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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



HEET

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To the Director of the U.S. Patent and Trademark Office, please record the attached documents or the new address(es) below.

MR0 10/17/11

1. Name of conveying party(ies)

John P. LaCava & Michael P. Rout

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: The Rockefeller University

Internal Address:

Street Address: 1230 York Avenue

City: New York

State: NY

Country: US Zip: 10065

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 9/27/2011

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13199811

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard P. Silverman & Assoc., LLC

Internal Address:

Street Address: 3116 Weddington Road, Suite 900 172

City: Matthews

State: NC Zip: 28105

Phone Number: (704) 849-0026

Fax Number: (704) 849-0046

Email Address:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- Authorized to be charged to deposit account
- Enclosed Credit Card Form 2038
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number

Authorized Person Name 00000002 13199811

01 EC:0021

40 00 00

9. Signature:

Richard P. Silverman
Signature

2011-12-01
Date

Richard P. Silverman

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, Michael P. Rout ("ASSIGNOR") having an address at 1230 York Avenue, New York, NY, U.S., have rights in the invention(s) ("THE INVENTION(S)") disclosed in:

US 13/199,811 entitled "APPARATUS AND METHOD FOR PARALLEL COLLECTION AND ANALYSIS OF THE PROTEOME AND COMPLEX COMPOSITIONS" filed September 9, 2011;

AND WHEREAS, THE ROCKEFELLER UNIVERSITY, ("ASSIGNEE"), a New York non-profit education corporation doing business at 1230 York Avenue, New York, NY 10065, desires to acquire the entire right, title, and interest in and to THE INVENTION(S) and in and to the Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) in hand and/or other good and valuable consideration, the receipt of which is hereby acknowledged, **Michael P. Rout** have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to THE INVENTION(S) and the Patent and all patents and patent applications claiming priority to and/or benefit of the Patent including divisions, continuations, and continuations-in-part thereof and all reissues, reexaminations, and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for THE INVENTION(S) in any foreign country/countries, and all patents (including all extensions, renewals and reissues thereof) granted for THE INVENTION(S) and the Patent in any foreign country/countries;

AND Michael P. Rout HEREBY authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue the patent on an application as aforesaid, to issue the patent for THE INVENTION(S) and/or the Patent in the name of ASSIGNEE in accordance with the terms of this assignment;

AND Michael P. Rout HEREBY covenant that **Michael P. Rout** have full right to convey the entire interest herein assigned, and that **Michael P. Rout** have not executed, and will not execute, any agreement in conflict herewith;

AND Michael P. Rout HEREBY further covenant and agree that **Michael P. Rout** will communicate to ASSIGNEE any facts known to me respecting THE INVENTION(S) and the Patent, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, reexamination, and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for THE INVENTION(S) and the Patent.

In testimony whereof, I hereunto set my hand this 27 day of Sept, 2011.


Michael P. Rout

ASSIGNMENT

WHEREAS, John P. LaCava ("ASSIGNOR") having an address at 1230 York Avenue, New York, NY, U.S., have rights in the invention(s) ("THE INVENTION(S)") disclosed in:

US 13/199,811 entitled "APPARATUS AND METHOD FOR PARALLEL COLLECTION AND ANALYSIS OF THE PROTEOME AND COMPLEX COMPOSITIONS" filed September 9, 2011;

AND WHEREAS, THE ROCKEFELLER UNIVERSITY, ("ASSIGNEE"), a New York non-profit education corporation doing business at 1230 York Avenue, New York, NY 10065, desires to acquire the entire right, title, and interest in and to THE INVENTION(S) and in and to the Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) in hand and/or other good and valuable consideration, the receipt of which is hereby acknowledged, **John P. LaCava** have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to THE INVENTION(S) and the Patent and all patents and patent applications claiming priority to and/or benefit of the Patent including divisions, continuations, and continuations-in-part thereof and all reissues, reexaminations, and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for THE INVENTION(S) in any foreign country/countries, and all patents (including all extensions, renewals and reissues thereof) granted for THE INVENTION(S) and the Patent in any foreign country/countries;

AND John P. LaCava HEREBY authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue the patent on an application as aforesaid, to issue the patent for THE INVENTION(S) and/or the Patent in the name of ASSIGNEE in accordance with the terms of this assignment;

AND John P. LaCava HEREBY covenant that **John P. LaCava** have full right to convey the entire interest herein assigned, and that **John P. LaCava** have not executed, and will not execute, any agreement in conflict herewith;

AND John P. LaCava HEREBY further covenant and agree that **John P. LaCava** will communicate to ASSIGNEE any facts known to me respecting THE INVENTION(S) and the Patent, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, reexamination, and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for THE INVENTION(S) and the Patent.

In testimony whereof, I hereunto set my hand this 27 day of Sept, 2011.



John P. LaCava

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