws of Austria, of Brunner Strasse 59, 1230 Vienna, Austria, and its successors, assigns and legal representatives, all of our right, title and interest for Austria. (Novartis AG and Novartis Pharma GmbH hereinafter referred to collectively as the "ASSIGNEES") in and to (1) all of our inventions and discoveries described in the patent application(s) titled

## USE OF ORGANIC COMPOUNDS

and filed in the United States Patent and Trademark Office on \_\_\_\_\_, 20\_\_ and accorded Application Number \_\_\_\_\_ \* and/or filed in the RO/US on September 18, 2006 and accorded International Patent Application Number PCT/US2006/036338\*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on September 20, 2005 and accorded Application Number 60/718,856 and on March 28, 2006 and accorded Application Number 60/786,755, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEES or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEES and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as







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(Joint)

fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

\*We hereby authorize **ASSIGNEES** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEES** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEES** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEES** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEES** or their successors, assigns or legal representatives.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
L.S.

**Börk BALKAN**

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )

) s.s.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, personally appeared before me \_\_\_\_\_ to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
Notary Public



(Joint)

Patent Case 34525

Executed this 14 day of December, 20 06

Edwin Bernard Villhauer

L.S.

**Edwin Bernard VILLHAUER**

**ACKNOWLEDGEMENT**

State of New Jersey )

) s.s.:

County of Morris )

On this 14TH day of DECEMBER, 20 06, personally appeared before me Edwin Bernard Villhauer to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

(Seal)

Norma Gallina

Notary Public

**NORMA GALLINA**  
**NOTARY PUBLIC OF NEW JERSEY**  
My Commission Expires June 27 2010

(Joint)

Patent Case 34525

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PATENT

REEL: 027372 FRAME: 0217









**Attestation**

I, the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signatures of **Mr. David Grenville Holmes**, citizen of Binningen, Switzerland, residing in Binningen, Switzerland.

The authenticity of the signatures was established by comparison.

BASEL, Switzerland, this 4<sup>th</sup> (fourth) day of December 2006 (twothousandandsix)

*M. Staehelin, Notar*

Dr. M. Staehelin  
Notar

Leg.Prot.Nr. 1676 /2006