501758103 12/15/2011

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the missing text for Exhibits A-C for the assignment previously recorded on Reel 026259 Frame 0538. Assignor(s) hereby confirms the Assignment of Assignors' interest.

CONVEYING PARTY DATA

Name	Execution Date
SILICON VALLEY BANK	03/04/2003
GATX VENTURES, INC.	03/04/2003
SEQUEL ENTREPRENEURS' FUND II, L.P.	03/05/2003

RECEIVING PARTY DATA

Name:	DPHI ACQUISITIONS, INC.
Street Address:	2580 55th Street
City:	Boulder
State/Country:	COLORADO
Postal Code:	80301

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	6781929

CORRESPONDENCE DATA

Fax Number: (949)202-3138

Email: pia.kamath@haynesboone.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Haynes and Boone, LLP.

Address Line 1: 2323 Victory Avenue, Suite 700

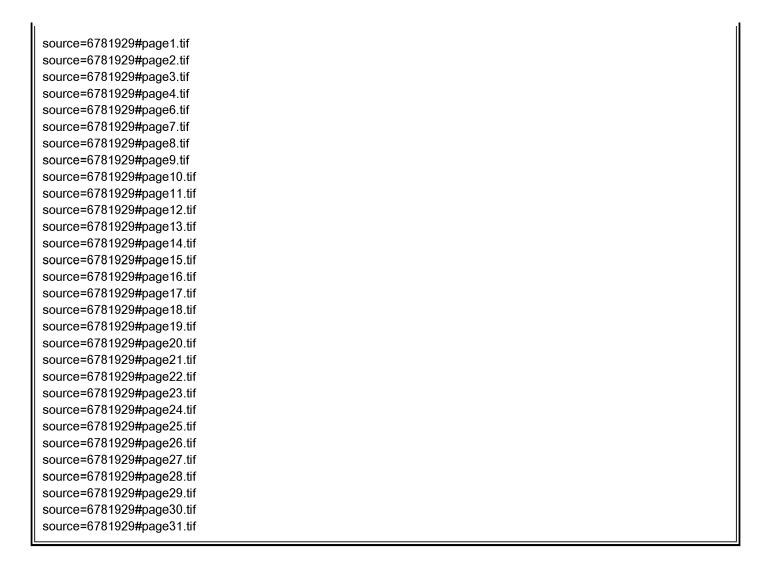
Address Line 4: Dallas, TEXAS 75219

ATTORNEY DOCKET NUMBER:	70103.86
NAME OF SUBMITTER:	Jonathan W. Hallman

Total Attachments: 30

PATENT REEL: 027396 FRAME: 0001 OP \$40.00 6781929

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O:HAYNES AND BOONE, LLP COMPANY:2323 VICTORY AVENUE, SULTE 700

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ectronic Version ylesheet Version		05/11/2011 501527375	i.	
SUBMISSION TYPE	¥	NEW ASSIGNMENT		
NATURE OF CONV	EYANCE:	ASSIGNMENT		
CONVEYING PART	Y DATA			,
		Name	Execution Date	
OU LOOM WALLEY F	ANIIC	Name	03/04/2003	
SILICON VALLEY E			03/04/2003	
GATX VENTURES, SEQUEL ENTREP		I, L.P.	03/05/2003	
L				<u> </u>
RECEIVING PARTY	DATA			
Name:	DPHI ACQUISI	rions, inc.		
Street Address:	2580 55th Stree			
City:	Boulder			
State/Country:	COLORADO			
Postal Code:	80301			
PROPERTY NUMB	ERS Total: 1			
Property	Туре	Num	ber	
Patent Number:	67	781929		
CORRESPONDENC	CE DATA			
Fax Number:	(949)202-3	R138		
Comespondence W	ill be sent via US M	ail when the fax attempt is unsucces	esful.	
Email:	pia.kamatl	n@haynesboone.com		
Correspondent Nar		nd Boone, LLP		
Address Line 1:		ory Avenue, Suite 700		
Address Line 4:	Dallas, TE	XAS 75219		
ATTORNEY DOCK	ET NUMBER:	70103.86		
NAME OF SUBMIT	TER:	Jonathan W. Hallman		 -
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O:HAYNES AND BOONE, LLP COMPANY:2323 VICTORY AVENUE, SUPER 700

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ASSIGNMENT

This Assignment ("Assignment") is effective as of the date of execution bereof by SILICON VALLEY BANK ("SVB") having a place of business at 3003 Tasman Drive, Santa Clara, California 95054, GATX VENTURES, INC ("GATX") having a place of business at 3687 Mt. Diablo Boulevard, Suite 200, Lafayette, California 94549, and SEQUEL ENTREPRENEURS' FUND II, L.P., in its capacity as Collateral Agent ("Sequel") having a place of business at 4430 Arapahoe Avenue, Suite 220, Boulder, Colorado 80303 (SVB, GATX and Sequel hereinafter collectively referred to as "Assignors"). The rights assigned herein will be owned by DPHI Acquisitions, Inc., a Delaware corporation, (hereinafter "Assignee"), with its principal place of business located at 2580 55th Street, Boulder, Colorado 80301.

WHEREAS, Assignors have acquired all rights, title and interest to technologies ("Technology") including, but not limited to, all versions of any software, firmware, hardware, chip layout and design, manufacturing processes, methods and system (including, without limitation, computer applications), ideas, inventions, disclosures, original works of authorship, developments, improvements, modifications, or enhancements, created, acquired and/or developed by or on behalf of DataPlay, Inc., including, without limitation, all object code and source code; all designs, drawings, specifications, models, data, algorithms, documentation, diagrams, flow charts and development plans, know-how and techniques, trade secrets and materials; all derivative works of the foregoing by whomever created (in object code, source code, or any other form and/or any media); and all tangible embodiments of the foregoing (in whatever form or media); and

WHEREAS, Assignors hereby desire to irrevocably assign to Assignee all rights, title and interest in and to the Technology.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged. Assignors make the following assignment:

- 1. Assignors hereby irrevocably assign to Assignee all of Assignors' rights, title and interest in and to the Technology, said rights, title and interest including, but not limited to, all patent rights, copyrights, trademark rights, mask rights, trade secret rights and all other intellectual and industrial property rights anywhere in the world thereto, to have and to hold the same unto Assignee, its successors and assigns. In addition, Assignors hereby assign to Assignee and waives any and all moral rights Assignors may have in and to the Technology or any portion thereof.
- 2. Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in and to all patent applications and issued patents so derived from the Technology, together with any reissue, continuation, division, continuation-in-part or extension thereof, filed in the United States, as listed in Attachment A, and Internationally, as listed in Attachment B. In addition, Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in all invention disclosures as listed in Attachment C.
- At any time, and from time to time after the date of execution of this Assignment, Assignors shall forthwith upon Assignee's request, take any and all steps to

(W0734733 ILC)

execute, acknowledge and deliver to Assignee any and all further instruments and assurances necessary or expedient in order to vest the aforesaid rights and causes of action more effectively in Assignee and to facilitate Assignee's enjoyment and enforcement of said rights and causes of action.

- 4. Assignors hereby constitute and appoint Assignee as Assignors' true and lawful attorney-in-fact, with full power of substitution in Assignors' name and stead, to take any and all steps, including proceedings at law, in equity or otherwise, to execute, acknowlectige and deliver any and all instruments and assurances necessary or expedient in order to vest or perfect the aforesaid rights and causes of action more effectively in Assignee or to protect the same or to enforce any claim or right of any kind with respect thereto. This includes, but is not limited to, any rights with respect to the Technology that may accrue or have accrued in Assignors' favor from the respective date of creation of the Technology to the date of this Assignment. Assignors hereby declare that the foregoing power is coupled with an interest and is irrevocable.
- 5. To the extent, if any, that Assignors retain any right, title or interest with respect to the Technology or rights in any technology or materials that would be infriringed by Assignee's use, sale, offer for sale, modification, making, maintenance, support, reproduction or distribution of any of the Technology, Assignors hereby grant to Assignee an exclusive, irrevocable, perpetual, fully paid-up, royalty-free, transferable, sublicensable, worldwide right and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, modify, make, maintain, support, reproduce and distribute all or any portion of the Technology including, without limitation, the making of additions to or deletions from the Technology, regardless of the medium (now or hereafter known) into which the Technology may be modified.
- 6. No waiver of any breach or condition of this Assignment shall be deemed to be a waiver of any other or subsequent breach or condition, whether of like or different nature. If any provision of this Assignment is held by a court of competent jurisdiction to be illegal, invalid or unenforceable, that provision shall be limited or eliminated to the minimum extent necessary so that this Assignment shall otherwise remain in full force and effect and enforceable. This Assignment shall be governed by and construed in accordance with the laws of the State of California applicable to contracts entered into and to be wholly performed within California, without regard to conflicts of laws provisions thereof.

WITHOUT LIMITING IN ANY WAY THE PROVISIONS OF THE ASSET PURCHASAE AGREEMENT DATED FEBRUARY 19, 2003, BETWEEN ASSIGNORS AND ASSIGNEE, INCLUDING THE ASSIGNORS' REPRESENTATIONS WARRANTIES SET FORTH THEREIN, ALL OF WHICH APPLY TO THIS ASSIGNMENT AND ALL OF WHICH TERMS OF THE ASSET PURCHASE AGREEMENT ARE APPLICABLE TO THIS ASSIGNMENT AND THE CONVEYANCE EFFECTUATED PURSUANT HERETO, ASSIGNORS MAKE NO REPRESENTATION OR WARRANTY, EXPRESS OR IMPLIED, AS TO ANY MATTER WHATSOEVER, INCLUDING, BUT NOT LIMITED TO: THE TITLE TO THE TECHNOLOGY; THE CONDITION, DESIGN, OR QUALITY OF THE TECHNOLOGY; THE FITNESS OF THE PURPOSE; THE FOR A PARTICULAR USE OR TECHNOLOGY FOR OF COMPLIANCE TECHNOLOGY; THE MERCHANTABILITY OF

RULES, LAWS, ANY OF REQUIREMENTS WITH THE TECHNOLOGY PATENT THERETO; PERTAINING CONTRACTS OR SPECIFICATIONS INFRINGEMENT; LATENT DEFECTS; THE QUALITY OF THE MATERIAL. OR WORKMANSHIP OF THE TECHNOLOGY OR THE CONFORMITY OF TECHNOLOGY TO THE PROVISIONS AND SPECIFICATIONS OF ANY PURCY-LASE ORDER RELATING THERETO; THE OPERATION, USE, OR PERFORMANCE OF THE TECHNOLOGY; OR ANY OTHER REPRESENTATION OR WARRANTY OF ANY KIND, EXPRESS OR IMPLIED, WITH RESPECT TO THE TECHNOLOGY. ASSIGNEE ALSO ACKNOWLEDGES THAT ASSIGNORS HAVE MADE NO REPRESENTATION OR WARRANTY OF ANY KIND, NATURE OR DESCRIPTION, EXPRESS OR IMPLIED, WITH RESPECT TO THE OPERATION, USE OR PERFORMANCE OF THE TECHNOLOGY.

ASSIGNORS SHALL HAVE NO LIABILITY TO ASSIGNEE OR ANY PERSON WHOMSOEVER (INCLUDING LICENSEES OR PURCHASERS OF ALL OR ANY OF THE TECHNOLOGY) FOR ANY CLAIM, LOSS, DAMAGE OR EXPENSE (INCLUDING ATTORNEY FEES) OF ANY KIND OR NATURE, WHETHER SPECIAL, CONSEQUENTIAL, ECONOMIC OR OTHERWISE, CAUSED OR ALLEGED TO BE CAUSED DIRECTLY, INDIRECTLY, INCIDENTALLY, OR CONSEQUENTIALLY BY THE TECHNOLOGY OR ANY PART THEREOF OR PRODUCTS THEREFROM, BY ANY INADEQUACY OF THE TECHNOLOGY OR DEFECT OR DEFICIENCY THEREIN, BY ANY INCIDENT WHATSOEVER ARISING IN STRICT LIABILITY OR OTHERWISE FROM ASSIGNORS' OR ASSIGNEE'S NEGLIGENCE OR OTHERWISE, OR FOR ANY LOSS OF BUSINESS OR DAMAGE WHATSOEVER AND HOWSOEVER CAUSED, OR ARISING OUT THE TECHNOLOGY.

Assignce acknowledges that Assignors have made no representation or warranty concerning the location of the Technology nor whether all of the Technology is in existence or operational. ASSIGNEE PURCHASES THE TECHNOLOGY AS IS AND WHERESOEVER LOCATED, WITHOUT REPRESENTATION OR WARRANTY OF ANY KIND. Assignee accepts the Technology subject to the terms of this Assignment.

Assignce agrees to be responsible for all taxes, that are now existing or hereafter are incurred, assessed, or imposed on the Technology or as a result of the ownership or sale of the Technology, except as expressly provided otherwise in the Asset Purchase Agreement. Assignee hereby agrees to hold Assignors harmless from and against any and all taxes, that are now existing or are hereafter incurred, assessed or imposed on the Technology or as a result of the ownership of the Technology.

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3

	table Assignment to be signed in AssignOrs'
IN WITNESS WHEREOF, Assignors have cause name.	d this Assignment to the man
SILICON VALLEY BANK	Signature of Assignor
Date: 3-4-3003	Signature of Assignor Marla Johnson, Seniar Vice President
	Printed Name and Title
GATX VENTURES, INC.	
Date:	Signature of Assignor
•	
	Printed Name and Title
SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent	
Date:	Signature of Assignor
	Printed Name and Title

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IN WITNESS WHEREOF, Assignors have cause name. SILICON VALLEY BANK	ed this Assignment to be signed in Assignors'
Date:	Signature of Assignor
	Printed Name and Title
GATX VENTURES, INC.	1 ala
Date: 3-4-2007	Signature of Assignor
• •	Printed Name and Title
SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent	
Date:	Signature of Assignor
	Printed Name and Title

IN WITNESS WHEREOF, Assignors have cause	d this Assignment to be signed in Assign Ors'
name.	
SILICON VALLEY BANK	
Date:	Signature of Assignor
	Printed Name and Title
GATX VENTURES, INC.	
Date:	Signature of Assignor
	Printed Name and Title
SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent Date: 2-5-03	Mr
1)210.	Signature of Assignor John T. GREFF MAUNCE- Printed Name and Title

ATTACHMENT A

United States Patent Applications

ATTACHMENT B

International Patent Applications

ATTACHMENT C

Disclosures

ATTACHMENT A



DocketNumber

ApplicationNumber

CountryName

ApplicationDate

M-11807 US

09/947,004

United States

9/4/2001

Title.

Mechanism And Method For Positioning Data Cartridge In Disk Drive

M-11806 US

09/947,151

United States

9/4/2001

Title

Mechanism And Method For Limiting Ejection Of Data Cartridge From A Disk Drive

M-8534-2D US

09/753,109

United States

12/29/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

M-8534-1D US

09/753,356

United States

12/29/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

M-9802 US

09/652,975

United States

8/31/2000

Title

Double-Sided Digital Optical Disk and Method and Apparatus for Making

M-8531 US

09/527,982

United States

3/17/2000

Title

Combination Mastered and Writeable Medium and Use in Electronic Book Internet Appliance

M-9804 US

09/666,616

United States

9/20/2000

Title

Micro Lens and Method and Apparatus for Fabricating

M-8534 US

09/315,398

United States

5/20/1999

Title

Removable Optical Storage Device And System

M-9802-1C US

10/290,116

United States

11/6/2002

Title

Double-Sided Digital Optical Disk And Method And Apparatus For Making

M-12098 US

09/950,409

United States

9/10/2001

Title

Tracking And Focus Servo System With Error Signal Inverse Non-Linearity Calibration

PATENT

REEL: 027396 FRAME: 0014



{W0734777 JLC}Init:



DocketNumber

ApplicationNumber

CountryName

ApplicationDate

M-12096 US

09/950,372

United States

9/10/2001

Title

Digital Servo System With Calibrated Notch Filters

M-12147 US

09/951,475

United States

9/10/2001

Title.

System And Method For Performing A Spin Motor Startup Operation

M-12121 US

09/951,328

United States

9/10/2001

Title

BEMF Timing System

M-11808 US

09/947.313

United States

9/4/2001

Title -- Mechanism And Method For Opening Shutter Of Data Cartridge In Disk Drive

M-12030 US

09/951,333

United States

9/10/2001

Title

System And Method For Controlling Operation Of A Disc For Optical Media With Premastered And Read/Write Sectors

M-11095 US

09/950,329

United States

9/10/2001

Title

Close Focus Algorithm In A Digital Focus Servo System

M-11096 US

09/951,930

United States

9/10/2001

Title

Spin Motor Control In An Optical Drive

M-12076 US

09/950,365

United States

9/10/2001

Title

Optical Disk Drive With A digital Focus And Tracking Servo System



M-12027 US

09/951,332

United States

9/10/2001

Title

System And Method For Detecting And Recovering From An Off-Format State In An Optical Disc Drive

M-12084 US

09/950,441

United States

9/10/2001

Title

Digital Servo System With Feed-Forward Control Loops

M-12023 US

United States System And Method For Coordinating Time Critical And Non-Time Critical Tasks In A Control System For An Optical Disc Drive

9/10/2001

Title

M-12077 US

09/950,408

United States

9/10/2001

Title

Shape Control Efforts In A Digital Servo System

M-11098 US

09/951,947

United States

9/10/2001

Title

System And Method For Controlling Interrupts in A Control System For An Optical Disc Drive

M-12079 US

09/950,394

United States

9/10/2001

Title

Focus Detection In A Digital Focus Servo System

M-11128 US

09/947,111

United States

9/4/2001

Title

Fringing Field Focus Motor And Mechanism For Optical Disk Drive

M-12081 US

09/950,397

, United States

9/10/2001

Title

Digital Servo System With Inverse Non-Linearity Compensation

PATENT REEL: 027396 FRAME: 0015

{W0734777 JLC} Init:

DocketNumber ApplicationNumber CountryName ApplicationDate

M-12095 US

09/950,360

United States

Title

Calibration Storage Methods For A Digital Focus And Tracking Servo System With Calibration

M-9115 US

09/950,516

United States

9/10/2001

9/10/2001

Title

Digital Focus And Tracking Servo System

M-12108 US

09/950,412

United States

9/10/2001

Title

Tracking And Focus Servo System With A Media Type Boundary Crossing Detector

M-12112 US

09/950.540

United States

9/10/2001

Title

Tracking And Focus Servo System With Head Load

M-12026 US

09/951,329

United States

9/10/2001

Title

System And Method For Handling Events In An Optical Disc Drive

M-12111 US

09/950,361

United States

9/10/2001

Title

Tracking And Focus Servo System With Automatic Media Type Detector

M-12110 US

09/950,392

United States

9/10/2001

Title

Calibration Of Focus Error Signal Offset In A Focus Servo System

M-12109 US

09/950.548

United States

9/10/2001

Title

Calibration Of A Focus Error Signal Gain In A Focus Servo System

M-8726-1P US

09/802,708

United States

3/8/2001

Title

Continuous Flexible Connection Method For Miniature Optical Head

M-8534-3C US

10/274,487

United States

10/17/2002

Title

Removable Optical Storage Device And System

M-8778-2C US

10/290,067

United States

11/6/2002

Title

Miniature Optical Disk For Data Storage

M-8531-2C US

10/290,053

United States

11/6/2002

Title

Optical Recording Medium Including Licensed Information

M-9804-1C US

10/278,719

United States

10/22/2002

Title

Micro Lens And Method And Apparatus For Fabricating

M-8375-1C US

10/290,066

United States

11/6/2002

Title

M-11533-1C US

10/300,207

Asynchronous Input/Output Interface

United States

11/19/2002

Title

Disk Carrier

M-8381-1C US

10/299,950

United States

11/18/2002

Title

Defect Management System for Write-Once Storage Disk



PATENT REEL: 027396 FRAME: 0016

{W0734777 JLC}Init: ________

DocketNumber ApplicationNumber CountryName ApplicationDate

M-8746-3C US

10/285,387

United States

10/30/2002

Title

Low Profile And Medium Protecting Cartridge Assembly

M-8532-2C US

10/290,442

United States

11/6/2002

Title

Content Distribution Method And Apparatus

M-11969-1C US

10/360,725

United States

11/27/2002

Title

Disk Drive Actuator And Method Of Making Same

M-11533-1C US

10/300,207

United States

11/19/2002

Title

Disk Carrier

M-8377-1C US

10/293,893

United States

11/12/2002

Title

Method And Apparatus For Emulating Read/Write File System On A Write-Once Data Storage Disk

M-8531-1D US

09/721,587

United States

11/21/2000

Title

Combination Mastered And Writeable Medium And Use In Electronic Internet Applicance

M-9998-1P US

09/854,333

United States

5/11/2001

Title

Optical Data Storage With Enhanced Contrast

M-8532-1P US

09/489,084

United States

1/21/2000

Title

Flexible Content Distribution Method And Apparatus

M-8745-1P US

09/815,377

United States

3/21/2001

Title

Tilt Focus Method And Mechanism For An Optical Drive

M-12078 US

09/950,444

United States

9/10/2001

Title

Close Tracking Algorithm In A Digital Tracking Servo System

M-9796 US

09/946,071

United States

9/4/2001

Title

Device And Method For Detecting Cartridge Readiness To Load in A Data Storage System

DM-196 US

₂29/163,408

United States

7/3/2002

Title

Cartridge Protective Case

DM-186 US

29/160,536

United States

5/10/2002

Title

Cartridge For Data Storage Disk

M-8377 US

09/583,133

United States

5/30/2000

Title

Method and Apparatus for Emulating Read/Write File System on a Write-Once Storage Disk

M-8374 US

09/539,841

United States

3/31/2000

Title

File System Management Embedded in a Storage Device

M-8383 US

09/544,370

United States

4/6/2000

Title

System and Method for Aligning Components of Optical Head

{W0734777 JLC}Init: _____ ____



DocketNumber

ApplicationNumber

CountryName
United States

ApplicationDate

M-8381 US Title

Defect Management System for Write-Once Storage Disk

M-8375 US

09/539,842

09/583,390

United States

3/31/2000

5/30/2000

Title

Asynchronous Input/Output Interface Protocol

M-8532 US

09/393,899

United States

9/10/1999

Title

Content Distribution Method and Apparatus

M-8746 US

09/548,128

United States

4/12/2000

Title

Low Profile and Medium Protecting Cartridge Assembly

M-11533 US

09/920,004

United States

7/31/2001

Title

Disk Carrier

M-11969 UŞ

09/815.293

United States

3/21/2001

Title

Disk Drive Actuator And Method Of Making Same

M-8379 US

09/542,681

United States

4/3/2000

Title

Structure And Method For Storing Data On Optical Disks

M-8729 US

09/745,597

United States

12/21/2000

Title

Crimping Tool For Metal Hub Plate



M-8376 US

09/583,452

United States

5/30/2000

Title

Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means

M-12015 US

10/106,475

United States

3/25/2002

Title

Inner Region Identifier For Optical Disk

M-11812 US

09/945,914

United States

9/4/2001

Title

Focus Stop For Limiting Actuator Assembly Focus Travel

M-11962 US

10/095,150

United States

3/8/2002

Title

Protective Enclosure For Data Storage Cartridge

M-12032 US

09/951,156

United States

9/10/2001

Title

System And Method For Controlling Spin Speed Of Media In An Optical Disc Drive

M-12039 US

09/940,025

United States

8/27/2001

Title

System And Method For Identifying Vendors Of Hidden Content

M-12040 US

09/940,035

United States

8/27/2001

Title

Unlocking Method And System For Data On Media

M-12042 US

09/939.896

United States

8/27/2001

Title

Revocation Method And Apparatus For Secure Content

M-11814 US

{W0734777 JLC} Init:

09/946,075

United States

9/4/2001

Title

Method For Aligning Actuator Assembly To A Base In A Miniature Optical Disk Drive

PATENT
_____ ** ____ REEL: 027396 FRAME: 0018





DocketNumber

ApplicationNumber

CountryName United States

ApplicationDate

M-8535 US Title

Low Profile Optical Head

M-9998 US

09/764,042

09/457,104

United States

1/16/2001

12/7/1999

Title

First-Side Dual-Layer Optical Data Storage Disk And Method Of Manufacturing The Same

M-12139 US

09/900,722

United States

7/6/2001

Title

Facial Contact Lens System For Laser Diode

M-9848 US

09/846,042

United States

5/1/2001

Title

Optical Pick Up Unit Assembly Process

M-12038 US

09/940,174

United States

8/27/2001

Title

System And Method For Detecting Unauthorized Copying Of Encrypted Data

M-12013 US

10/085,682

United States

2/26/2002

Title

A Dual Density Disk With Associated Propertie

M-11628 US

10/056,927

United States

1/24/2002

Title

Use Of Mother Stamper For Optical Disk Molding

M-12043 US

09/939,960

United States

8/27/2001

Title

Mastering Process And System For Secure Content

M-11585 US

09/872,060

United States

6/1/2001

Title

Error Correction Code Block Format

M-11478 US

09/846,052

United States

5/1/2001

Title

Objective Lens Alignment In Optical Pickup Unit Assembly

M-11815 US

09/946,015

United States

9/4/2001

Title

Device And Method For Mounting A Spindle Motor To A Base In A Miniature Optical Disk Drive

M-12024 US

09/951,469

United States

9/10/2001

Title

System And Method For Controlling Time Critical Operations In A Control System For An Optical Disc Drive

M-12041 US

09/940,026

United States

8/27/2001

Title

Host Certification Method And System

M-8378 US

09/583,448

United States

5/30/2000

Title

Format For Recording Data On A Storage Disk

M-8380 US

09/552,288

United States

4/19/2000

Title

Power Management For Optical Drives

M-8745 US

09/557,284

United States

4/24/2000

Title

Tilt Focus Method And Mechanism For An Optical Drive

M-8730 US

09/745.399

United States

12/21/2000

9/29/2000

Title

Disk Hub Centering Method

DocketNumber M-8727 US

{W0734777 JLC}Init:

ApplicationNumber

CountryName United States

ApplicationDate

Title

09/675,572 Disk Storage And Handling Magazine

PATENT

REEL: 027396 FRAME: 0019





M-8726 US 09/679,941 United States 10/4/2000

Title Continuous Flexible Connection Method For Miniature Optical Head

M-8725 US 09/680,106 United States 10/4/2000

Title Laser Thermal Management System

M-8382 US 09/542,510 United States 4/3/2000

Title Digital Rights Management Within An Embedded Storage Device

M-11681 US 09/945,944 United States 9/4/2001

Title Mechanism For Limiting Actuator Assembly Movement In A Data Storage/Retrieval System

M-9793 US 09/940,083 United States 8/27/2001

Title Secure Access Method And System

M-9803 US 09/666.627 United States 9/20/2000

Title Method And Apparatus For Compensating Optical Disks For Effects Of Thin Films

M-8778 US 09/560,781 United States 4/28/2000

Title Miniature Optical Disk for Data Storage

M-11097 US 09/950,398 United States 9/10/2001

Title Digital Focus And Tracking Servo System With: Multi-Zone Calibration

M-8746-1P US 09/730,647 United States 12/5/2000

Title Low Profile Cartridge For Data Storage Disk

M-12177 US 09/951,940 United States 9/10/2001

Title System And Method For Controlling Focus In An Optical Disc Drive

M-9998-2P US 10/107,854 United States 3/25/2002

Title Double-Sided Hybrid Optical Disk With Surface Topology

M-12090 US 09/950,331 United States 9/10/2001

Title Digital Tracking Servo System With Multi-Track Seek With Track Zero Crossing Period Integrity Test

M-12092 US 09/950,376 United States 9/10/2001

Title Digital Focus And Tracking Servo System With One-Track Jump

M-12089 US 09/950,513 United States 9/10/2001

Title Digital Tracking Servo System With Multi-Track Seek With Track Zero Crossing Detection

M-12083 US 09/950,410 United States 9/10/2001

Title Digital Tracking Servo System With Tracking Skate Detection

M-12088 US 09/950,378 United States 9/10/2001

Title Digital Tracking Servo System With Multi-Track Seeking With An Acceleration Clamp



{W0734777 JLC}Init:

PATENT REEL: 027396 FRAME: 0020

.



DocketNumber

ApplicationNumber

CountryName

ApplicationDate

M-12087 US

09/950,414

United States

9/10/2001

Title

Digital Tracking Servo System With A Multi-Track Seeking And Accelerted Servo Function For Regaining A Closed Tracking

M-12031 US

09/951,331

United States

9/10/2001

Title

System And Method For Controlling Tracking And Seeking In An Optical Disc Drive

M-12086 US

09/950.425

United States

9/10/2001

Title

Digital Tracking Servo System With Multi-Track Seek

:M-12085 US

09/950,373

United States

9/10/2001

Title

Digital Tracking And Focus Servo system With A DSP Architecture

M-12082 US

09/950,914

United States

9/10/2001

Title

Digital Servo System With Second Order Compensator

M-11813 US

09/946,038

United States

9/4/2001

Title

Eccentric CAM For Limiting Actuator Tracking

M-12025 US

09/951 337

United States

9/10/2001

Title

System And Method For Recovering From Performance Errors in An Optical Disc Drive

M-12028 US

09/951.931

United States

9/10/2001

Title

System And Method For Handling Commands in An Optical Disc Drive



M-12029 US

09/951,850

United States

9/10/2001

Title-

System And Method For Dynamically Re-Calibrating An Optical Disc Drive

M-8535-1P US

09/540,657

United States

3/31/2000

Title

Low Profile Optical Head

M-12091 US

09/950.395

United States

9/10/2001

Title

Digital Servo System With Blased Feed-Forward

M-8535-2P US

09/764,026

United States

1/16/2001

Title

Beamshaper For Optical Head

M-12099 US

09/950,520

United States

9/10/2001

Title

Calibration Of Tracking Error Signal Gain In A Tracking Servo System

M-12195 US

09/950,519

United States

9/10/2001

Title

Digital Servo System With Loop Gain Calibration

M-12093 US

09/950,393

United States

9/10/2001

Title

Digital Tracking Servo System With Off-Format Detection

M-12100 US

09/950,377

United States

9/10/2001

Title

Calibration Of Tracking Error Signal Offset In A Tracking Servo System

M-12103 US

09/950,415

United States

9/10/2001

Title

Detector Input Stray Light Offset Calibration In An Optical Disk Drive

REEL: 027396 FRAME: 0021

{W0734777 JLC}Init:



DocketNumber **ApplicationNumber** CountryName M-8536 US 09/393,150 **United States**

Title

Title

Writeable Medium Access Control Using A Medium Writeable Area

M-12104 US 09/950,432

Title Tracking And Focus Servo System With Anti-Skate Algorithm

M-12105 US 09/950,379 **United States** 9/10/2001

United States

Tracking And Focus Servo System With Defect Detection Title

M-12106 US **United States** 09/950,515 9/10/2001

Title Tracking And Focus Servo System With Direction Sensor

M-12107 US 09/950,411 **United States** 9/10/2001

Title Tracking And Focus Servo System With Write Abort

M-12117 US United States 9/10/2001 09/951.108

Title CLV System And Method Of Using PSA

United States M-12094 US 09/950,396 9/10/2001

Digital Tracking And Focus Servo System With TES to FES Crosstalk Calibration Title

M-12118 US 09/951,869 **United States** 9/10/2001

Slew System And Method

United States M-12097 US 09/950,541 9/10/2001

Title Calibration Initiation Methods For A Tracking And Focus Servo System

M-12102 US 09/950,512 **United States** 9/10/2001

Title Detector Input Dark Current Offset Calibration In An Optical Disk Drive

M-12101 US 09/950.367 **United States** 9/10/2001

Title Calibration Of Focus Sum Threshold In A Focus Servo System

M-12122 US 09/951,325 **United States** 9/10/2001

Title: PMAD/PSA Digital Feedback System

M-12155 US 09/950,514 United States 9/10/2001

Focus Servo System With A Sliding Notch Fifter Title

M-12119 US United States 9/10/2001 09/951,330

Title Kp And Ki Lookup System And Method

M-12080 US 09/950,413 **United States** 9/10/2001

Digital Servo System With Error Signal Integrity Testing Title

M-12120 US 9/10/2001 09/951,340 **United States**

Title System And Method For Controlling Laser Power in An Optical Disc Drive

United States M-11682 US 09/946,845 09/04/2001

Data Cartridge Load/Unload Mechanism For Disk Drive Title



PATENT REEL: 027396 FRAME: 0022

ApplicationDate

9/10/1999

9/10/2001

{W0734777 JLC}Init:

ATTACHMENT B

International Patent Applications

DocketNumber Application/Patent Number CountryName **ApplicationDate** M-8532-1P KR 7009303/2002 South Korea Flexible Content Distribution Method And Apparatus Title M-8534 CN 00810553.7 China P.R. 5/18/2000 Title Removable Optical Storage Device And System M-8536 KR 7003184/2002 South Korea 9/7/2000 Title Writeable Medium Access Control Using A Medium Writeable Area M-8532 KR 7003183/2002 South Korea 9/7/2000 Title Content Distribution Method And Apparatus M-8532 MX PA/A/2002/002609 Mexico 9/7/2000 Title Content Distribution Method And Apparatus M-8531 KR 7016483/2001 South Korea 6/22/2000 Title Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance M-8534 KR 7014796/2001 South Korea 5/18/2000 Removable Optical Storage Device And System Title M-11478 WO PCT/US02/13867 Patent Cooperation Treaty 5/2/2002 Title Objective Lens Alignment In Optical Pickup Unit Assembly M-8532-1P MX PA/A/2002/007151 Mexico 1/18/2001 Title Flexible Content Distribution Method And Apparatus M-8532-1P SG 200204309-9 Singapore 1/18/2001 apr Title Flexible Content Distribution Method And Apparatus M-8534 CA 2,371,370 Canada 5/18/2000 Title Removable Optical Storage Device And System M-9998-2P WO PCT/US02/13865 Patent Cooperation Treaty 5/2/2002 Title A Double Sided Hybrid Optical Disk with Surface Topology M-8375 WO PCT/US01/09907 Patent Cooperation Treaty 3/28/2001 Asynchronous Input/Output Interface Protocol. Title M-8376 WO PCT/US01/17245 Patent Cooperation Treaty 5/25/2001 Title Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means M-9804 WO PCT/US01/28063 Patent Cooperation Treaty 9/7/2001 Title Micro Lens And Method and Apparatus For Fabricating

PATENT
{W0734777 JLC}Init: ____ __ __ REEL: 027396 FRAME: 0023

Patent Cooperation Treaty

1/22/2002

PCT/US02/02221

Optical Disk Drive With Digital Focus And Tracking Servo System

M-9115 WO

Title



PCT/US00/24717 9/7/2000 M-8532 WO Patent Cooperation Treaty Title Content Distribution Method and Apparatus M-8532-1P WO PCT/US01/02112 Patent Cooperation Treaty 1/18/2001 Title Flexible Content Distribution Method And Apparatus M-8726 WO PCT/US01/30580 Patent Cooperation Treaty 9/28/2001 Continuous Flexible Connection Method For Miniature Optical Head Title * M-8745-1P WO PCT/US02/08562 Patent Cooperation Treaty 3/19/2002 Title Tilt Focus Method And Mechanism For An Optical Drive M-8730 WO PCT/US01/50234 Patent Cooperation Treaty 12/19/2001 Title Disk Hub Centering Method M-11969 WO PCT/US02/08556 Patent Cooperation Treaty 3/19/2002 Disk Drive Actuator And Method Of Making Same Title M-9998-1P WO PCT/US02/00824 Patent Cooperation Treaty 1/9/2002 Title Optical Data Storage Media With Enhanced Contrast Patent Cooperation Treaty 8/26/2002 M-9793 WO PCT/US02/27303 Title A Secure Access Method And System Patent Cooperation Treaty 1/9/2002 M-9998 WO PCT/US02/00828 First-Side Dual-Layer Optical Data Storage Disk And Method Of Manufacturing The Same Title 5/15/2002 PCT/US02/15704 Patent Cooperation Treaty M-11585 WO Title Error Correction Code Block Format 9/19/2001 Patent Cooperation Treaty M-9803 WO PCT/US01/42250 Method And Apparatus For Compensating Optical Disks For Effects Of Thin Films Title M-11096 WO PCT/US02/01841 Patent Cooperation Treaty 1/18/2002 Title Spin-Motor Control In An Optical Drive M-9848 WO PCT/US02/11708 Patent Cooperation Treaty 5/1/2001 Optical Pickup Unit Assembly Process Title Patent Cooperation Treaty 7/26/2002 M-11533 WO PCT/US02/23837 Disk Carrier Title Patent Cooperation Treaty 5/29/2001 M-8381 WO PCT/US01/17494 Defect Management System For Write-Once Storage Disk Title PCT/US01/17493 Patent Cooperation Treaty 5/29/2001 M-8377 WO Method And Apparatus For Emulating Read/Write File System On A Write-Once Data Storage Disk Title 5/30/2001 M-8378 WO PCT/US01/17621 Patent Cooperation Treaty Format For Recording Data On A Storage Disk Title

PCT/US01/27036

Double-Sided Digital Optical Disk And Method And Apparatus For Making



M-9802 WO Title

{W07347**77** JLC}Init:

PATENT ** REEL: 027396 FRAME: 0024

8/29/2001

Patent Cooperation Treaty



M-8374 EP 01926462.1 European Patent Convention 3/28/2001 Title File System Management Embedded In A Storage Device M-8534 EP 00932592.9 European Patent Convention 5/18/2000 Title Removable Optical Storage Device And System M-8380 TW 90109331 Taiwan 4/18/2001 Title Power Management for Optical Drives M-8379 TW 90107265163246 Taiwan 9/11/2002 Title Structure And Method For Storing Data On Optical Disks M-8532-1P TW 90101187163133 Taiwan 9/10/2002 Flexible Content Distribution Method And Apparatus Title M-8532 CA 9/7/2000 2,384,680 Canada: Title Content Distribution Method And Apparatus M-8531 CA 2,374,173 Canada 6/22/2000 Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance Title M-8532-1P CA 2,397,777 Canada 1/18/2001 Title Flexible Content Distribution Method And Apparatus 12/14/2001 M-8531 SG 200107743-7 Singapore Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance Title M-9998-2P SG Awaiting Receipt Singapore 1/10/2003 A Double-Sided Hybrid Optical Disk With Surface Topology Title China P.R. M-9998-2P CN Awaiting Receipt Title A Double-Sided Hybrid Optical Disk With Surface Topology M-8531 CN 00809435.7 China P.R. 6/22/2000 Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance Title M-8532-1P CN 01805910.4 China P.R. 1/18/2001 Flexible Content Distribution Method And Apparatus Title China P.R. 9/7/2000 M-8532 CN 00814433.8 Title Content Distribution Method And Apparatus M-8375 TW 90107566 Taiwan 5/29/2001 Asynchronous Input/Output Interface Protocol Title M-8746 TW 90108476 Taiwan 6/14/2001 Low Profile and Medium Protecting Cartridge Assembly Title M-8378 TW Taiwan 8/24/2001 90112821 Title Format for Recording Data on a Storage Disk



M-8374 TW

{W0734777 JLC}Init:

Title

PATENT REEL: 027396 FRAME: 0025

5/29/2001

Taiwan

90107565

File System Management Embedded In A Storage Device



M-8778-1P	TW Magnetic Hub Assembly	90109690	Talwan	4/28/2000
11116	Wagnetic Hub Assembly	TO Data Storage Disk		
M-8746-1P <i>Title</i>	TW Low Profile Cartridge Fo	90108699 or Data Storage Disk	Taiwan	4/11/2001
M-8535-1P <i>Title</i>	TW Low Profile Optical Head	89125819 1	Taiwan	3/31/2000
M-8377 TW	•	90112823	Taiwan	5/30/2000
Title	Method And Apparatus I	For Emulating Read/Write File System On A Write	-Once Data Storage	Disk
M-9793 TW Title	A Secure Access Metho	91119311 d And System	Taiwan	8/26/2002

M-9804 TW <i>Title</i>		90122393	Tajwan	9/20/2000
1 ute	MICFO Lens And Meinod	And Apparatus For Fabricating		
M-8778 TW Title	Miniature Optical Disk Fo	901096989 or Data Storage	Taiwan	4/28/2000
M-11533 TV	/ Disk Carrier	91116633	Talwan	7/31/2001
M-9998-1P Title	rW Optical Data Media With	91100211 Enhanced Contrast	Taiwan	1/16/2001
M-11681 TV Title	/ Optical Disk Drive Actuat	91119979 or Assembly	Taiwan	9/4/2001
M-9802 TW Title		90121232 cal Disk And Method And Apparatus For Making	Taiwan	8/31/2000
M-9803 TW Title		90123255 or Compensating Optical Disks For Effects Of Thir	. Taiwan n Films	9/20/2001
M-9115 TW	*	91101100 g Servo System With Multi-Zone Calibration	Taiwan	1/25/2001
M-11096 TW	Spin Motor Control In An	91100909 Optical Drive	Taiwan	1/25/2001
M-11098 TW		91100910 Controlling An Optical Disc Drive	Taiwan	1/25/2001
M-9848 TW	•	91107661	Taìwan	5/1/2001
M-9998 TW Title		91100212 cal Data Storage Disk And Method Of Manufactur	Taiwan ing The Same	1/16/2001
M-11478 TW Title		01108600 In Optical Pickup Unit Assembly	Taiwan	5/1/2001



(W0734777 JLC)Init:

PATENT

REEL: 027396 FRAME: 0026

M-9998-2P TW

Title A

91109394

Talwan

5/11/2001

A Double Sided Hybrid Optical Disk With Surface Topology

M-8376 TW

90112447163260

Taiwan

5/30/2000

Title

Method Of Decrypting Data Stored On A Storage Device Using An Embedded Encryption/Decryption Means

M-9998-2P KR

7000443/2003

South Korea

1/11/2003

Title

A Double-Sided Hybrid Optical Disk With Surface Topology

M-8374 KR

7012836/2002

South Korea

3/28/2001

Title

File System Management Embedded In A Storage Device

M-8535-1P KR

7009926/2003

South Korea

12/5/2000

Title

Low Profile Optical Head

M-1/1628 TW

Awaiting Receipt

Taiwan

Title

Use Of Mother Stamper For Optical Disk Molding

M-8382 TW

90107967

Taiwan

4/3/2000

Title

Digital Rights Management Within An Embedded Storage Device

M-8531 TW

89112417

Taiwan

6/23/1999

Title

Combination Mastered And Writeable Medium And Use in Electronic Book Internet Appliance

M-8532 TW

89118490156979

Taiwan

9/10/1999

Title

Content Distribution Method And Apparatus

M-8534 TW

89109720

Taiwan

3/21/2000

Title

First Surface Optical Data Storage Disk Containing Phase Change Recording Layer and Housed in Cartridge

M-8536 EP

00961729.1

European Patent Convention 9/7/2000

Title

Writeable Medium Access Control Using A Medium Writeable Area

M-8535-1P EP

00982448.3

European Patent Convention 12/5/2000

Title

Low Profile Optical Head

"M-8532-1P EP

01904989.9

European Patent Convention 1/18/2001

Title

Flexible Content Distribution Method And Apparatus

M-8531 EP

00943104.0

European Patent Convention 6/22/2000

Title

Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance

M-8532 EP

00961702.8

European Patent Convention 9/7/2000

Title

Content Distribution Method And Apparatus

M-8374 JP

Title 🦽

File System Management Embedded In A Storage Device

M-8532 JP

2001-522467

Japan

Japan

9/7/2000

Title

Content Distribution Method And Apparatus

M-8532-1P JP

2001-553301

Japan

1/18/2001

Title

Flexible Content Distribution Method And Apparatus

PATENT REEL: 027396 FRAME: 0027

{W0734777 JLC}Init:

<--<; M-8534 JP 2000-620625 5/18/2000 Japan Title Removable Optical Storage Device And System M-8531 JP 2001-505008 6/22/2000 Japan Title Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance M-8535-1P JP 2001-543729 12/5/2000 Japan Title Low Profile Optical Head M-8535-1P AU 19475/01 Australia 12/5/2000 Title Low Profile Optical Head M-8532 AU 73620/00 Australia 9/7/2000 Title. Content Distribution Method and Apparatus M-8536 AU 73644/00 Australia 9/7/2000 Title Writeable Medium Access Control Using a Medium Writeable Area M-8531 AU 57622/00 Australia 6/22/2000 Title Combination Mastered and Writeable Medium and Use in Electronic Book Internet Appliance M-8532-1P AU 32913/01 Australia 1/18/2001 Title Flexible Content Distribution Method and Apparatus M-8534 AU 50290/00 5/18/2000 Australia Removable Optical Storage Device and System Title M-8532 SG 200201325-8 Singapore 9/7/2000 Title Content Distribution Method And Apparatus M-8534 SG 200107137-2 Singapore 5/18/2000 Title Removable Optical Storage Device And System M-8534 MX PA/A/2001/011917 5/18/2000 Mexico Removable Optical Storage Device And System Title M-8531 MX PA/A/2002/000121 Mexico 6/22/2000 Title. Combination Mastered And Writeable Medium And Use In Electronic Book Internet Appliance M-8383 TW 90107779 3/30/2001 Taiwan Title System And Method For Aligning Components Of Optical Head M-9802 EP Awaiting Receipt 08/29/2001 Europe Title Double-Sided Digital Optical Disk And Method And Apparatus For Making M-12013 WO PCT **Awaiting Receipt** 02/26/2003 Title **Dual Density Disc With Associated Properties** M-12013 TW **Awaiting Receipt** Taiwan 02/26/2002 Title **Dual Density Disc With Associated Properties** M-8535-2P WO PCT/US02/00875 PCT 01/09/2002



Title

(W0734777 JLC) Init:

PATENT REEL: 027396 FRAME: 0028

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Beamshaper For Optical Head

M-8746-1P WO

PCT/US02/08562

PCT

03/19/2002

Title

Low Profile And Medium Protecting Cartridge Assembly

ATTACHMENT C



Disclosures

DocketNumber

Status r

Country

M-11534 US

DISCLOSED

United States

Title

Method For Creating, Or "Premastering" The File System For A DataPlay Disc Using A Computer

M-11949 US

DISCLOSED

United States

Title

Track Crossing Direction Determination a

M-11627 US

DISCLOSED

United States

Title

Quad Film Structure For First Surface Optical Medium

M-11950 US

DISCLOSED

United States

Tîtle

Eject Limiter Lever

PATENT REEL: 027396 FRAME: 0030

RECORDED:: 12/15/2011 __