### 501783426 01/11/2012

## PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
LAWRENCE C BLOUNT	01/05/2012
RAHUL FISKE	10/19/2011
CARL E JONES	01/05/2012
SUBHOJIT ROY	12/14/2011

## **RECEIVING PARTY DATA**

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13348395

## **CORRESPONDENCE DATA**

Fax Number: (520)760-6651 5207606629 Phone:

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Address Line 4: TUCSON, ARIZONA 85744

ATTORNEY DOCKET NUMBER:	TUC920110157US1
NAME OF SUBMITTER:	JOHN H HOLCOMBE

REEL: 027518 FRAME: 0253

**PATENT** 

# Total Attachments: 12 source=TUC920110157US1\_FileAssign#page1.tif source=TUC920110157US1\_FileAssign#page2.tif source=TUC920110157US1\_FileAssign#page3.tif source=TUC920110157US1\_FileAssign#page4.tif source=TUC920110157US1\_FileAssign#page5.tif source=TUC920110157US1\_FileAssign#page6.tif source=TUC920110157US1\_FileAssign#page7.tif source=TUC920110157US1\_FileAssign#page8.tif source=TUC920110157US1\_FileAssign#page9.tif source=TUC920110157US1\_FileAssign#page10.tif source=TUC920110157US1\_FileAssign#page11.tif source=TUC920110157US1\_FileAssign#page12.tif

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DOCKET: TUC920110157US1

# RECORDATION FORM COVER SHEET PATENTS ONLY

US Department of Commerce Patent & Trademark Office

	thereof.	s: Please record the attached original documents of
		*
1.	Name of conveying party(ies):	* 2. Name and address of receiving party(ies)
	LAWRENCE C. BLOUNT RAHUL FISKE CARL E. JONES SUBHOJIT ROY	* Name: International Business * Machines Corporation *
		* Street Address: New Orchard Road * City: Armonk State: NY ZIP: 10504 *
	Additional name(s) of conveying party(ies) attachedYes XX No	* Additional name(s) & address(es) attached? * Yes XX No
3.	Nature of conveyance:	
	XX Assignment Execution Date:	01/05/2012; 10/19/2011; 01/05/2012; 12/14/2011
4. If of	Application number(s) or patent number this document is being filed together the application is:	mber(s): ner with a new application, the execution date
	7) D-1 7	
	A. Patent Application No.(s)  13/348,395	* B. Patent No.(s) *
	Additional numbers attached?	
	13/348,395	*Yes XX No  * 6. Total Number of applications and patents involved: One *
······································	Additional numbers attached?  Name and address of party to whom correspondence concerning document	*  Yes XX No   * 6. Total Number of applications and patents involved: One  *  * 7. Total fee (37 CFR 3.41)
•	Additional numbers attached?  Name and address of party to whom correspondence concerning document should be mailed:  Name: Robert M. Sullivan Address: IBM Corporation Intellectual Property Law 9000 S. Rita Road(90A/9032 Tucson, Arizona 85744	*  Yes XX No   *  6. Total Number of applications and patents involved: One  *  7. Total fee (37 CFR 3.41)
•	Additional numbers attached?  Name and address of party to whom correspondence concerning document should be mailed:  Name: Robert M. Sullivan Address: IBM Corporation Intellectual Property Law 9000 S. Rita Road(90A/9032 Tucson, Arizona 85744  Statement and signature.	*  Yes XX No   * 6. Total Number of applications and patents involved: One  *  * 7. Total fee (37 CFR 3.41)

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PATENT REEL: 027518 FRAME: 0255

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US Department of Commerce Patent & Trademark Office

John H. Holcombe

	ne Honorable Commissioner for Patent: thereof.	s:	Please record the attached original documents
1.	Name of conveying party(ies):	* *	
	LAWRENCE C. BLOUNT RAHUL FISKE CARL E. JONES SUBHOJIT ROY	* * * *	Machines Corporation
		*	
	Additional name(s) of conveying party(ies) attachedYes XX No	*	riddictional name (b) a dadress (cs) accasing
3.	Nature of conveyance:  XX Assignment Execution Date:	01/	/05/2012; 10/19/2011; 01/05/2012; 12/14/2011
	Application number(s) or patent number this document is being filed together the application is:  A. Patent Application No.(s)  13/348,395	her	with a new application, the execution date  B. Patent No.(s)
	Additional numbers attached?		Yes <b>XX</b> No
5.	Name and address of party to whom correspondence concerning document should be mailed:	* * *—	involved: One
	Name: Robert M. Sullivan Address: IBM Corporation Intellectual Property Law 9000 S. Rita Road(90A/9032 Tucson, Arizona 85744		7. 10041 100 (01 0210 01 11 ) 100 100 1
gang-anyungan dan baran ba		*	8. Deposit Account Number: 09-0449
9.	Statement and signature.  To the best of my knowledge and bell correct and any attached conv is a		f, the foregoing information is true and

Total number of pages including cover sheet, attachments, and document: /-12-/

IBM DOCKET NUMBER: TUC920110157US1

## **ASSIGNMENT**

Whereas, the undersigned Inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") in:

Title of the Invention: DATA STORAGE BACKUP WITH LESSENED CACHE POLLUTION

and further identified by the IBM Docket Number provided above in the header of this Assignment, for which an application for a United States Patent was executed concurrently herewith or was filed having:

Serial Number: 13/348,395 (insert series code and serial number here if/when available)

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned Inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned Inventor ("ASSIGNOR") hereby sells, assigns, and otherwise transfers to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned Inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned Inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Each undersigned Inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned Inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent application Serial Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

[Inventor Signature Pages Follow]

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LAWRENCE C. BLOUNT

Date: JAN 5, 20/2

	IBM DOCKET NUMBER: TUC920110157US)
	Executed by Inventor 2 of 4_
Signature:	Date:
RAHUL F	KE

Executed by Inventor 3 of	4
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Date: 5 Jan 20/2

## IBM DOCKET NUMBER: TUC920110157US1

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Signature:	# Barris demonstration	Date:	- the second section of the second se	
	SUBHOJIT ROY			

Page 5 of 5

IBM DOCKET NUMBER: TUC920110157US1

## ASSIGNMENT

Whereas, the undersigned Inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") in:

Title of the Invention: DATA STORAGE BACKUP WITH LESSENED CACHE POLLUTION

and further identified by the IBM Docket Number provided above in the header of this Assignment. for which an application for a United States Patent was executed concurrently herewith or was filed having:

Serial Number: 13/348,395 (insert series code and serial number here if/when available)

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned Inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned Inventor ("ASSIGNOR") hereby sells, assigns, and otherwise transfers to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned Inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned Inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Each undersigned Inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned Inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent application Serial Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

[Inventor Signature Pages Follow]

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Signature:		Date:	
LAWRI	ENCE C. BLOUNT		

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Signature: RAHUL FISKE

Date: 19/14/2011

		IBM DOCKET NU	MBER: 10C920110157051
	Executed by Inver	ntor 3 of 4	
Signature:		Date:	
CARL	. JONES		

Page 4 of 5

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Signature:	SUBHOJIT ROY	Roy	Date: _	14 December,	2011

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**RECORDED: 01/11/2012**