

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1676.060US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John J. Savarese

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: November 30, 2011

2. Name and address of receiving party(ies):

Name: Cornell University

Street Address: 395 Pine Tree Road, Suite 310

City: Ithaca State: NY Zip: 14850Country: United States of AmericaAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 13/257,214, filed September 16, 2011

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Geoffrey K. Cooper

Address:

Schwegman, Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, MN 55402-0938

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

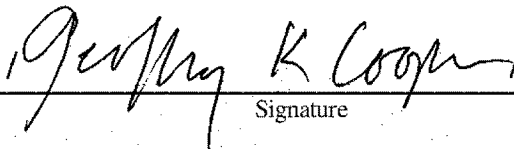
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Geoffrey K. Cooper/Reg. No. 51,266

Name of Person Signing



Signature

December 13, 2011

Date

Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT

REEL: 027407 FRAME: 0435

700476750

CH \$40.00 190743 13257214

Attorney Docket No. 1676.060US1
Client Ref. No. 4398-03-US.

ASSIGNMENT

WHEREAS, I, **John J. Savarese**, a citizen of the United States of America, residing at 71 Glen Lane, Southbury, CT 06488, have invented certain new and useful improvements in REVERSIBLE NONDEPOLARIZING NEUROMUSCULAR BLOCKADE AGENTS AND METHODS FOR THEIR USE; for which an application for Letters Patent in the United States was filed as U.S. Serial No. **13/257,214** on **September 16, 2011**;

AND WHEREAS, **Cornell University**, with its principal place of business at **Cornell Center for Technology, Enterprise & Commercialization ("CCTEC")**, **395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA**, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

ASSIGNMENT

Client Ref. No. 4398-03-US.

Assignors: John J. Savarese

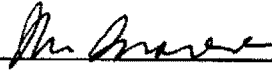
Title: REVERSIBLE NONDEPOLARIZING NEUROMUSCULAR BLOCKADE AGENTS AND METHODS FOR THEIR USE

Page 2 of 2

Docket No: 1676.060US1

Serial Number: 13/257,214

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

11/30, 20 11.

John J. Savarese

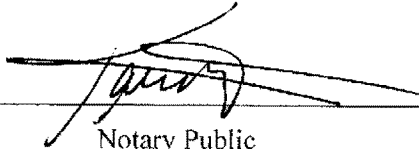
STATE OF New York)

)ss

COUNTY OF New York)

On this 30 day of November, 20 11 before me personally appeared John J. Savarese, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

KARINA C RUSTIA
Notary Public, State of New York
No. 01RU6245026
Qualified in New York County
Commission Expires July 16, 2015


Notary Public