

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

MR957-2355

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

SUN AND YOUNG SUNGLASSES CO., LTD.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 7/20/2011

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.

Internal Address: _____

Street Address: NO. 113, TZU CHIANG RD., YUNG KANG DIST.

City: TAINAN

State: _____

Country: TAIWAN R.O.C. Zip 710

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

7,946,705

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Morton J. Rosenberg

Internal Address: Rosenberg, Klein & Lee

Street Address: 3458 Ellicott Center Drive, Suite 101

City: Ellicott City

State: MD Zip 21043

Phone Number: 410-465-6678

Fax Number: 410-461-3067

Email Address: _____

6. Total number of applications and patents involved: 1

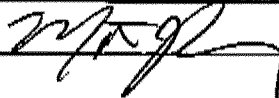
7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-5298

Authorized User Name _____

9. Signature:


Signature

2/3/2012

Date

Morton J. Rosenberg
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Customer No. 04586

PATENT

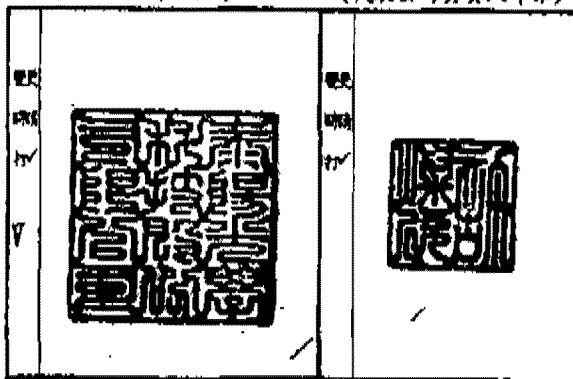
REEL: 027675 FRAME: 0629

700479628

CH \$40.00 505298 794670

(公司印章)

(代表公司負責人印章)



股份有限公司變更登記表

變更預查編號 1 0 0 0 4 2 7 2 2
 公司統一編號 6 9 8 2 8 4 4 0
 公司聯絡電話 (06) - 2 3 2 3 1 0 8
 僑外投資事業 ☐ 是 ☒ 否 公開發行 ☐ 是 ☒ 否
 陸資 ☐ 是 ☒ 否
 原名稱 泰陽眼鏡 股份有限公司

印章請用油性印泥蓋章,並勿超出框格。

V 一、公司名稱(變更後)	泰陽光學科技 股份有限公司		
二、(郵遞區號)公司所在地 (含縣市區村里)	(710) 台南市永康區東橋里自強路113號2樓		
三、代表公司負責人	許燦欣	四、每股金額(阿拉伯數字)	10,000 元
五、資本總額(阿拉伯數字)	20,000,000 元		
六、實收資本總額(阿拉伯數字)	20,000,000 元		
七、股份總數	2,000 股	八、已發行股份總數	1. 普通股 2,000 股 2. 特別股 0 股
九、認股權證可認購股份數額	0 股	十、公司債可轉換股份股數	0 股
十一、董事人數任期	3 人 自 100 年 03 月 25 日 至 103 年 03 月 24 日 (含獨立董事 0 人)		
十二、 ☒ 監察人人數任期 或 ☐ 審計委員會	1 人 自 100 年 03 月 25 日 至 103 年 03 月 24 日 本公司設置審計委員會由全體獨立董事組成替代監察人		
V 十三、公司章程修正(訂定)日期	100 年 07 月 11 日		

※變更登記
日期文號

100.7.20 經授中字第 10032284600 號

※
檢號

公務記載蓋章欄



- (一)申請長一式二份,於核辦後一份存核辦單位,一份送還申請公司收執。
 (二)為配合電腦作業,請打字或電腦以黑色判印填寫清楚,數字部份請採用阿拉伯數字,並請勿折疊、挖補、浮貼或塗改。
 (三)※各國如變更登記日期文號、權號等,申請人請勿填寫。
 (四)違反公司法代作實收資本不實,公司負責人最高可處五年以下有期徒刑。
 (五)為配合郵政作業,請於所在地加填郵遞區號。
 (六)第十二欄依公司章程內容,於「監察人人數任期」前註記,並填寫人數任期;或於「審計委員會」前註記,監察人之人數任期免填。

第 1302-1 網
PATENT

REEL: 027675 FRAME: 0630

泰陽光學科技

股份有限公司變更登記表

註：1. 欄位不足請自行複製，未使用之欄位可自行刪除，若本頁不足使用，請複製全頁後自行增減欄位。
2. 有、無網頁，請於頁尾勾選一項，並請勿刪除。

十四、本次股本增加明細 (股本若為9、10、11、12之併購者，請加填第十六欄)	1. 現金	0 元	2. 現金以外財產	0 元
	3. 債權抵繳股款	0 元	4. 公積	0 元
	5. 股息及紅利	0 元	6. 公司債轉換股份	0 元
	7. 認股權憑證轉換股份	0 元		
	8. 股份交換	0 元	9. 合併	0 元
	10. 分割	0 元	11. 股份轉換	0 元
	12. 收購	0 元		元
	十五、本次股本減少明細			
1. 彌補虧損	0 元	2. 退還股款	0 元	
3. 註銷庫藏股	0 元	4. 合併銷除股份	0 元	
5. 分割減資	0 元		元	
十六、被併購公司資料明細				
併購種類	併購基準日	被併購公司		
		統一編號	公司名稱	

所 營 事 業				
編號	代 碼	營 業 項 目 說 明		
Y 1	C E 0 1 0 3 0	光學儀器製造業。		
Y 2	F 1 1 0 0 2 0	眼鏡批發業。		
Y 3	F 2 1 0 0 2 0	眼鏡零售業。		
Y 4	F 1 0 6 0 2 0	日常用品批發業。		
Y 5	F 1 0 6 0 3 0	模具批發業。		
Y 6	F 4 0 1 0 1 0	國際貿易業。		
Y 7	Z Z 9 9 9 9 9	除許可業務外，得經營法令非禁止或限制之業務。		

董 事、監 察 人 或 其 他 負 責 人 名 單				
編號	職 稱	姓名(或法人名稱)	身分證號(或法人統一編號)	持有股份
1	董事長	許燦欣	D 1 2 0 1 1 4 7 5 0	1,575
		(710) 台南市永康區東橋里自強路113號		
2	董事	許力云	D 2 2 2 2 5 6 8 2 4	125

有續頁請打 ☒ V無續頁請打 ☐

公務記載蓋章欄



共 3 頁第 3 頁

泰陽光學科技**股份有限公司變更登記表**

註:1.欄位不足請自行複製,未使用之欄位可自行刪除,若本頁不足使用,請複製全頁後自行增減欄位。

2.有、無續頁,請於頁尾勾選一項,並請勿刪除。

	(701)台南市東區南聖里裕農二街6號			
3	董事	徐政鈴	D 2 2 1 2 5 3 4 4 5	25
	(701)台南市東區崇善里20鄰崇善十一街12巷10號5樓			
4	監察人	許淳喻	D 2 2 2 1 4 2 7 3 6	175
	(701)台南市東區南聖里裕農二街6號			

有續頁請打 ☐無續頁請打 ☒ V

公務記載蓋章欄

PATENT

REEL: 027675 FRAME: 0632

(Seal of Company)		(Seal of Company's President)	
Check when changed V	SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.	Check when changed V	Chan-Hsin Hsu

Incorporation Change Registration Form

Pre-Checking No	100042722		
Unified Business No	69828440		
Company Tel No	06-2323108		
Foreign investment business	☐ Yes	☒ V No	Publication ☐ Yes ☒ V No
Chinese Investment	☐ Yes	☒ V No	
Original name	SUN AND YOUNG SUNGLASSES CO., LTD.		

Please use oil-based ink when affixing seal and keep seal within the borders of the box

1. Company Name (After changed)	SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.		
2. Add. of Company (put zip code in bracketed area)	(710) NO. 113, TZU CHIANG RD., YUNG KANG DIST., TAINAN, TAIWAN, R.O.C.		
3. Seal of Company's President	Chan-Hsin Hsu	4. Per share value (in Arabic numerals)	NT\$ 10,000
5. Capitalization of Company (in Arabic numerals)	NT\$ 20,000,000		
6. Actual Capitalization of Company (in Arabic numerals)	NT\$ 20,000,000		
7. Total Number of Shares	2,000	8. Total Number of Issued Shares	1. Ordinary Share 2,000 2. Preferred Share 0
9. Number of shares which can be subscribed according to stock option certificates	0	10. Number of shares for convertible bonds	0
11. Number and Term of Directors	3 persons (independent directors. From 25 MAR 2011 to 24 MAR. 2014 0 persons)		
12. ☒ Number and term of directors or ☐ Audit Committee	1 persons From 25 MAR 2011 to 24 MAR 2014 The company set up audit committee which consists of all independent directors instead of supervisors.		
13. Correction (set) Date for Articles of Incorporation	11 JUL. 2011		

※ Date and document number
for approval of registration

20 JUL 2011 Official Approval No. 10032284600

※ File number

Sealed Column of Public Records

Office of Ministry of Economic Affairs in Central Taiwan
20 JUL. 2011 & 21 DEC 2011
Seal of Company's Registration Sheet

- Application shall be made in duplicate. After processing, one copy will be retained by the processing unit and the other shall be issued to the applicant.
- Please use type or use computer printout to complete this form. Use Arabic numerals. Do not fold, erase, label or alter this form.
- Leave the boxes marked with sign ※ in blank, e.g. date and document number for approval of registration or file number.
- The president of the company can be subject to a maximum sentence of imprisonment for up to 5 years, if the capitalization stated above is not true and violates the company laws.
- Please fill in the zip code in order to comply with postal operations.
- Please mark ☒ before "Number and term of directors" and fill in column 12, or mark ☐ before "Audit Committee" and it is unnecessary to fill in this column according to the content of articles of incorporation.

Change Registration Form for SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.

Note: 1. If the space provided is insufficient, you may copy and attach sections of this form, and delete unnecessary sections to make space. You can also attach a separate page for additional information.

2. If you are including additional pages, please indicate so by checking the appropriate box at the end of this page.

Check when changed V	14. <u>Details of increased Capital</u> this time (If the capital is the combination of types 9, 10, 11 and 12, please fill column 16.)		1. Cash	0	2. Property other than cash	0		
			3. Creditor's right for offset of share amount	0	4. Reserve fund	0		
			5. Dividends and bonuses	0	6. Shares for convertible bonds	0		
			7. Shares for convertible stock option certificates		0			
			8. Share exchange	0	9. Merger	0		
			10. Split	0	11. Conversion of Shares	0		
			12. Acquisition	0				
			15. <u>Details of decreased Capital</u> this time		1. Supplement for losses	0	2. refund of shares	0
			3. Cancelled treasury shares	0	4. Cancelled shares by Merger	0		
			5. Reduced capital for split	0				
			16. Details of acquired or merged company					
			Type of Acquisition & Merger (A&M)	A&M Base Day	Acquired or Merged Company			
		Unified Business No.	Company Name					

Check when changed V	Business		
	No.	Code No.	Description of business scope
	V 1	CE01030	Manufacturing Industry for Optical Instruments
	V 2	F110020	Wholesale for Eyeglasses
	V 3	F210020	Retail for Eyeglasses
	V 4	F106020	Wholesale for Daily Necessities
	V 5	F106030	Wholesale for Molds
	V 6	F401010	International Trade
	V 7	ZZ99999	Besides the allowable business, the business which is not prohibited or limited can be operated

List of Directors, Supervisors or Other Officers					
Check when changed V	No.	Title	Name (or Corporate Name)	Identity Card (or Corporate Uniform Number)	Shareholding (shares)
		(zip code)	Domicile or Residence (or Add. of Corporate)		
	1	President	Chan-Hsin Hsu	D120114750	1,575
		(710)	NO 113, TZU CHIANG RD., YUNG KANG DIST., TAINAN, TAIWAN, R.O.C.		
	2	director	Li-Yun Hsu	D222256824	125

Check here in case of additional pages. V ☐

Check here in case of no additional pages. V ☒

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Office of Ministry of Economic Affairs in Central Taiwan
20 JUL. 2011 & 21 DEC. 2011
Seal of Company's Registration Sheet

Business **PATENT**

REEL: 027675 FRAME: 0634

Change Registration Form for SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.

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2. If you are including additional pages, please indicate so by checking the appropriate box at the end of this page.

	(701)	No. 6, Yunong 2nd St., Nansheng Vil., East Dist., Tainan City, Taiwan, R.O.C.		
3	director	Mei-Ling Hsu	D221253445	25
	(701)	5F., No. 10, Ln. 12, Chongshan 11th St., Chongshan Vil., East Dist., Tainan City, Taiwan, R.O.C.		
4	supervisor	Chun-Yu Hsu	D222142736	175
	(701)	No. 6, Yunong 2nd St., Nansheng Vil., East Dist., Tainan City, Taiwan, R.O.C.		

Check here in case of additional pages. V ☐

Check here in case of no additional pages V ☒

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20 JUL. 2011 & 21 DEC. 2011
Seal of Company's Registration Sheet

Business 1302 - 3 Web

PATENT

RECORDED: 02/06/2012

REEL: 027675 FRAME: 0635

TOTAL P. 07