

Docket No.: 52,627 (SSC-10-7)

FORM PTO-1595 (Modified)  
(Rev. 03-09)  
OMB No. 0651-0027 (exp. 3/31/2009)  
P09A/REV06

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies):

Richard Vicari  
Bret F. Hann

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s): 12/8/11 &amp; 12/8/11, respectively

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

## 2. Name and address of receiving party(ies):

Name: Sekisui Specialty Chemicals America, LLC

Address: 1603 West LBJ Freeway

City: Dallas

State/Prov.: Texas

Country: USA ZIP: 75234-6034

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent numbers(s):

A. Patent Application No. (s)

USSN 13/328,338 filed December 16, 2011

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael W. Ferrell, Esq.

Registration No.: 31,158

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P.O. Box 312

City: Clifton

State/Prov.: Virginia

Country: USA ZIP: 20124-1706

Phone Number: 703-968-8600

Fax Number: 703-968-5500

Email Address: mike@ferrellaw.com

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 50-0935

Authorized User Name Michael W. Ferrell

## 9. Signature:



Signature

December 20, 2011

Date

Michael W. Ferrell

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 4

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22304-1450

PATENT

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Docket No. 52,627 (SSC-10-7)U.S. Serial No. 13/328,338Filing Date December 16, 2011**ASSIGNMENT****WHEREAS, UNDERSIGNED, Richard Vicari and Bret F. Hann****citizen(s) of the United States, respectively****residing at 2507 Sunny Shores Drive, Pearland Texas 88594 and 13419 Voelcker Ranch Drive, San Antonio, Texas 78231, in the United States, respectively****has/have invented certain new and useful improvements (INVENTION) disclosed, shown, and described in an APPLICATION for Letters Patent of the United States entitled****Process for Forming Polyvinyl Alcohol With Improved Aqueous Solubility****Docket No. 52,627 (SSC-10-7), said APPLICATION filed December 16, 2011, and****WHEREAS, Sekisui Specialty Chemicals America, LLC, a corporation organized under the laws of the State of Delaware, USA, having an office at 1603 West LBJ Freeway, Dallas, Texas 75234-6034, USA (ASSIGNEE) is desirous of acquiring the entire right, title and interest in and to the INVENTION, in this and any foreign country, and in and to any Letters Patent of the United States or any foreign country which may be granted embracing the INVENTION.**

**NOW THEREFORE, be it known that for good and valuable consideration, the receipt of which from ASSIGNEE is hereby acknowledged, We, as ASSIGNORS, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the ASSIGNEE, its lawful successors and assigns, our entire right, title, and interest in and to this invention, Provisional Application No. 61/459,979, filed December 22, 2010, and this application, U.S. Serial No. 13/328,338, filed December 16, 2011, (collectively referred to as "said applications") and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of said applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by UNDERSIGNED had this assignment and sale not been made, in accordance with the terms of this Assignment.**

**Page 1 of 3**

IN WITNESS WHEREOF this assignment has been executed by UNDERSIGNED on the date opposite her/his (their) name.

Date 12/07/11 Richard Vicari (LS)  
Richard Vicari

Date 12-08-11 Bret F. Hann (LS)  
Bret F. Hann

Date \_\_\_\_\_ (LS)

Date \_\_\_\_\_ (LS)

Date \_\_\_\_\_ (LS)

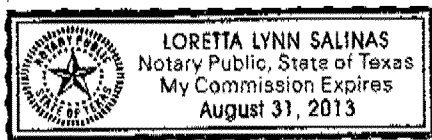
State of Texas )  
County of Harris ) ss

On December 8, 2011, before me a Notary Public in and for the County and State aforesaid, appeared

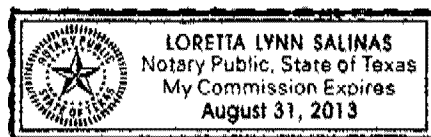
RICHARD VICARI

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that s(he) (they) executed said Instrument as her/his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Loretta Lynn Salinas  
Notary Public



State of Texas )  
 ) ss  
County of Harris )


On December 8, 2011, before me a Notary Public in and for the County and State aforesaid, appeared

BRET F. HANN

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that s(he) (they) executed said instrument as her/his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Loretta Lynn Salinas  
Notary Public

CERTIFICATE OF MAILING BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s): <i>Richard Vicari et al.</i>			52,627 (SSC-10-7)
U.S. Serial No. 13/328,338	Filing Date December 16, 2011	Examiner UNKNOWN	Group Art Unit 1722
Invention: PROCESS FOR FORMING POLYVINYL ALCOHOL WITH IMPROVED AQUEOUS SOLUBILITY			
<p>I hereby certify that this <i>Recordation Cover Sheet and executed Assignment</i> is being facsimiled to 571-273-0140 and addressed to Mail Stop Assignment Recordation Services, Director – United States Patent &amp; Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450, on December 20, 2011.</p> <p style="text-align: center;"><u>Carol R. Maddaloni</u> (Typed or Printed Name of Person Faxing Correspondence)</p> <p style="text-align: center;"> (Signature of Person Faxing Correspondence)</p> <p style="text-align: center;">Note: Each paper must have its own Certificate of Mailing.</p>			