### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Addition of the missing text for Exhibits A-C for the previously recorded assignment at reel/frame no. 019414/0419.

#### **CONVEYING PARTY DATA**

Name	Execution Date
SILICON VALLEY BANK	03/04/2003
GATX VENTURES, INC.	03/04/2003
SEQUEL ENTREPRENEURS' FUND II, L.P.	03/05/2003

#### **RECEIVING PARTY DATA**

Name:	DPHI ACQUISITIONS, INC.
Street Address:	2580 55th Street
City:	Boulder
State/Country:	COLORADO
Postal Code:	80301

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	7260031

#### **CORRESPONDENCE DATA**

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Email: pia.kamath@haynesboone.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Haynes and Boone, LLP.

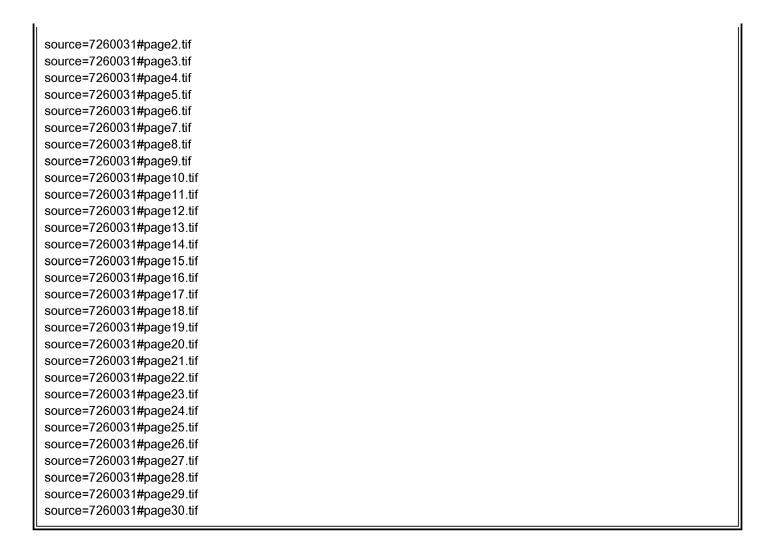
Address Line 1: 2323 Victory Avenue, Suite 700

Address Line 4: Dallas, TEXAS 75219

ATTORNEY DOCKET NUMBER:	M-12092 US
NAME OF SUBMITTER:	Jonathan W. Hallman

**Total Attachments: 30** source=7260031#page1.tif

PATENT REEL: 027389 FRAME: 0068 DP \$40.00 7260031



7,260,031

Fax Server



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 12, 2007

PTAS

\*500294121A\*

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MACPHERSON KWOK CHEN & HEID 2033 GATEWAY PLACE STE. 400 SAN JOSE, CA 95110

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RECORDATION DATE: 06/12/2007

REEL/FRAME: 019414/0419

NUMBER OF PAGES: 11

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). BRIEF: DOCKET NUMBER: M-12092 US

ASSIGNOR:

SILICON VALLEY BANK

DOC DATE: 03/04/2003

ASSIGNOR:

GATX VENTURES, INC.

DOC DATE: 03/04/2003

ASSIGNOR:

SEQUEL ENTREPRENEURS' FUND II,

DOC DATE: 03/05/2003

L.P.

ASSIGNEE:

DPHI ACQUISITIONS, INC.

1900 PIKE ROAD

LONGMONT, COLORADO 80501

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

USPTO 6/12/2007 7:01:07 PM PAGE 3/005 Fax Server

ACPHERSON KWOK CHEN & HE COMPANY: 2033 GATEWAY PLA

019414/0419 PAGE 2

SERIAL NUMBER: 09950376 FILING DATE: 09/10/2001

PATENT NUMBER: ISSUE DATE:

TITLE: DIGITAL FOCUS AND TRACKING SERVO SYSTEM WITH ONE-TRACK JUMP

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

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PAGE 4/005 Fax Server

IACPHERSON KWOK CHEN & HE \_\_ COMPANY: 2033 GATEWAY PLA \_\_

DATENT ACCIONING NO	_
PATENT ASSIGNMENT	
06/12/2007	

Electronic Version v1.1 Stylesheet Version v1.1 500294121

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Silicon Valley Bank	03/04/2003
GATX Ventures, Inc.	03/04/2003
Sequel Entrepreneurs' Fund II, L.P.	03/05/2003

#### **RECEIVING PARTY DATA**

Name:	DPHI Acquisitions, Inc.
Street Address:	1900 Pike Road
Internal Address:	Ste. F
City:	Longmont
State/Country:	COLORADO
Postal Code:	80501

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09950376

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scarr@macpherson-kwok.com

Correspondent Name:

MacPherson Kwok Chen & Heid

Address Line 1: Address Line 2:

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Address Line 4:

San Jose, CALIFORNIA 95110

M-12092 US ATTORNEY DOCKET NUMBER:

Jonathan W. Hallman NAME OF SUBMITTER:

USPTO

6/12/2007 7:01:07 PM

PAGE

5/005

Fax Server

1ACPHERSON KWOK CHEN & HE COMPANY: 2033 GATEWAY PLA

Total Attachments: 9

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PATENT

## ASSIGNMENT

This Assignment ("Assignment") is effective as of the date of execution hereof by SILICON VALLEY BANK ("SVB") having a place of business at 3003 Tasman Drive, Santa Clara, California 95054, GATX VENTURES, INC ("GATX") having a place of business at 3687 Clara, California 95054, GATX VENTURES, INC ("GATX") having a place of business at 3687 Mt. Diablo Boulevard, Suite 200, Lafayette, California 94549, and SEQUEL ENTREPRENEURS' FUND II, L.P., in its capacity as Collateral Agent ("Sequel") having a place of business at 4430 Arapahoe Avenue, Suite 220, Boulder, Colorado 80303 (SVB, GATX and Sequel hereinafter collectively referred to as "Assignors"). The rights assigned herein will be owned by DPHI Acquisitions, Inc., a Delaware corporation, (hereinafter "Assignee"), with its principal place of business located at 2580 55th Street, Boulder, Colorado 80301.

WHEREAS, Assignors have acquired all rights, title and interest to technologies ("Technology") including, but not limited to, all versions of any software, firmware, hardware, chip layout and design, manufacturing processes, methods and system (including, without limitation, computer applications), ideas, inventions, disclosures, original works of authorship, developments, improvements, modifications, or enhancements, created, acquired and/or developed by or on behalf of DataPlay, Inc., including, without limitation, all object code and source code; all designs, drawings, specifications, models, data, algorithms, documentation, diagrams, flow charts and development plans, know-how and techniques, trade secrets and materials; all derivative works of the foregoing by whomever created (in object code, source code, or any other form and/or any media); and all tangible embodiments of the foregoing (in whatever form or media); and

WHEREAS, Assignors hereby desire to irrevocably assign to Assignee all rights, title and interest in and to the Technology.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors make the following assignment:

- 1. Assignors hereby irrevocably assign to Assignee all of Assignors' rights, title and interest in and to the Technology, said rights, title and interest including, but not limited to, all patent rights, copyrights, trademark rights, mask rights, trade secret rights and all other intellectual and industrial property rights anywhere in the world thereto, to have and to hold the same unto Assignee, its successors and assigns. In addition, Assignors hereby assign to Assignee and waives any and all moral rights Assignors may have in and to the Technology or any portion thereof.
- 2. Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in and to all patent applications and issued patents so derived from the Technology, together with any reissue, continuation, division, continuation-in-part or extension thereof, filed in the United States, as listed in Attachment A, and Internationally, as listed in Attachment B. In addition, Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in all invention disclosures as listed in Attachment C.
- 3. At any time, and from time to time after the date of execution of this Assignment, Assignors shall forthwith upon Assignee's request, take any and all steps to

execute, acknowledge and deliver to Assignee any and all further instruments and assurances necessary or expedient in order to vest the aforesaid rights and causes of action more effectively in Assignee and to facilitate Assignee's enjoyment and enforcement of said rights and causes of action.

- 4. Assignors hereby constitute and appoint Assignee as Assignors' true and lawful attorney-in-fact, with full power of substitution in Assignors' name and stead, to take any and all steps, including proceedings at law, in equity or otherwise, to execute, acknowledge and deliver any and all instruments and assurances necessary or expedient in order to vest or perfect the aforesaid rights and causes of action more effectively in Assignee or to protect the same or to enforce any claim or right of any kind with respect thereto. This includes, but is not limited to, any rights with respect to the Technology that may accrue or have accrued in Assignors' favor from the respective date of creation of the Technology to the date of this Assignment. Assignors hereby declare that the foregoing power is coupled with an interest and is irrevocable.
- 5. To the extent, if any, that Assignors retain any right, title or interest with respect to the Technology or rights in any technology or materials that would be infringed by Assignee's use, sale, offer for sale, modification, making, maintenance, support, reproduction or distribution of any of the Technology, Assignors hereby grant to Assignee an exclusive, irrevocable, perpetual, fully paid-up, royalty-free, transferable, sublicensable, worldwide right and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, modify, make, maintain, support, reproduce and distribute all or any portion of the Technology including, without limitation, the making of additions to or deletions from the Technology, regardless of the medium (now or hereafter known) into which the Technology may be modified.
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WITHOUT LIMITING IN ANY WAY THE PROVISIONS OF THE ASSET PURCHASAE AGREEMENT DATED FEBRUARY 19, 2003, BETWEEN ASSIGNORS AND ASSIGNEE, INCLUDING THE ASSIGNORS' REPRESENTATIONS AND WARRANTIES SET FORTH THEREIN, ALL OF WHICH APPLY TO ASSIGNMENT AND ALL OF WHICH TERMS OF THE ASSET PURCHASE AGREEMENT ARE APPLICABLE TO THIS ASSIGNMENT AND THE CONVEYANCE EFFECTUATED PURSUANT HERETO, ASSIGNORS MAKE NO REPRESENTATION OR WARRANTY, EXPRESS OR IMPLIED, AS TO ANY MATTER WHATSOEVER, INCLUDING, BUT NOT LIMITED TO: THE TITLE TO THE TECHNOLOGY; THE CONDITION, DESIGN, OR QUALITY OF THE TECHNOLOGY; THE FITNESS OF THE PARTICULAR FOR A OR USE FOR TECHNOLOGY THE COMPLIANCE TECHNOLOGY; MERCHANTABILITY OF THE

2

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Assignee acknowledges that Assignors have made no representation or warranty concerning the location of the Technology nor whether all of the Technology is in existence or operational. ASSIGNEE PURCHASES THE TECHNOLOGY AS IS AND WHERESOEVER LOCATED, WITHOUT REPRESENTATION OR WARRANTY OF ANY KIND. Assignee accepts the Technology subject to the terms of this Assignment.

Assignee agrees to be responsible for all taxes, that are now existing or hereafter are incurred, assessed, or imposed on the Technology or as a result of the ownership or sale of the Technology, except as expressly provided otherwise in the Asset Purchase Agreement. Assignee hereby agrees to hold Assignors harmless from and against any and all taxes, that are now existing or are hereafter incurred, assessed or imposed on the Technology or as a result of the ownership of the Technology.

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3

d this Assignment to be signed in Assignors'
Signature of Assignor  Marla Johnson, Schin Vite Presiden
Marla Johnson, Seniar Vice President Printed Name and Title
Signature of Assignor
Printed Name and Title
Signature of Assignor
Printed Name and Title

IN WITNESS WHEREOF, Assignors have caus	ed this Assignment to be again and a second
SILICON VALLEY BANK	
Date;	Signature of Assignor
	Printed Name and Title
GATX VENTURES, INC.	( liste
Date: 3-4-2003	Signature of Assignor
•	Printed Name and Title
SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent	<del>.</del>
Date:	Signature of Assignor
	Printed Name and Title

IN WITNESS WHEREOF, Assignors have cause name.	ed this Assignment to be signed in Assignors'
SILICON VALLEY BANK	
Date:	Signature of Assignor
•	Printed Name and Title
GATX VENTURES, INC.	
Date:	Signature of Assignor
	Printed Name and Title
SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent  Date: 3-5.03	Signature of Assignor
	Frinted Name and Title

# ATTACHMENT A

**United States Patent Applications** 

# ATTACHMENT B

International Patent Applications

# ATTACHMENT C

Disclosures

## <u>ATTACHMENT A</u>



## **United States Patent Applications**

**DocketNumber** 

Application Number

CountryName

**ApplicationDate** 

M-11807 US

09/947,004

**United States** 

9/4/2001

Title.

Mechanism And Method For Positioning Data Cartridge In Disk Drive

M-11806 US

09/947,151

United States

9/4/2001

Title

Mechanism And Method For Limiting Ejection Of Data Cartridge From A Disk Drive

M-8534-2D US

09/753,109

United States

12/29/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

M-8534-1D US

09/753,356

United States

12/29/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

M-9802 US

09/652,975

United States

8/31/2000

Title

Double-Sided Digital Optical Disk and Method and Apparatus for Making

M-8531 US

09/527,982

United States

3/17/2000

Title

Combination Mastered and Writeable Medium and Use in Electronic Book Internet Appliance

M-9804 US

09/666,616

**United States** 

9/20/2000

Title

Micro Lens and Method and Apparatus for Fabricating

M-8534 US

09/315,398

**United States** 

5/20/1999

Title

Removable Optical Storage Device And System

M-9802-1C US

10/290,116

United States

11/6/2002

Title

Double-Sided Digital Optical Disk And Method And Apparatus For Making

M-12098 US

09/950,409

**United States** 

9/10/2001

Title

Tracking And Focus Servo System With Error Signal Inverse Non-Linearity Calibration

REEL: 027389 FRAME: 0083

**PATENT** 



DocketNumber

ApplicationNumber

CountryName

**ApplicationDate** 

M-12096 US

09/950.372

**United States** 

9/10/2001

Digital Servo System With Calibrated Notch Filters

M-12147 US

09/951,475

United States

9/10/2001

Title.

System And Method For Performing A Spin Motor Startup Operation

M-12121 US

United States

9/10/2001

Title

**BEMF Timing System** 

M-11808 US

09/947,313

09/951,328

United States

9/4/2001

Title --- Mechanism And Method For Opening Shutter Of Data Cartridge In Disk Drive

M-12030 US

United States

9/10/2001

Title

System And Method For Controlling Operation Of A Disc For Optical Media With Premastered And Read/Write Sectors

M-11095 US

09/950.329

United States

9/10/2001

Title

Close Focus Algorithm In A Digital Focus Servo System

M-11096 US

09/951,930

**United States** 

9/10/2001

Title

Spin Motor Control In An Optical Drive

M-12076 US

09/950,365

United States

9/10/2001

Title

Optical Disk Drive With A digital Focus And Tracking Servo System

M-12027 US

09/951,332

United States

9/10/2001

Title

System And Method For Detecting And Recovering From An Off-Format State In An Optical Disc Drive

M-12084 US

09/950,441

United States

9/10/2001

Title

Digital Servo System With Feed-Forward Control Loops

M-12023 US

09/951,339

**United States** 

9/10/2001

Title

System And Method For Coordinating Time Critical And Non-Time Critical Tasks in A Control System For An Optical Disc Drive

M-12077 US

09/950,408

United States

9/10/2001

Title

Shape Control Efforts In A Digital Servo System

M-11098 US

**United States** 

9/10/2001

Title

System And Method For Controlling Interrupts In A Control System For An Optical Disc Drive

M-12079 US

09/950,394

United States

9/10/2001

Title

Focus Detection in A Digital Focus Servo System

M-11128 US

09/947,111

**United States** 

9/4/2001

Title

Fringing Field Focus Motor And Mechanism For Optical Disk Drive

M-12081 US

09/950,397

. United States

9/10/2001

Title

Digital Servo System With Inverse Non-Linearity Compensation



DocketNumber ApplicationNumber CountryName ApplicationDate

**M-12095 US** 09/950,360 United States 9/10/2001

Title Calibration Storage Methods For A Digital Focus And Tracking Servo System With Calibration

M-9115 US 09/950,516 United States 9/10/2001

Title Digital Focus And Tracking Servo System

M-12108 US 09/950,412 United States 9/10/2001

Tracking And Focus Servo System With A Media Type Boundary Crossing Detector

M-12112 US 09/950,540 United States 9/10/2001

Title Tracking And Focus Servo System With Head Load

**M-12026 US** 09/951,329 United States 9/10/2001

Title System And Method For Handling Events In An Optical Disc Drive

M-12111 US 09/950,361 United States 9/10/2001

Title Tracking And Focus Servo System With Automatic Media Type Detector

M-12110 US 09/950,392 United States 9/10/2001

Title Calibration Of Focus Error Signal Offset In A Focus Servo System

M-12109 US 09/950,548 United States 9/10/2001

Title Calibration Of A Focus Error Signal Gain in A Focus Servo System

M-8726-1P US 09/802,708 United States 3/8/2001

Title Continuous Flexible Connection Method For Miniature Optical Head

M-8534-3C US 10/274,487 United States 10/17/2002

Title Removable Optical Storage Device And System

**M-8778-2C US** 10/290,067 United States 11/6/2002

Title Miniature Optical Disk For Data Storage

M-8531-2C US 10/290,053 United States 11/6/2002

Title Optical Recording Medium Including Licensed Information

M-9804-1 CUS 10/278,719 United States 10/22/2002

Title Micro Lens And Method And Apparatus For Fabricating

M-8375-1 CUS 10/290,066 United States 11/6/2002

Title Asynchronous Input/Output Interface

M-11533-1C US 10/300,207 United States 11/19/2002

Title Disk Carrier

M-8381-1C US 10/299,950 United States 11/18/2002

Title Defect Management System for Write-Once Storage Disk



DocketNumber ApplicationNumber CountryName ApplicationDate

M-8746-3C US 10/285,387 United States 10/30/2002

Title Low Profile And Medium Protecting Cartridge Assembly

M-8532-2C US 10/290,442 United States 11/6/2002

Title Content Distribution Method And Apparatus

M-11969-1C US 10/360,725 United States 11/27/2002

Title Disk Drive Actuator And Method Of Making Same

M-11533-IC US 10/300,207 United States 11/19/2002

Title Disk Carrier

M-8377-1C US 10/293,893 United States 11/12/2002

Title Method And Apparatus For Emulating Read/Write File System On A Write-Orice Data Storage Disk

M-8531-1D US 09/721,587 United States 11/21/2000

Title Combination Mastered And Writeable Medium And Use In Electronic Internet Applicance

**M-9998-1P US** 09/854,333 United States 5/11/2001

Title Optical Data Storage With Enhanced Contrast

**M-8532-1P US** 09/489,084 United States 1/21/2000

Title Flexible Content Distribution Method And Apparatus

M-8745-1P US 09/815,377 United States 3/21/2001

Title Tilt Focus Method And Mechanism For An Optical Drive

M-12078 US 09/950,444 United States 9/10/2001

Title: Close Tracking Algorithm in A Digital Tracking Servo System

M-9796 US 09/946,071 United States 9/4/2001

Title Device And Method For Detecting Cartridge Readiness To Load in A Data Storage System

**DM-196 US** 29/163,408 United States 7/3/2002

Title Cartridge Protective Case

**DM-186 US** 29/160,536 United States 5/10/2002

Title Cartridge For Data Storage Disk

**M-8377 US** 09/583,133 United States 5/30/2000

Title Method and Apparatus for Emulating ReadWrite File System on a Write-Once Storage Disk

M-8374 US 09/539,841 United States 3/31/2000

Title File System Management Embedded in a Storage Device

M-8383 US 09/544,370 United States 4/6/2000

Title System and Method for Aligning Components of Optical Head



6

DocketNumber ApplicationNumber CountryName ApplicationDate

M-8381 US 09/583,390 United States 5/30/2000

Title Defect Management System for Write-Once Storage Disk

M-8375 US 09/539,842 United States 3/31/2000

Title Asynchronous Input/Output Interface Protocol

M-8532 US 09/393,899 United States 9/10/1999

Title Content Distribution Method and Apparatus

M-8746 US 09/548,128 United States 4/12/2000

Title Low Profile and Medium Protecting Cartridge Assembly

M-11533 US 09/920,004 United States 7/31/2001

Title Disk Carrier

M-11969 US 09/815,293 United States 3/21/2001

Title Disk Drive Actuator And Method Of Making Same

M-8379 US 09/542,681 United States 4/3/2000

Title Structure And Method For Storing Data On Optical Disks

M-8729 US 09/745,597 United States 12/21/2000

Title Crimping Tool For Metal Hub Plate

**M-8376 US** 09/583,452 United States 5/30/2000

Title Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means

M-12015 US 10/106,475 United States 3/25/2002

Title Inner Region Identifier For Optical Disk

M-11812 US 09/945,914 United States 9/4/2001

Title Focus Stop For Limiting Actuator Assembly Focus Travel

M-11962 US 10/095,150 United States 3/8/2002

Title Protective Enclosure For Data Storage Cartridge

M-12032 US 09/951,156 United States 9/10/2001

Title System And Method For Controlling Spin Speed Of-Media In An Optical Disc Drive

M-12039 US 09/940,025 United States 8/27/2001

Title System And Method For Identifying Vendors Of Hidden Content

M-12040 US 09/940,035 United States 8/27/2001

Title Unlocking Method And System For Data On Media

M-12042 US 09/939,896 United States 8/27/2001

Title Revocation Method And Apparatus For Secure Content

M-11814 US 09/946,075 United States 9/4/2001

Title Method For Aligning Actuator Assembly To A Base In A Miniature Optical Disk Drive



DocketNumber

ApplicationNumber

CountryName

Application Date

M-8535 US

09/457,104

United States

12/7/1999

Title

Low Profile Optical Head

M-9998 US

09/764,042

United States

1/16/2001

Title

First-Side Dual-Layer Optical Data Storage Disk And Method Of Manufacturing The Same

M-12139 US

09/900.722

United States

7/6/2001

Title

Facial Contact Lens System For Laser Diode

M-9848 US

09/846,042

United States

5/1/2001

Title<sup>\*</sup>

Optical Pick Up Unit Assembly Process

M-12038 US

09/940,174

United States

8/27/2001

Title

System And Method For Detecting Unauthorized Copying Of Encrypted Data

M-12013 US

10/085,682

United States

2/26/2002

Title

A Dual Density Disk With Associated Propertie

M-11628 US

10/056,927

United States

1/24/2002

Title

Use Of Mother Stamper For Optical Disk Molding

M-12043 US

09/939,960

United States

8/27/2001

Title

Mastering Process And System For Secure Content

M-11585 US

09/872,060

United States

6/1/2001

Title

Error Correction Code Block Format

M-11478 US

09/846,052

United States

5/1/2001

Title

Objective Lens Alignment in Optical Pickup Unit Assembly

M-11815 US

09/946,015

United States

9/4/2001

Title

Device And Method For Mounting A Spindle Motor To A Base In A Miniature Optical Disk Drive

M-12024 US

09/951,469

United States

9/10/2001

Title

System And Method For Controlling Time Critical Operations In A Control System For An Optical Disc Drive

M-12041 US

09/940.026

United States

8/27/2001

Title

Host Certification Method And System

M-8378 US

09/583,448

United States

5/30/2000

Title

Format For Recording Data On A Storage Disk

M-8380 US

09/552,288

United States

4/19/2000

Title

Power Management For Optical Drives

M-8745 US

09/557,284

United States

4/24/2000

Title

Tilt Focus Method And Mechanism For An Optical Drive

M-8730 US

09/745,399

09/675,572

United States

12/21/2000

9/29/2000

Title

Disk Hub Centering Method

DocketNumber M-8727 US

ApplicationNumber

CountryName

Application Date

United States

PATENT

Title

Disk Storage And Handling Magazine



M-8726 US 09/679,941 United States 10/4/2000

Title Continuous Flexible Connection Method For Miniature Optical Head

M-8725 US 09/680,106 United States 10/4/2000

Title Laser Thermal Management System

M-8382 US 09/542,510 United States 4/3/2000

Title Digital Rights Management Within An Embedded Storage Device

**M-11681 US** 09/945,944 United States 9/4/2001

Title Mechanism For Limiting Actuator Assembly Movement In A Data Storage/Retrieval System

M-9793 US 09/940,083 United States 8/27/2001

Title Secure Access Method And System

**M-9803 US** 09/666,627 United States 9/20/2000

Title Method And Apparatus For Compensating Optical Disks For Effects Of Thin Films

M-8778 US 09/560,781 United States 4/28/2000

Title Miniature Optical Disk for Data Storage

M-11097 US 09/950,398 United States 9/10/2001

Title Digital Focus And Tracking Servo System With Multi-Zone Calibration

-M-8746-1P US 09/730,647 United States 12/5/2000

Title Low Profile Cartridge For Data Storage Disk

M-12177 US 09/951,940 United States 9/10/2001

Title System And Method For Controlling Focus In An Optical Disc Drive

**M-9998-2P US** 10/107,854 United States 3/25/2002

Title Double-Sided Hybrid Optical Disk With Surface Topology

**M-12090 US** 09/950,331 United States 9/16/2001

Title Digital Tracking Servo System With Multi-Track Seek With Track Zero Crossing Period Integrity Test

M-12092 US 09/950,376 United States 9/10/2001

Title Digital Focus And Tracking Servo System With One-Track Jümp

M-12089 US 09/950,513 United States 9/10/2001

Title Digital Tracking Servo System With Multi-Track Seek With Track Zero Crossing Detection

M-12083 US 09/950,410 United States 9/10/2001

Title Digital Tracking Servo System With Tracking Skate Detection

M-12088 US 09/950,378 United States 9/10/2001

Title Digital Tracking Servo System With Multi-Track Seeking With An Acceleration Clamp



**DocketNumber** 

ApplicationNumber

CountryName

**ApplicationDate** 

M-12087 US

09/950,414

United States Digital Tracking Servo System With A Multi-Track Seeking And Accelerted Servo Function For Regaining A Closed Tracking

9/10/2001

Loop

M-12031 US

09/951,331

United States

9/10/2001

Title

System And Method For Controlling Tracking And Seeking in An Optical Disc Drive

M-12086 US

09/950,425

United States

9/10/2001

Title

Digital Tracking Servo System With Multi-Track Seek

M-12085 US

09/950,373

United States -

9/10/2001

Title Digital Tracking And Focus Servo system With A DSP Architecture

M-12082 US

09/950,914

United States

9/10/2001

Title

Digital Servo System With Second Order Compensator

M-11813 US

09/946,038

United States

9/4/2001

Title

Eccentric CAM For Limiting Actuator Tracking

M-12025 US

09/951,337

United States

9/10/2001

Title

System And Method For Recovering From Performance Errors In An Optical Disc Drive

M-12028 US

09/951,931

United States

9/10/2001

Title

System And Method For Handling Commands In An Optical Disc Drive

M-12029 US

09/951,850

**United States** 

9/10/2001

Title

System And Method For Dynamically Re-Calibrating An Optical Disc Drive

M-8535-1P US

09/540,657

United States

3/31/2000

Title

Low Profile Optical Head

M-12091 US

09/950,395

United States

9/10/2001

Title

Digital Servo System With Biased Feed-Forward

M-8535-2P US

09/764,026

United States

1/16/2001

Title

Beamshaper For Optical Head

M-12099 US

09/950,520

United States

9/10/2001

Title

Calibration Of Tracking Error Signal Gain In A Tracking Servo System

M-12195 US

09/950,519

United States

9/10/2001

Title

Digital Servo System With Loop Gain Calibration

M-12093 US

09/950,393

**United States** 

9/10/2001

Title

Digital Tracking Servo System With Off-Format Detection

M-12100 US

09/950,377

09/950,415

United States

United States

9/10/2001

9/10/2001

Title

Calibration Of Tracking Error Signal Offset In A Tracking Servo System

M-12103 US Title

Detector Input Stray Light Offset Calibration In An Optical Disk Drive

**PATENT** 



Docket Number

ApplicationNumber

CountryName

**ApplicationDate** 

M-8536 US

09/393,150

United States

9/10/1999

Title

Writeable Medium Access Control Using A Medium Writeable Area

M-12104 US

09/950,432

United States

9/10/2001

Title

Tracking And Focus Servo System With Anti-Skate Algorithm

M-12105 US

09/950,379

United States

9/10/2001

Title

Tracking And Focus Servo System With Defect Detection

M-12106 US

09/950,515

United States

9/10/2001

Title

Tracking And Focus Servo System With Direction Sensor

M-12107 US

09/950,411

United States

9/10/2001

Tracking And Focus Servo System With Write Abort

M-12117 US

09/951,108

United States

9/10/2001

Title

Title

CLV System And Method Of Using PSA

M-12094 US

09/950,396

United States

9/10/2001

Title

Digital Tracking And Focus Servo System With TES to FES Crosstalk Calibration

M-12118 US

09/951,869

United States

9/10/2001

Title

Slew System And Method

M-12097 US

09/950,541

United States

9/10/2001

Title

Calibration Initiation Methods For A Tracking And Focus Servo System

M-12102 US

09/950,512

United States

9/10/2001

Title

Detector Input Dark Current Offset Calibration In An Optical Disk Drive

M-12101 US

09/950.367

United States

9/10/2001

Title

Calibration Of Focus Sum Threshold In A Focus Servo System

M-12122 US

09/951,325

United States

9/10/2001

Title

PMAD/PSA Digital Feedback System

M-12155 US

09/950,514

United States

9/10/2001

Title

Focus Servo System With A Sliding Notch Filter

M-12119 US

09/951,330

United States

9/10/2001

Title

Kp And Ki Lookup System And Method

M-12080 US

09/950,413

**United States** 

9/10/2001

Title

Digital Servo System With Error Signal Integrity Testing

M-12120 US

09/951,340

United States

9/10/2001

Title

System And Method For Controlling Laser Power In An Optical Disc Drive

M-11682 US

09/946,845

United States

09/04/2001

Title

Data Cartridge Load/Unload Mechanism For Disk Drive



## ATTACHMENT B

# **International Patent Applications**

**DocketNumber** Application/Patent Number **CountryName** M-8532-1P KR 7009303/2002 South Korea

Flexible Content Distribution Method And Apparatus

Title

Title

Title

Title

M-8534 CN 00810553.7 China P.R. Title

Removable Optical Storage Device And System

M-8536 KR 7003184/2002 South Korea 9/7/2000

Writeable Medium Access Control Using A Medium Writeable Area Title

Objective Lens Alignment In Optical Pickup Unit Assembly

Removable Optical Storage Device And System

M-8532 KR 7003183/2002 South Korea 9/7/2000

Content Distribution Method And Apparatus

M-8532 MX PA/A/2002/002609 Mexiço 9/7/2000 Content Distribution Method And Apparatus Title

M-8531 KR 7016483/2001 South Korea 6/22/2000

Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance Title

M-8534 KR 7014796/2001 South Korea 5/18/2000

Title Removable Optical Storage Device And System

M-11478 WO PCT/US02/13867 Patent Cooperation Treaty 5/2/2002

M-8532-1P MX PA/A/2002/007151 Mexico 1/18/2001 Title Flexible Content Distribution Method And Apparatus

M-8532-1P SG 200204309-9 Singapore 1/18/2001 ....

Title Flexible Content Distribution Method And Apparatus

M-8534 CA 2,371,370 Canada 5/18/2000

M-9998-2P WO PCT/US02/13865

Patent Cooperation Treaty 5/2/2002 Title A Double Sided Hybrid Optical Disk with Surface Topology

M-8375 WO PCT/US01/09907 Patent Cooperation Treaty 3/28/2001

Title Asynchronous Input/Output Interface Protocol.

M-8376 WO PCT/US01/17245 Patent Cooperation Treaty 5/25/2001 Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means Title

M-9804 WO PCT/US01/28063 Patent Cooperation Treaty 9/7/2001

Title Micro Lens And Method and Apparatus For Fabricating

M-9115 WO PCT/US02/02221 Patent Cooperation Treaty

Title Optical Disk Drive With Digital Focus And Tracking Servo System

**PATENT** REEL: 027389 FRAME: 0092

**ApplicationDate** 

5/18/2000



M-8532 WO PCT/US00/24717 Patent Cooperation Treaty 9/7/2000

Title Content Distribution Method and Apparatus

M-8532-1P-WO PCT/US01/02112 Patent Cooperation Treaty 1/18/2001

Title Flexible Content Distribution Method And Apparatus

M-8726 WO PCT/US01/30580 Patent Cooperation Treaty 9/28/2001

Title \* Continuous Flexible Connection Method For Miniature Optical Head

**M-8745-1P WO** PCT/US02/08562 Patent Cooperation Treaty 3/19/2002

Title Tilt Focus Method And Mechanism For An Optical Drive

M-8730 WO PCT/US01/50234 Patent Cooperation Treaty 12/19/2001

Title Disk Hub Centering Method

**M-11969 WO** PCT/US02/08556 Patent Cooperation Treaty 3/19/2002

Title Disk Drive Actuator And Method Of Making Same

M-9998-1P WO PCT/US02/00824 Patent Cooperation Treaty 1/9/2002

Title Optical Data Storage Media With Enhanced Contrast

M-9793 WO PCT/US02/27303 Patent Cooperation Treaty 8/26/2002

Title A Secure Access Method And System

M-998 WO PCT/US02/00828 Patent Cooperation Treaty 1/9/2002

Title First-Side Dual-Layer Optical Data Storage Disk And Method Of Manufacturing The Same

M-11585 WO PCT/US02/15704 Patent Cooperation Treaty 5/15/2002

Title Error Correction Code Block Format

**M-9803 WO** PCT/US01/42250 Patent Cooperation Treaty 9/19/2001

Title Method And Apparatus For Compensating Optical Disks For Effects Of Thin Films

**M-11096 WO** PCT/US02/01841 Patent Cooperation Treaty 1/18/2002

Title Spin Motor Control in An Optical Drive

M-9848 WO PCT/US02/11708 Patent Cooperation Treaty 5/1/2001

Title Optical Pickup Unit Assembly Process

M-11533 WO # PCT/US02/23837 Patent Cooperation Treaty 7/26/2002

Title Disk Carrier

DMO23/277 II Calleite

**M-8381 WO** PCT/US01/17494 Patent Cooperation Treaty 5/29/2001

Title Defect Management System For Write-Once Storage Disk

M-8377 WO PCT/US01/17493 Patent Cooperation Treaty 5/29/2001

Title Method And Apparatus For Emulating Read/Write File System On A Write-Once Data Storage Disk

M-8378 WO PCT/US01/17621 Patent Cooperation Treaty 5/30/2001

Title Format For Recording Data On A Storage Disk

M-9802 WO PCT/US01/27036 Patent Cooperation Treaty 8/29/2001

Title Double-Sided Digital Optical Disk And Method And Apparatus For Making





M-8374 EP

01926462.1

European Patent Convention 3/28/2001

Title

File System Management Embedded in A Storage Device

M-8534 EP

00932592.9

European Patent Convention 5/18/2000

Title

Removable Optical Storage Device And System

M-8380 TW

90109331

Taiwan

4/18/2001

Title

Power Management for Optical Drive's

M-8379 TW

90107265163246

Talwan

9/11/2002

Title

Structure And Method For Storing Data On Optical Disks

M-8532-1P TW

90101187163133

Taiwan

9/10/2002

Title

Flexible Content Distribution Method And Apparatus

M-8532 CA

2,384,680

Canada:

9/7/2000

Title

Content Distribution Method And Apparatus

M-8531 CA

2,374,173

Canada

6/22/2000

Title

Combination Mastered And Writeable Medium And Use in Electronic Book Internet Appliance

M-8532-1P CA

2,397,777

Canada

1/18/2001

Title

Flexible Content Distribution Method And Apparatus

M-8531 SG

200107743-7

Singapore

12/14/2001

Title

Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance

M-9998-2P SG

G Awaiting Receipt
A Double-Sided Hybrid Optical Disk With Surface Topology

Awaiting Receipt

Singapore

1/10/2003

Title

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M-9998-2P CN Title A

A Double-Sided Hybrid Optical Disk With Surface Topology

00809435.7

China P.R.

China P.R.

6/22/2000

M-8531 CN Title

Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance

M-8532-1P CN

01805910.4

China P.R.

1/18/2001

Title

Flexible Content Distribution Method And Apparatus

M-8532 CN

00814433.8

China P.R.

9/7/2000

Title

Content Distribution Method And Apparatus

M-8375 TW

90107566

Taiwan

5/29/2001

Title

Asynchronous Input/Output Interface Protocol

M-8746 TW

90108476

Taiwan

6/14/2001

Title

Low Profile and Medium Protecting Cartridge Assembly

M-8378 TW

90112821

Taiwan

8/24/2001

Title

Format for Recording Data on a Storage Disk

M-8374 TW 90107565

Taiwan

5/29/2001

Title

File System Management Embedded In A Storage Device

**PATENT** 





M-8778-1P TW 90109690 Taiwan 4/28/2000 Title Magnetic Hub Assembly For Data Storage Disk M-8746-1P TW 90108699 Taiwan 4/11/2001 Title Low Profile Cartridge For Data Storage Disk M-8535-1P TW 89125819 Taiwan 3/31/2000 Title Low Profile Optical Head M-8377 TW 90112823 Talwan 5/30/2000 Title Method And Apparatus For Emulating Read/Write File System On A Write-Once Data Storage Disk M-9793 TW 91119311 Taiwan 8/26/2002 Title A Secure Access Method And System M-9804 TW 90122393 Taiwan 9/20/2000 Title Micro Lens And Method And Apparatus For Fabricating M-8778 TW 901096989 Taiwan 4/28/2000 Title Miniature Optical Disk For Data Storage M-11533 TW 91116633 Taiwan 7/31/2001 Title Disk Carrier M-9998-1P TW 91100211 Taiwan 1/16/2001 Title Optical Data Media With Enhanced Contrast M-11681 TW 91119979 Taiwan 9/4/2001 Title Optical Disk Drive Actuator Assembly M-9802 TW 90121232 Taiwan 8/31/2000 Double-Sided Digital Optical Disk And Method And Apparatus For Making Title " Taiwan M-9803 TW 90123255 9/20/2001 Title: Method And Apparatus For Compensating Optical Disks For Effects Of Thin Films M-9115 TW 91101100 Talwan 1/25/2001 Title. Digital Focus And Tracking Servo System With Multi-Zone Calibration M-11096 TW Taiwan 1/25/2001 Title Spin Motor Control in An Optical Drive M-11098 TW 91100910 Taiwan 1/25/2001 Title System And Method For Controlling An Optical Disc Drive M-9848 TW 91107661 Taiwan 5/1/2001 Title Optical Pickup Unit Assembly Process M-9998 TW 91100212 Talwan 1/16/2001 Title First-Side Dual-Layer Optical Data Storage Disk And Method Of Manufacturing The Same M-11478 TW 91108600 Taiwan 5/1/2001

Objective Lens Alignment In Optical Pickup Unit Assembly



Title

M-9998-2P TW

91109394

Taiwan

5/11/2001

Title

A Double Sided Hybrid Optical Disk With Surface Topology

M-8376 TW

90112447163260

Taiwan

5/30/2000

Title

Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means

M-9998-2P KR

7000443/2003

South Korea

1/11/2003

Title

A Double-Sided Hybrid Optical Disk With Surface Topology

: M₌8374 KR

7012836/2002

South Korea

3/28/2001

Title

File System Management Embedded In A Storage Device

M-8535-1P KR

7009926/2003

South Korea

12/5/2000

Title

Low Profile Optical Head

M-1/1628 TW

Awaiting Receipt

Taiwan

Title

Use Of Mother Stamper For Optical Disk Molding

M-8382 TW

90107967

Taiwan

4/3/2000

Title

Digital Rights Management Within An Embedded Storage Device

M-8531 TW

89112417

Talwan

6/23/1999

Titlē

Combination Mastered And Writeable Medium And Use in Electronic Book internet Appliance

M-8532 TW

89118490156979

Taiwan

9/10/1999

Title

Content Distribution Method And Apparatus

M-8534 TW

89109720

Taiwan

8/21/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

:M-8536 EP Title 00961729.1 European Patent Convention 9/7/2000 Writeable Medium Access Control Using A-Medium Writeable Area

M-8535-1P EP

00982448.3

European Patent Convention 12/5/2000

Title

Low Profile Optical Head

<sup>™</sup>М-8532-1Р ЕР

01904989.9

European Patent Convention 1/18/2001

Title

Flexible Content Distribution Method And Apparatus

M-8531 EP

00943104.0

European Patent Convention 6/22/2000

Title

Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance

M-8532 EP

00961702.8

European Patent Convention 9/7/2000

Title

Content Distribution Method And Apparatus

M-8374 JP

Japan

Title

File System Management Embedded In A Storage Device

M-8532 JP

<sup>®</sup>2001-522467

Japan

9/7/2000

Title

Content Distribution Method And Apparatus

M-8532-1P JP

2001-553301

Japan

1/18/2001

Title

Flexible Content Distribution Method And Apparatus

PATENT



M-8534 JP 2000-620625 5/18/2000 Japan Title Removable Optical Storage Device And System M-8531 JP 2001-505008 6/22/2000 Japan Title Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance M-8535-1P JP 2001-543729 12/5/2000 Japan Title Low Profile Optical Head M-8535-1P AU 19475/01 Australia 12/5/2000 Title Low Profile Optical Head M-8532 AU 73620/00 Australia 9/7/2000 Title. Content Distribution Method and Apparatus M-8536 AU 73644/00 Australia 9/7/2000 Title Writeable Medium Access Control Using a Medium Writeable Area M-8531 AU 57622/00 Australia 6/22/2000 Title Combination Mastered and Writeable Medium and Use in Electronic Book Internet Appliance M-8532-1P AU 32913/01 Australia 1/18/2001 Title Flexible Content Distribution Method and Apparatus M-8534 AU 50290/00 Australia 5/18/2000 Title Removable Optical Storage Device and System M-8532 SG 200201325-8 Singapore 9/7/2000 Title Content Distribution Method And Apparatus M-8534 SG 200107137-2 Singapore 5/18/2000 Title Removable Optical Storage Device And System M-8534 MX PA/A/2001/011917 Mexico 5/18/2000 Title Removable Optical Storage Device And System M-8531 MX PA/A/2002/000121 Mexico 6/22/2000 Title: Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance M-8383 TW 90107779 Taiwan 3/30/2001 Title System And Method For Aligning Components Of Optical Head M-9802 EP Awaiting Receipt Europe 08/29/2001 Title Double-Sided Digital Optical Disk And Method And Apparatus For Making M-12013 WO Awaiting Receipt PCT 02/26/2003 Title **Dual Density Disc With Associated Properties** M-12013 TW Awaiting Receipt Talwan 02/26/2002 Title **Dual Density Disc With Associated Properties** M-8535-2P WO PCT/US02/00875 **PCT** 01/09/2002

> PATENT REEL: 027389 FRAME: 0097

(W0734777 JLC) [nit:

Beamshaper For Optical Head

Title

ě.

M-8746-1P WO

PCT/US02/08562

PCT

03/19/2002



TitleLow Profile And Medium Protecting Cartridge Assembly

**PATENT** 

# **ATTACHMENT C**



### Disclosures

DocketNumber

Status r

Country

M-11534 US

DISCLOSED

United States

Title

Method For Creating, Or "Premastering" The File System For A DataPlay Disc Using A Computer

M-11949 US

DISCLOSED

**United States** 

Title

Track Crossing Direction Determination a

M-11627 US

DISCLOSED

United States

Title

Quad Film Structure For First Surface Optical Medium

M-11950 US

DISCLOSED

United States

Title

Eject Limiter Lever

