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MARYLAND		
Baltimore		
3400 North Charles Street		
The Johns Hopkins University		
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		10/26/2011
		11/30/2011
		09/29/2011
		09/26/2011
Name Russell H. Taylor		Execution Date
Corrective Assignment to correct the the name of the Assignee previous recorded on Reel 027317 Frame 0837. Assignor(s) hereby confirms of the Assignee's name from The Johns Hopkis University to The Joh University.		
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NAME OF SUBMITTER:	Henry J. Daley, Reg. No. 42,459
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 5, 2011

PTAS

HENRY J. DALEY 575 7TH STREET, N.W. WASHINGTON, DC 20005

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/02/2011

REEL/FRAME: 027317/0837 NUMBER OF PAGES: 11

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 02240-308973

ASSIGNOR:

TAYLOR, RUSSELL H.

ASSIGNOR: IORDACHITA, IULIAN

ASSIGNOR: CHIEN, WADE WEI-DE

ASSIGNOR: NIPARKO, JOHN

HOPKINS ASSIGNEE: THE JOHNS "HOPKIS UNIVERSITY 3400 NORTH CHARLES STREET BALTIMORE, MARYLAND 21218

APPLICATION NUMBER: 13238903 FILING DATE: 09/21/2011 PATENT NUMBER: ISSUE DATE: TITLE: METHOD AND APPARTUS FOR COCHLEAR IMPLANT SURGERY

P.O. Box 1450, Alexandria, Virginia 22313-1450 - WWW.USPTO.GOV

PATENT REEL: 027372 FRAME: 0367

DOC DATE: 09/29/2011

DOC DATE: 09/26/2011

DOC DATE: 11/30/2011

DOC DATE: 10/26/2011



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 5, 2011

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HENRY J. DALEY 575 7TH STREET, N.W. WASHINGTON, DC 20005

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RECORDATION DATE: 12/02/2011

REEL/FRAME: 027317/0837 NUMBER OF PAGES: 11

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 02240-308973

ASSIGNOR: TAYLOR, RUSSELL H. DOC DATE: 09/26/2011 ASSIGNOR: IORDACHITA, IULIAN DOC DATE: 09/29/2011 ASSIGNOR: CHIEN, WADE WEI-DE DOC DATE: 11/30/2011 ASSIGNOR: NIPARKO, JOHN DOC DATE: 10/26/2011

ASSIGNEE: THE JOHNS HOPKIS UNIVERSITY 3400 NORTH CHARLES STREET BALTIMORE, MARYLAND 21218

APPLICATION NUMBER: 13238903 PATENT NUMBER: ISSUE DATE: USSUE DATE: TITLE: METHOD AND APPARTUS FOR COCHLEAR IMPLANT SURGERY

P.O. Box 1450, Alexandria, Virginia 22313-1450 - WWW.USPTO.GOV

APPLICATION NUMBER: PATENT NUMBER: PCT NUMBER: US1152596 TITLE: FILING DATE: ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT			
NATURE OF CONVE	YANCE:	ASSIGNMENT			
CONVEYING PARTY DATA					
Name Execution Date					
Russell H. Taylor		09/26/2011			
Iulian Iordachita		09/29/2011			
Wade Wei-De Chien			11/30/2011		
John Niparko				10/26/2011	
RECEIVING PARTY DATA					
Name:	The Johns He	opkis L	Jniversity		
Street Address:	3400 North C	harles	Street		
City:	Baltimore				
State/Country:	MARYLAND	······			
Postal Code:	21218				
PROPERTY NUMBERS Total: 2 Property Type Number					
Property Ty	Property Type Number			130	
Application Number:		13238903			
PCT Number:		US1152596			
CORRESPONDENCE DATA					
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Phone:					
Email:					
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent					
via US Mail. Correspondent Name: Henry J. Daley					
Address Line 1:					
Address Line 4:	Washing	gton, D	ISTRICT OF COLUMBIA 20005		
ATTORNEY DOCKET	ATTORNEY DOCKET NUMBER: 02240-308973				
NAME OF SUBMITTER: Henry J. Daley, Reg. No. 42,459					
· · ·				PATENT	

REEL: 027372 FRAME: 0370

WHEREAS, **Russell H. Taylor, Wade Wei-De Chien, Iulian Iordachita and John Niparko**, hereinafter referred to as the Assignor, have invented a certain improvement relating to **METHOD AND APPARATUS FOR** <u>COCHLEAR IMPLANT SURGERY</u>, for which said Assignor has caused an application for United States Letters Patent to be prepared,

[] the inventor's declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the U.S. Patent and Trademark Office;

[x] said application having been filed in the U.S. Patent and Trademark Office on <u>September 21, 2011</u> and given <u>Application No. 13/238903</u>;

[x] said U. S. Provisional Application having been filed in the U.S. Patent and Trademark Office on <u>September 21, 2010</u>, and given <u>Application No. 61/384,940</u>;

[x] said application having been filed under the Patent Cooperation Treaty <u>on September 21, 2011</u>, and given <u>International Application No. PCT/US11/52596</u>, the United States of America having been designated.

WHEREAS, <u>The Johns Hopkins University</u>, having a principal place of business located at <u>3400 North Charles</u> <u>Street, Baltimore, Maryland 21218</u>, hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefore, including any and all renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by Assignee to Assignor, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns, sells and transfers, and has assigned, sold, and transferred to Assignee, its successors and assigns, the entire and exclusive right, title, and interest for the United States in and to the invention, the application, any non-provisional application, and any patents which may be granted therefor, including any and all divisions, continuations, in whole or in part, substitutions, renewals, reissues, reexaminations, and extensions thereof, and all applications claiming priority therefrom; and Assignor authorizes and requests the Commissioner of Patents and Trademarks to issue all patents for the invention, or patents resulting therefrom, insofar as their interest is concerned, to Assignee; to have, hold, exercise, and enjoy, with all the rights, powers, privileges, and advantages in anywise arising therefrom or appertaining thereto, for and during the term or terms of any and all such patents when granted, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as Assignor might or could have held and enjoyed the same, if this assignment had not been made.

Assignor further hereby assigns, sells and transfers, and has assigned, sold, and transferred to Assignee, its successors and assigns, the entire right, title and interest in all countries of the world, in and to the invention and in and to the application and all patents which may be granted therefor, and all national applications, regional applications, divisions, reissues, substitutions, continuations, in whole or in part, re-examinations, and extensions thereof, including the right to file applications and obtain patents for the invention in its own name, in all countries and including all rights of priority in all countries under the terms of any applicable international convention, insofar as its interest is concerned; and the Assignor hereby authorizes and requests the applicable patent office in each country of the world to issue all patents for the invention, or patents resulting therefrom, insofar as its interest is concerned, to the Assignee, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of such patents for the invention may issue, to the same extent as the Assignor would hold and enjoy if this Assignment had not been made.

WORLDWIDE ASSIGNMENT

The Assignor further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agrees, at the request of the Assignee, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain, maintain and enforce proper patent protection for said invention.

IN WITNESS WHEREOF, each individual collectively referred to as Assignor has caused this Assignment to be executed.

·		
EXECUTED this <u>21</u> day of <u>Sept</u>	_, 2011.	
ASSIGNOR: <u>Russell H, Taylor</u> Signature: <u>Pusset</u>		WITNESS: Signature:
		Name: Elisa Ahmenson Address: 100 3400 N. Cherles St Bult more MD 212
EXECUTED this day of	_, 2011.	
ASSIGNOR: Wade Wei-De Chien	· · · ·	WITNESS:
Signature:		Signature: Name: Address:
EXECUTED this 23 day of Sept.	_, 2011.	
	\$\$717751	
ASSIGNOR: <u>Iulian Iordachita</u> Signature:	WITNI	Signature: Name: <u>Elisc Minaur</u> Address: <u>3700 N. Cherles</u> Bull more MD 21

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PATENT REEL: 027372 FRAME: 0372

Atty. Docket No.:-02240-308973

WORLDWIDE ASSIGNMENT

3

EXECUTED this _____ day of _____, 2011.

ASSIGNOR: John Niparko

Signature:____

WITNESS:

Signature:		
Name:		
Address:		

VENABLE LLP Washington, D.C. 20004

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WHEREAS, <u>Russell H. Taylor, Wade Wei-De Chien, Iulian Iordachita and John Niparko</u>, hereinafter referred to as the Assignor, have invented a certain improvement relating to <u>METHOD AND APPARATUS FOR</u> <u>COCHLEAR IMPLANT SURGERY</u>, for which said Assignor has caused an application for United States Letters Patent to be prepared,

[] the inventor's declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the U.S. Patent and Trademark Office;

[x] said application having been filed in the U.S. Patent and Trademark Office on <u>September 21, 2011</u> and given <u>Application No. 13/238903</u>;

[x] said U. S. Provisional Application having been filed in the U.S. Patent and Trademark Office on September 21, 2010, and given Application No. 61/384,940;

[x] said application having been filed under the Patent Cooperation Treaty on September 21, 2011, and given International Application No. PCT/US11/52596, the United States of America having been designated.

WHEREAS, <u>The Johns Hopkins University</u>, having a principal place of business located at <u>3400 North Charles</u> <u>Street, Baltimore, Maryland 21218</u>, hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefore, including any and all renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by Assignee to Assignor, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns, sells and transfers, and has assigned, sold, and transferred to Assignee, its successors and assigns, the entire and exclusive right, title, and interest for the United States in and to the invention, the application, any non-provisional application, and any patents which may be granted therefor, including any and all divisions, continuations, in whole or in part, substitutions, renewals, reissues, reexaminations, and extensions thereof, and all applications claiming priority therefrom; and Assignor authorizes and requests the Commissioner of Patents and Trademarks to issue all patents for the invention, or patents resulting therefrom, insofar as their interest is concerned, to Assignee; to have, hold, exercise, and enjoy, with all the rights, powers, privileges, and advantages in anywise arising therefrom or appertaining thereto, for and during the term or terms of any and all such patents when granted, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as Assignor might or could have held and enjoyed the same, if this assignment had not been made.

Assignor further hereby assigns, sells and transfers, and has assigned, sold, and transferred to Assignee, its successors and assigns, the entire right, title and interest in all countries of the world, in and to the invention and in and to the application and all patents which may be granted therefor, and all national applications, regional applications, divisions, reissues, substitutions, continuations, in whole or in part, re-examinations, and extensions thereof, including the right to file applications and obtain patents for the invention in its own name, in all countries and including all rights of priority in all countries under the terms of any applicable international convention, insofar as its interest is concerned; and the Assignor hereby authorizes and requests the applicable patent office in each country of the world to issue all patents for the invention, or patents resulting therefrom, insofar as its interest is concerned, to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of such patents for the invention may issue, to the same extent as the Assignor would hold and enjoy if this Assignment had not been made.

1

The Assignor further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agrees, at the request of the Assignee, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain, maintain and enforce proper patent protection for said invention.

IN WITNESS WHEREOF, each individual collectively referred to as Assignor has caused this Assignment to be executed.

	• •	· ·
EXECUTED this day of	, 2011.	· · ·
ASSIGNOR: Russell H, Taylor	•	WITNESS:
		Signature:
Signature:	<u> </u>	Name:
		Address:
•	•	
the state		
EXECUTED this 30 day of November	, 2011.	
	•	
A GOLONIOD, Made That Do Chien		WITNESS:
ASSIGNOR: Wade Wei-De Chien		· · · · · · · · · · · · · · · · · · ·
Signature:	2	Signature:
JiEmaran A.	······	Name:
	-	Address:
	2011	
EXECUTED this day of	, 2011.	
· · ·	• •	
ASSIGNOR: <u>Iulian Iordachita</u>	WITN	IESS:
ABDIONOM. <u>ADIAN IOI PRIMIN</u>	•	
Signature:	; ·	Signature:
		Name:
		Address:
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EXECUTED this _____ day of _____, 2011.

ASSIGNOR: John Niparko

Signature:_____

WITNESS:

Signature:	
Name:	· · · · · · · · · · · · · · · · · · ·
Address:	

VENABLE LLP Washington, D.C. 20004

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PATENT REEL: 027372 FRAME: 0376

3

Atty. Docket No.:-02240-308973

WORLDWIDE ASSIGNMENT

WHEREAS, <u>Russell H. Taylor, Wade Wei-De Chien, Iulian Iordachita and John Niparko</u>, hereinafter referred to as the Assignor, have invented a certain improvement relating to <u>METHOD AND APPARATUS FOR</u> <u>COCHLEAR IMPLANT SURGERY</u>, for which said Assignor has caused an application for United States Letters Patent to be prepared,

[] the inventor's declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the U.S. Patent and Trademark Office;

[x] said application having been filed in the U.S. Patent and Trademark Office on <u>September 21, 2011</u> and given <u>Application No, 13/238903</u>;

[x] said U. S. Provisional Application having been filed in the U.S. Patent and Trademark Office on <u>September 21, 2010</u>, and given <u>Application No. 61/384,940</u>;

[x] said application having been filed under the Patent Cooperation Treaty on September 21, 2011, and given International Application No. PCT/US11/52596, the United States of America having been designated.

WHEREAS, <u>The Johns Hopkins University</u>, having a principal place of business located at <u>3400 North Charles</u> <u>Street, Baltimore, Maryland 21218</u>, hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefore, including any and all renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH; that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by Assignee to Assignor, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns, sells and transfers, and has assigned, sold, and transferred to Assignee, its successors and assigns, the entire and exclusive right, title, and interest for the United States in and to the invention, the application, any nonprovisional application, and any patents which may be granted therefor, including any and all divisions, continuations, in whole or in part, substitutions, renewals, reissues, reexaminations, and extensions thereof, and all applications claiming priority therefrom; and Assignor authorizes and requests the Commissioner of Patents and Trademarks to issue all patents for the invention, or patents resulting therefrom, insofar as their interest is concerned, to Assignee; to have, hold, exercise, and enjoy, with all the rights, powers, privileges, and advantages in anywise arising therefrom or appertaining thereto, for and during the term or terms of any and all such patents when granted, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as Assignor might or could have held and enjoyed the same, if this assignment had not been made.

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IN WITNESS WHEREOF, each individual collectively referred to as Assignor has caused this Assignment to be executed.

EXECUTED this day of	, 2011.	•
ASSIGNOR: <u>Russell H, Taylor</u>	- ·	WITNESS:
Signature:		Signature:
· ·		Name:
• 		Address:
	•	
· · ·		· · · · · · · · · · · · · · · · · · ·
EXECUTED this day of	, 2011.	
	•	
ASSIGNOR: Wade Wei-De Chien	· · · ·	WITNESS:
Signature:		Signature:
· · ·	-	Signature: Name:
· · ·	-	1 Ibu 000,
		· · · · · · · · · · · · · · · · · · ·
EXECUTED this day of	. 2011.	
ASSIGNOR: Iulian Iordachita	WITN	ESS,
•		
Signature:	-	Signature:
		Name:
	• .	Address:
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	•	
•	÷	
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3

EXECUTED this 26th day of OCTOBER 2011. ASSIGNOR: John Niparko L Signature:

WITNESS:

Signature Name: 0/ Address: <u>a</u> <u>Midd</u> 1220 a

VENABLE LLP Washington, D.C. 20004

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RECORDED: 12/13/2011