

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

| | |
|--|---------------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| Stephen W. Hawley | 12/09/2011 |
| RECEIVING PARTY DATA | |
| Name: | Maxim Integrated Products, Inc. |
| Street Address: | 120 San Gabriel Drive |
| City: | Sunnyvale |
| State/Country: | CALIFORNIA |
| Postal Code: | 94086 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 13325707 |
| CORRESPONDENCE DATA | |
| Fax Number: | (650)856-7539 |
| Phone: | 650-856-7539 |
| Email: | amarth@northweber.com |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Correspondent Name: | NORTH WEBER & BAUGH LLP |
| Address Line 1: | 2479 E. BAYSHORE ROAD |
| Address Line 2: | SUITE 707 |
| Address Line 4: | PALO ALTO, CALIFORNIA 94303 |
| ATTORNEY DOCKET NUMBER: | 20057-1615 |
| NAME OF SUBMITTER: | Aimee Marth |
| Total Attachments: 4 source=1615_Assignment#page1.tif source=1615_Assignment#page2.tif source=1615_Assignment#page3.tif source=1615_Assignment#page4.tif | |

OP \$40.00 13325707

501755944

PATENT
REEL: 027386 FRAME: 0321

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Title: EFFICIENCY REGULATION FOR LED ILLUMINATION
Inventor: Stephen W. Hawley
Filed: December 14, 2011
Serial Number: 13/325,707
Attorney Docket No.: 20057-1615

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the individual(s) named below (hereinafter "INVENTOR" whether singular or plural) has sold, assigned, transferred, and set over, and hereby sells, assigns, transfers and sets over, to Maxim Integrated Products, Inc., a corporation of the State of Delaware, having a place of business at 120 San Gabriel Drive, Sunnyvale, CA 94086 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The full and exclusive worldwide right, title, and interest in and to all inventions and improvements ("SUBJECT MATTER") that are disclosed in the U.S. patent application, entitled "EFFICIENCY REGULATION FOR LED ILLUMINATION") ("APPLICATION"),

☐ which is a provisional application

☐ bearing Application No. _____, and filed on _____;

☐ to be filed herewith; or

☒ which is a non-provisional application

☒ bearing Application No. 13/325,707, and filed on December 14, 2011

☐ to be filed herewith;

☒ having an oath or declaration executed on the same date as this Assignment;

☐ having an oath or declaration executed on a different date than this Assignment.

2. The entire worldwide right, title, and interest in and to the APPLICATION and all applications claiming priority to the APPLICATION or having related SUBJECT MATTER to the APPLICATION, including all such design, utility, divisional, continuation, substitute, renewal, reissue, international, or other related applications and all rights of priority in the APPLICATION, together with all rights to recover damages for infringement.

INVENTOR hereby authorizes and requests the Commissioner of the U.S. Patent and Trademark Office, and Patent Office Officials in all foreign countries, to issue to the ASSIGNEE any and all patents which may be granted from the APPLICATION.

INVENTOR will, without further consideration, communicate to the ASSIGNEE any facts known to the INVENTOR regarding the SUBJECT MATTER, testify in any legal proceeding, sign all lawful papers, execute all oaths, assignments, powers of attorney, applications and any other paper desired by ASSIGNEE to obtain, perfect, or secure to ASSIGNEE any and all of the rights, titles and interests herein conveyed, and to maintain, enforce, and defend these rights, titles and interests in the United States and all foreign countries. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE herein.

Title: EFFICIENCY REGULATION FOR LED ILLUMINATION
Inventor: Stephen W. Hawley
Filed: December 14, 2011
Serial Number: 13/325,707
Attorney Docket No.: 20057-1615

INVENTOR represents that the INVENTOR, at the time of the execution of this Assignment, is the sole and lawful owner of the entire rights, titles and interests in and to the SUBJECT MATTER as set forth in the APPLICATION, and that the SUBJECT MATTER is unencumbered, and that the INVENTOR has good and full right and lawful authority to sell and convey the SUBJECT MATTER in the manner herein set forth.

INVENTOR covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR agrees to, and hereby acknowledges, that the ASSIGNEE may apply for and receive patents related to the APPLICATION or SUBJECT MATTER.

INVENTOR grants the attorney of record for the APPLICATION the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment. One inventor/assignor signature block is located on the following page.

Title: EFFICIENCY REGULATION FOR LED ILLUMINATION
Inventor: Stephen W. Hawley
Filed: December 14, 2011
Serial Number: 13/325,707
Attorney Docket No.: 20057-1615

INVENTOR Name: Stephen W. Hawley
INVENTOR Address: 860 Vista Drive
Redwood City, CA 94062
Date of Signature: 12-9-2011


[INVENTOR Signature]

Witnessed by: _____

Print Name

Signature: _____

State of _____
County of _____

On _____, before me _____ personally appeared
[Date] [Name and Title of Officer]

_____, who proved to me on the basis of satisfactory evidence to be the
[INVENTOR]

person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Seal)